M269 TMA03 Topics Revue

Phil Molyneux

10 September 2025

M269 TMA03 Topics Revue

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Tutorial Agenda Commentary 1

Adobe Connect

Computability,

Commentary 2

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Commentary 3

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Logarithms

Before Calculators

Logic Introduction

M269 End of Module Tutorial

Agenda

- Welcome & Introductions
- Topics from TMA03
- ► Abstract Data Types Bags
- Abstract Data Types Graphs
- Complexity
- Computability

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Topics Revue

- Background Physics and Maths, Operational Research, Computer Science
 - Undergraduate: Physics and Maths (Sussex)
 - Postgraduate: Physics (Sussex), Operational Research (Brunel), Computer Science (University College, London)
- First programming languages Fortran, BASIC, Pascal
- Favourite Software
 - ► Haskell pure functional programming language
 - ► Text editors TextMate, Sublime Text previously Emacs
 - ► Word processing and presentation slides in LATEX
 - ► Mac OS X
- Learning style I read the manual before using the software (really)

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M269 Tutorial

Introductions — You

- ► Name?
- Position in M269? Which part of which Units and/or Reader have you read?
- Particular topics you want to look at?
- Learning Syle?

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Agenda, Aims and Topics

1 Agenda, Aims and Topics

- Overview of aims of tutorial
- Note selection of topics
- Points about my own background and preferences
- Adobe Connect slides for reference
- Note that the Computability notes are here mainly for reference since the Complexity notes refer to them
- This session is mainly on the Complexity topics

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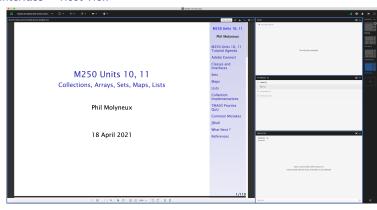
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Interface — Host View



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Interface — Participant View



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Settings

- Everybody Menu bar Meeting Speaker & Microphone Setup
- Menu bar Microphone Allow Participants to Use Microphone
- Check Participants see the entire slide Workaround
 - Disable Draw Share pod Menu bar Draw icon
 - Fit Width Share pod Bottom bar Fit Width icon
- Meeting Preferences General Host Cursor Show to all attendees
- Menu bar Video Enable Webcam for Participants
- Do not Enable single speaker mode
- Cancel hand tool
- Do not enable green pointer
- ► Recording Meeting Record Session ✓
- Documents Upload PDF with drag and drop to share pod
- Delete Meeting Manage Meeting Information Uploaded Content and check filename click on delete

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Access

Tutor Access

TutorHome M269 Website Tutorials

Cluster Tutorials M269 Online tutorial room

Tutor Groups M269 Online tutor group room

Module-wide Tutorials M269 Online module-wide room

Attendance

TutorHome Students View your tutorial timetables

- Beamer Slide Scaling 440% (422 x 563 mm)
- Clear Everyone's Status

Attendee Pod Menu Clear Everyone's Status

Grant Access and send link via email Meeting Manage Access & Entry Invite Participants...

Presenter Only Area

Meeting Enable/Disable Presenter Only Area

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Keystroke Shortcuts

- Keyboard shortcuts in Adobe Connect
- ► Toggle Mic 🖁 + M (Mac), Ctrl + M (Win) (On/Disconnect)
- Toggle Raise-Hand status # + E
- ► Close dialog box (Mac), Esc (Win)
- End meeting | | + \

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Sharing Screen & Applications

- Share My Screen Application tab Terminal for Terminal
- Share menu Change View Zoom in for mismatch of screen size/resolution (Participants)
- (Presenter) Change to 75% and back to 100% (solves participants with smaller screen image overlap)
- Leave the application on the original display
- Beware blued hatched rectangles from other (hidden) windows or contextual menus
- Presenter screen pointer affects viewer display beware of moving the pointer away from the application
- First time: System Preferences Security & Privacy Privacy Accessibility

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Ending a Meeting

- Notes for the tutor only
- ► Student: Meeting Exit Adobe Connect
- ► Tutor:
- ► Recording Meeting Stop Recording ✓
- Remove Participants Meeting End Meeting...
 - Dialog box allows for message with default message:
 - The host has ended this meeting. Thank you for attending.
- Recording availability In course Web site for joining the room, click on the eye icon in the list of recordings under your recording — edit description and name
- Meeting Information Meeting Manage Meeting Information can access a range of information in Web page.
- Delete File Upload Meeting Manage Meeting Information Uploaded Content tab select file(s) and click Delete
- Attendance Report see course Web site for joining room

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Invite Attendees

► Provide Meeting URL Menu Meeting Manage Access & Entry Invite Participants...

Allow Access without Dialog Menu Meeting
Manage Meeting Information provides new browser window
with Meeting Information Tab bar Edit Information

- ► Check Anyone who has the URL for the meeting can enter the room
- ► Default Only registered users and accepted guests may enter the room
- Reverts to default next session but URL is fixed
- Guests have blue icon top, registered participants have yellow icon top — same icon if URL is open
- See Start, attend, and manage Adobe Connect meetings and sessions

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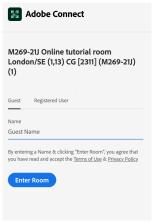
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Entering a Room as a Guest (1)

- Click on the link sent in email from the Host
- Get the following on a Web page
- As Guest enter your name and click on Enter Room



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Entering a Room as a Guest (2)

See the Waiting for Entry Access for Host to give permission



Waiting for Entry Access

This is a private meeting. Your request to enter has been sent to the host. Please wait for a response.

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Entering a Room as a Guest (3)

Host sees the following dialog in Adobe Connect and grants access



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Layouts

- Creating new layouts example Sharing layout
- Menu Layouts Create New Layout... Create a New Layout dialog

 Create a new blank layout and name it PMolyMain
- New layout has no Pods but does have Layouts Bar open (see Layouts menu)
- Pods
- Menu Pods Share Add New Share and resize/position initial name is Share n— rename PMolyShare
- Rename Pod Menu Pods Manage Pods... Manage Pods

 Select Rename Or Double-click & rename
- Add Video pod and resize/reposition
- Add Attendance pod and resize/reposition
- Add Chat pod rename it PMolyChat and resize/reposition

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Layouts

- Dimensions of **Sharing** layout (on 27-inch iMac)
 - Width of Video, Attendees, Chat column 14 cm
 - Height of Video pod 9 cm
 - Height of Attendees pod 12 cm
 - Height of Chat pod 8 cm
- ► **Duplicating Layouts** does *not* give new instances of the Pods and is probably not a good idea (apart from local use to avoid delay in reloading Pods)
- Auxiliary Layouts name PMolyAuxOn
 - Create new Share pod
 - Use existing Chat pod
 - Use same Video and Attendance pods

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Chat Pods

- Format Chat text
- Chat Pod menu icon My Chat Color
- Choices: Red, Orange, Green, Brown, Purple, Pink, Blue, Black
- Note: Color reverts to Black if you switch layouts
- Chat Pod menu icon Show Timestamps

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Graphics Conversion

PDF to PNG/JPG

- Conversion of the screen snaps for the installation of Anaconda on 1 May 2020
- Using GraphicConverter 11
- File Convert & Modify Conversion Convert
- Select files to convert and destination folder
- ► Click on Start selected Function or \# + ←

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Adobe Connect Recordings

Exporting Recordings

- Menu bar Meeting Preferences Video
- Aspect ratio Standard (4:3) (not Wide screen (16:9) default)
- ► Video quality Full HD (1080p not High default 480p)
- ► Recording Menu bar Meeting Record Session ✓
- Export Recording
- Menu bar Meeting Manage Meeting Information
- New window Recordings check Tutorial Access Type button
- check Public check Allow viewers to download
- Download Recording
- New window Recordings check Tutorial Actions Download File

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Computability

2 Computability

- Description of Turing Machine
- Turing Machine examples
- Computability, Decidability and Algorithms
- Non-computability Halting Problem
- Reductions and non-computability
- Lambda Calculus (optional)
- Note that the Computability notes are here mainly for reference since the Complexity notes refer to them
- ► This session is mainly on the Complexity topics

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Ideas of Computation

- The idea of an algorithm and what is effectively computable
- Church-Turing thesis Every function that would naturally be regarded as computable can be computed by a deterministic Turing Machine. (Unit 7 Section 4)
- See Phil Wadler on computability theory performed as part of the Bright Club at The Strand in Edinburgh, Tuesday 28 April 2015

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Models of Computation

- In automata theory, a problem is the question of deciding whether a given string is a member of some particular language
- ▶ If Σ is an alphabet, and L is a language over Σ , that is $L \subseteq \Sigma^*$, where Σ^* is the set of all strings over the alphabet Σ then we have a more formal definition of *decision problem*
- Given a string $w \in \Sigma^*$, decide whether $w \in L$
- Example: Testing for a prime number can be expressed as the language Lp consisting of all binary strings whose value as a binary number is a prime number (only divisible by 1 or itself)
- ► See Hopcroft (2007, section 1.5.4)

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- \triangleright An **Alphabet**, Σ , is a finite, non-empty set of symbols.
- ▶ Binary alphabet $\Sigma = \{0, 1\}$
- ▶ Lower case letters $\Sigma = \{a, b, ..., z\}$
- A String is a finite sequence of symbols from some alphabet
- ▶ 01101 is a string from the Binary alphabet $\Sigma = \{0, 1\}$
- ▶ The **Empty string**, ϵ , contains no symbols
- **Powers**: Σ^k is the set of strings of length k with symbols from Σ
- ▶ The set of all strings over an alphabet Σ is denoted Σ^*
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- ▶ **Question** Does $\Sigma^0 = \emptyset$? (\emptyset is the empty set)

Automata Theory

Languages

- An **Language**, *L*, is a subset of Σ^*
- The set of binary numerals whose value is a prime {10,11,101,111,1011,...}
- The set of binary numerals whose value is a square {100, 1001, 10000, 11001, ...}

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- physical Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) by a Universal Turing Machine.
- strong Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) with polynomial slowdown by a Universal Turing Machine.
- Shor's algorithm (1994) quantum algorithm for factoring integers — an NP problem that is not known to be P — also not known to be NP-complete and we have no proof that it is not in P
- ► Reference: Section 4 of Unit 6 & 7 Reader

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Turing Machine

Finite control which can be in any of a finite number of states

► **Tape** divided into cells, each of which can hold one of a finite number of symbols

Initially, the input, which is a finite-length string of symbols in the input alphabet, is placed on the tape

All other tape cells (extending unbounded left and right) hold a special symbol called blank

A tape head which initially is over the leftmost input symbol

A move of the Turing Machine depends on the state and the tape symbol scanned

► A move can change state, write a symbol in the current cell, move left, right or stay

► References: Hopcroft (2007, page 326), Unit 6 & 7 Reader (section 5.3)

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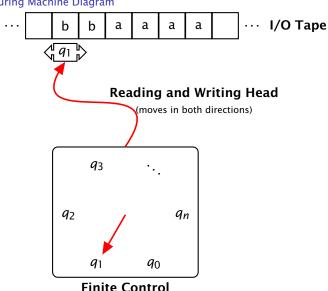
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Turing Machine Diagram



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- Q finite set of states of the finite control
- \triangleright Σ finite set of *input symbols* (M269 *S*)
- Γ complete set of *tape symbols* Σ ⊂ Γ
- ▶ δ Transition function (M269 instructions, I) $\delta :: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$ $\delta(q, X) \mapsto (p, Y, D)$
- $\delta(q, X)$ takes a state, q and a tape symbol, X and returns (p, Y, D) where p is a state, Y is a tape symbol to overwrite the current cell, D is a direction, Left, Right or Stay
- $ightharpoonup q_0$ start state $q_0 \in Q$
- ▶ B blank symbol $B \in \Gamma$ and $B \notin \Sigma$
- ▶ F set of final or accepting states $F \subseteq Q$

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Turing Machine Simulators

- ► Morphett's Turing machine simulator the examples below are adapted from here
- Ugarte's Turing machine simulator
- ► XKCD A Bunch of Rocks XKCD Explanation Image below (will need expanding to be readable)
- The term state is used in two different ways: The value of the Finite Control

The overall configuration of *Finite Control* and current contents of the tape

See Turing Machine: State

will lead to some confusion

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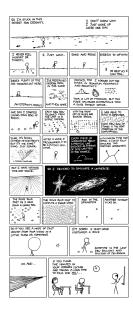
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Meta-Exercise

► For each of the Turing Machine Examples below, identify

 $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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The Successor Function

- Input binary representation of numeral n
- **Output** binary representation of n+1
- Example 1010 → 1011 and 1011 → 1100
- ▶ Initial cell: leftmost symbol of *n*
- Strategy
- Stage A make the rightmost cell the current cell
- Stage B Add 1 to the current cell.
- If the current cell is 0 then replace it with 1 and go to stage C
- If the current cell is 1 replace it with 0 and go to stage B and move Left
- If the current cell is blank, replace it by 1 and go to stage C
- Stage C Finish up by making the leftmost cell current

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The Successor Function (2)

- Represent the Turing Machine program as a list of quintuples (a, X, p, Y, D)
 - Stage A $(q_0, 0, q_0, 0, R)$
 - $(q_0, 1, q_0, 1, R)$
 - (a_0, B, a_1, B, L)
 - Stage B
 - $(a_1, 0, a_2, 1, S)$
 - $(a_1, 1, a_1, 0, L)$
 - $(a_1, B, a_2, 1, S)$
 - Stage C
 - $(q_2, 0, q_2, 0, L)$ $(q_2, 1, q_2, 1, L)$
 - (a_2, B, a_h, B, R)

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The Successor Function (2a)

- **Exercise** Translate the quintuples (q, X, p, Y, D) into English and check they are the same as the specification
- ► **Stage A** make the rightmost cell the current cell $(q_0, 0, q_0, 0, R)$

If state q_0 and read symbol 0 then stay in state q_0 write 0, move R $(q_0, 1, q_0, 1, R)$

If state q_0 and read symbol 1 then stay in state q_0 write 1, move R (q_0, B, q_1, B, L)

If state q_0 and read symbol B then state q_1 write B, move L

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The Successor Function (2b)

- Exercise Translate the quintuples (q, X, p, Y, D) into English
- ► Stage B Add 1 to the current cell.

 $(q_1, 0, q_2, 1, S)$

If state q_1 and read symbol 0 then state q_2 write 1, stay $(q_1, 1, q_1, 0, L)$

If state a_1 and read symbol 1 then state a_1 write 0, move L

 $(q_1, B, q_2, 1, S)$

If state q_1 and read symbol B then state q_2 write 1, stay

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- ► **Exercise** Translate the quintuples (*q*, *X*, *p*, *Y*, *D*) into English
- ▶ **Stage C** Finish up by making the leftmost cell current $(q_2, 0, q_2, 0, L)$

If state q_2 and read symbol 0 then state q_2 write 0, move L

 $(q_2, 1, q_2, 1, L)$

If state q_2 and read symbol 1 then state q_2 write 0, move L (q_2, B, q_h, B, R)

If state q_2 and read symbol B then state q_h write B, move R HALT

Notice that the Turing Machine feels like a series of if ... then or case statements inside a while loop M269 TMA03 Topics Revue

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The Successor Function (2d) — Meta-Exercise

▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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The Successor Function (2e) — Meta-Exercise

- ldentify $(Q, \Sigma, \Gamma, \delta, a_0, B, F)$
- \triangleright Q = { a_0 , a_1 , a_2 , a_h }
- $ightharpoonup q_0$ finding the rightmost symbol
- a₁ add 1 to current cell
- a₂ move to leftmost cell
- \triangleright a_h finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B\}$

 $F = \{a_h\}$

- $\delta :: O \times \Gamma \to O \times \Gamma \times \{L, R, S\}$
- $\delta(a,X) \mapsto (p,Y,D)$
 - δ is represented as {(q,X,p,Y,D)} equivalent to $\{((q, X), (p, Y, D))\}\$ set of pairs q₀ start with leftmost symbol under head, state moving
- to rightmost symbol
- B is a visible space

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The Successor Function (3)

- Sample Evaluation 11 → 100
- **Representation** $\cdots BX_1X_2 \cdots X_{i-1}qX_iX_{i+1} \cdots X_nB \cdots$
 - $q_0 11$
 - $1q_{0}1$
 - $11q_0B$
 - $1q_{1}1$
 - $q_1 10$
 - a1 B00 $q_2 100$
 - a₂ B100

a_h100

Exercise evaluate 1011 → 1100

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Instantaneous Description

- ▶ Representation $\cdots BX_1X_2 \cdots X_{i-1} qX_iX_{i+1} \cdots X_nB \cdots$
- q is the state of the TM
- ightharpoonup The head is scanning the symbol X_i
- Leading or trailing blanks *B* are (usually) not shown unless the head is scanning them
- ightharpoonup H denotes one move of the TM M
- $ightharpoonup + *_{M} denotes zero or more moves$
- ightharpoonup \vdash will be used if the TM M is understood
- If (q, X_i, p, Y, L) denotes a TM move then

$$X_1 \cdot \cdot \cdot X_{i-1} q X_i \cdot \cdot \cdot X_n \vdash_M X_1 \cdot \cdot \cdot X_{i-2} p X_{i-1} Y \cdot \cdot \cdot X_n$$

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The Binary Palindrome Function

- Input binary string s
- Output YES if palindrome, NO otherwise
- Example 1010 → NO and 1001 → YES
- Initial cell: leftmost symbol of s
- Strategy
- Stage A read the leftmost symbol
- If blank then accept it and go to stage D otherwise erase it
- Stage B find the rightmost symbol
- If the current cell matches leftmost recently read then erase it and go to stage C
- Otherwise reject it and go to stage E
- Stage C return to the leftmost symbol and stage A
- Stage D print YES and halt
- Stage E erase the remaining string and print NO

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The Binary Palindrome Function (2)

- Represent the Turing Machine program as a list of quintuples (a, X, p, Y, D)
- Stage A read the leftmost symbol $(q_0, 0, q_{1_0}, B, R)$
 - $(q_0, 1, q_{1i}, B, R)$
- (a_0, B, a_5, B, S) Stage B find rightmost symbol
- $(q_{1a}, B, q_{2a}, B, L)$
 - $(q_{10}, *, q_{10}, *, R)$ * is a wild card, matches anything
 - $(q_{1i}, B, q_{2i}, B, L)$
 - $(q_{1i}, *, q_{1i}, *, R)$

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The Binary Palindrome Function (3)

- Stage B check
 - $(q_{2a}, 0, q_{3}, B, L)$
 - (q_{2a}, B, q_{5}, B, S)
 - $(q_{20}, *, q_{6}, *, S)$ $(q_{2i}, 1, q_3, B, L)$
 - (q_{2i}, B, q_{5}, B, S)
 - $(q_{2i}, *, q_{6i}, *, S)$
- Stage C return to the leftmost symbol and stage A
- (a_3, B, a_5, B, S)
- (a_4, B, a_0, B, R)
 - $(q_4, *, q_4, *, L)$

 $(a_3, *, a_4, *, L)$

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The Binary Palindrome Function (4)

Stage D accept and print YES

$$(q_5, *, q_{5_a}, Y, R)$$

 $(q_{5_a}, *, q_{5_b}, E, R)$
 $(q_{5_b}, *, q_{7}, S, S)$

Stage E erase the remaining string and print NO

 (q_6, B, q_{6a}, N, R) $(a_6, *, a_6, B, L)$

 $(q_{6a}, *, q_{7}, O, S)$ Finish

 (a_7, B, a_h, B, R)

 $(a_7. *. a_7. *. L)$

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The Binary Palindrome Function (3a) — Meta-Exercise

▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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The Binary Palindrome Function (3b) — Meta-Exercise

- ▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_{1_0}, q_{1_i}, q_{2_0}, q_{2_i}, q_3, q_4, q_5, q_{5_a}, q_{5_b}, q_6, q_{6_a}, q_7, q_h\}$
- $ightharpoonup q_0$ read leftmost symbol
- $ightharpoonup q_{1_o}$, q_{1_i} find rightmost symbol looking for 0 or 1
- $ightharpoonup q_{2_o}, q_{2_i}$ check, confirm or reject
- $ightharpoonup q_3, q_4$ check finish or move to start
- $ightharpoonup q_5, q_6, q_7$ print YES or NO and finish
- $ightharpoonup q_h$ finish
- $\Sigma = \{0, 1\}$ $\Gamma = \Sigma \cup \{B, Y, E, S, N, O\}$
 - $I = \Sigma \cup \{B, Y, E, S, N, O\}$
 - $\delta :: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$ $\delta(q, X) \mapsto (p, Y, D)$
 - δ is represented as $\{(q,X,p,Y,D)\}$ equivalent to $\{((q,X),(p,Y,D))\}$ set of pairs
- \triangleright Start with leftmost symbol under head, state q_0
- ▶ B is _ a visible space
- $F = \{q_h\}$

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The Binary Palindrome Function (4)

Sample Evaluation 101 → YES

$$q_0101 \vdash Bq_{1i}01 \vdash B0q_{1i}1 \vdash B01q_{1i}B$$

$$\vdash B0q_{2_i}1$$

$$\vdash Bq_30B \vdash q_4B0B$$

$$\vdash Bq_00B \vdash BBq_{1_o}B$$

$$\vdash Bq_{2a}BB$$

$$\vdash Bq_5BB \vdash Yq_{5_a}B \vdash YEq_{5_b}B \vdash YEq_7S$$

$$\vdash \textit{Yq}_7\textit{ES} \vdash \textit{Bq}_7\textit{YES} \vdash \textit{q}_7\textit{BYES} \vdash \textit{q}_\textit{h}\textit{YES}$$

Exercise Evaluate 110 → NO

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Logic Introduction 49/246 Binary Addition Example

- Input two binary numerals separated by a single space n1 n2
- **Output** binary numeral which is the sum of the inputs
- Example $110110 + 101011 \rightarrow 1100001$
- Initial cell: leftmost symbol of n1 n2
- **Insight** look at the arithmetic algorithm

	1	1	0	1	1	0
_	1	0	1	0	1	1
1	1	0	0	0	0	1

Discussion how can we overwrite the first number with the result and remember how far we have gone?

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Binary Addition Example — Arithmetic Reinvented

<u> </u>	1	1 0	0 1	1 0	1	0 1
]]	1 1	1 0	0 1	1 0	1	y
	1 1	1 0	1	0	X	y
J J	1 1	1 0	1 1	X	X	y
1	0 1	0	X	x	X	У
1	0 1	X	X	X	X	У
1	у	X	X	X	X	У
1	1	0	0	0	0	1

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Binary Addition Example (2)

- Input two binary numerals separated by a single space n1 n2
- Output binary numeral which is the sum of the inputs
- ► Example 110110 + 101011 → 1100001
- ▶ Initial cell: leftmost symbol of *n*1 *n*2
- Strategy
- Stage A find the rightmost symbol
 If the symbol is 0 erase and go to stage Bx
 If the symbol is 1 erase go to stage By
 If the symbol is blank go to stage F
 dealing with each digit in n2

if no further digits in n2 go to final stage

- Stage Bx Move left to a blank go to stage Cx
- ► Stage By Move left to a blank go to stage Cy moving to n

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Binary Addition Example (3)

- ► Stage Cx Move left to find first 0, 1 or B

 Turn 0 or B to X, turn 1 to Y and go to stage A

 adding 0 to a digit finalises the result (no carry one)
- Stage Cy Move left to find first 0, 1 or B Turn 0 or B to 1 and go to stage D Turn 1 to 0, move left and go to stage Cy dealing with the carry one in school arithmetic
- Stage D move right to X, Y or B and go to stage E
- Stage E replace 0 by X, 1 by Y, move right and go to Stage A

finalising the value of a digit resulting from a carry

Stage F move left and replace X by 0, Y by 1 and at B halt M269 TMA03 Topics Revue

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Binary Addition Example (4)

- Represent the Turing Machine program as a list of quintuples (a, X, p, Y, D)
- Stage A find the rightmost symbol

 (a_0, B, a_1, B, R)

 $(a_0, *, a_0, *, R)$ * is a wild card, matches anything

 (a_1, B, a_2, B, L)

 $(a_1, *, a_1, *, R)$

 $(q_2, 0, q_{3_x}, B, L)$

 $(q_2, 1, q_{3_v}, B, L)$

 (a_2, B, a_7, B, L)

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Binary Addition Example (5)

Stage Bx move left to blank

$$(q_{3_x}, B, q_{4_x}, B, L)$$

 $(q_{3_x}, *, q_{3_x}, *, L)$

Stage By move left to blank

$$(q_{3_y}, B, q_{4_y}, B, L)$$

 $(q_{3_y}, *, q_{3_y}, *, L)$

Stage Cx move left to 0, 1, or blank

 $(a_{4}, 0, a_{0}, x, R)$

 $(q_{4x}, 1, q_0, y, R)$

 (q_{4x}, B, q_0, x, R)

 $(q_{4}, *, q_{4}, *, L)$

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Binary Addition Example (6)

► Stage Cy move left to 0, 1, or blank

$$(q_{4_{y}}, 0, q_{5}, 1, S)$$

 $(q_{4_{y}}, 1, q_{4_{y}}, 0, L)$

$$(q_{4y}, B, q_{5}, 1, S)$$

$$(q_{4_{V}}, *, q_{4_{V}}, *, L)$$

► **Stage D** move right to x, y or B

$$(q_5, x, q_6, x, L)$$

$$(q_5, y, q_6, y, L)$$

$$(q_5, B, q_6, B, L)$$

 $(q_5, *, q_5, *, R)$

Stage E replace 0 by x, 1 by y

$$(q_6, 0, q_0, x, R)$$

$$(q_6, 1, q_0, y, R)$$

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Binary Addition Example (7)

- Stage F replace x by 0, y by 1
- $(q_7, x, q_7, 0, L)$
 - $(q_7, y, q_7, 1, L)$ (a_7, B, q_h, B, R)
 - $(a_7, *, a_7, *, L)$
- **Exercise** Evaluate 11 + 10 → 101

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The Binary Addition Function (7a) — Meta-Exercise

▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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The Binary Addition Function (7b) — Meta-Exercise

- ldentify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_1, q_2, q_{3x}, q_{3y}, q_{4x}, q_{4y}, q_5, q_6, q_7, q_h\}$
- $ightharpoonup q_0, q_1, q_2$ find rightmost symbol of second number
- $ightharpoonup q_{3x}, q_{3y}$ move left to inter-number blank $ightharpoonup q_{4x}, q_{4y}$ move left to 0, 1 or blank
- \triangleright a_5 move right to x, y or B
- \triangleright q_6 replace 0 by x, 1 by y and move right
- \triangleright a_7 replace x by 0, y by 1 and move left \triangleright a_h finish
- $\Sigma = \{0, 1\}$
 - $\Gamma = \Sigma \cup \{B, x, v\}$
- $\delta(a, X) \mapsto (p, Y, D)$ δ is represented as $\{(a, X, p, Y, D)\}$
- equivalent to $\{((q, X), (p, Y, D))\}\$ set of pairs
- ▶ Start with leftmost symbol under head, state q_0
- ► B is _ a visible space $F = \{a_h\}$

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Binary Addition Example (8a)

- Exercise Evaluate 11 + 10 → 101
- Stage A find the rightmost symbol

 $BBq_0 1 1 B1 0 B$ Note space symbols B at start and end

- $\vdash BB1q_01B10B$
 - BB11a₀B10B

BB11B10a₁B

- BB11Bq₁10B
- BB11B1a₁0B
- BB11B1a20B
- $BB11Bq_{3_x}1BB$
 - Stage Bx move left to blank
- $\vdash B11q_{3}B1BB$
- Stage Cx move left to 0, 1, or blank
- BB1 q₄, 1 B1 BB
- $BB1 Ya_0 B1 BB$

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Binary Addition Example (8b)

- **Exercise** Evaluate $11 + 10 \rightarrow 101$ (contd)
- Stage A find the rightmost symbol
- BB1 BYBa₁ 1 BB
- BB1 YB1 a₁ BB
- BB1 YBa2 1 BB
- $BB1 Yq_{3_v}BBBB$
- Stage Cy move left to 0, 1, or blank
- $BB1 q_{4}$, YBBBB
- BBq₄, 1 YBBBB
- Bq₄, BO YBBBB Bas 10 YBBBB

BOas YBBBB

- **Stage D** move right to x, y or B
- Bas OYBBBB
- ⊢ Ba₆0YBBBB
 - **Stage E** replace 0 by x, 1 by y
 - B1 Xa₀ YBBBB

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Binary Addition Example (8c)

- **Exercise** Evaluate 11 + 10 → 101 (contd)
- Stage A find the rightmost symbol
- $\vdash B1XYq_0BBBB$
- \vdash B1 XYBq₁ BBB
- $\vdash B1XYq_2BBBB$
- ⊢ B1 Xq₇ YBBBB
- Stage F replace x by 0, y by 1
- $\vdash B1q_7X1BBBB$
- ⊢ *Bq*₇101*BBBB*
- $\vdash Bq_7B101BBBB$
- $\vdash Bq_h 101BBBB$
- ► This is mimicking what you learnt to do on paper as a child! Real *step-by-step* instructions
- See Morphett's Turing machine simulator for more examples (takes too long by hand!)

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Universal Turing Machine

- Universal Turing Machine, U, is a Turing Machine that can simulate any arbitrary Turing machine, M
- Achieves this by encoding the transition function of M in some standard way
- The input to U is the encoding for M followed by the data for M
- See Turing machine examples

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Decidability

- ▶ Decidable there is a TM that will halt with yes/no for a decision problem — that is, given a string w over the alphabet of P the TM with halt and return yes.no the string is in the language P (same as recursive in Recursion theory — old use of the word)
- Semi-decidable there is a TM will halt with yes if some string is in P but may loop forever on some inputs (same as recursively enumerable) — Halting Problem
- ► **Highly-undecidable** no outcome for any input *Totality, Equivalence Problems*

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Undecidable Problems

- ► Halting problem the problem of deciding, given a program and an input, whether the program will eventually halt with that input, or will run forever term first used by Martin Davis 1952
- ► Entscheidungsproblem the problem of deciding whether a given statement is provable from the axioms using the rules of logic shown to be undecidable by Turing (1936) by reduction from the *Halting problem* to it
- Type inference and type checking in the second-order lambda calculus (important for functional programmers, Haskell, GHC implementation)
- ▶ Undecidable problem see link to list

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Halting Problem — Sketch Proof (1)

- ► Halting problem is there a program that can determine if any arbitrary program will halt or continue forever?
- Assume we have such a program (Turing Machine) h(f,x) that takes a program f and input x and determines if it halts or not

```
h(f,x)
= if f(x) runs forever
return True
else
return False
```

We shall prove this cannot exist by contradiction

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- Now invent two further programs:
- q(f) that takes a program f and runs h with the input to f being a copy of f
- r(f) that runs q(f) and halts if q(f) returns True, otherwise it loops

```
q(f)
= h(f,f)

r(f)
= if q(f)
    return
else
    while True: continue
```

- \blacktriangleright What happens if we run r(r)?
- If it loops, q(r) returns True and it does not loop contradiction.
- Scooping theLoop Snooper: A proof that the Halting Problem is undecidable Geoffrey K Pullum (21 May 2024)

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Why undecidable problems must exist

- A problem is really membership of a string in some language
- ► The number of different languages over any alphabet of more than one symbol is uncountable
- Programs are finite strings over a finite alphabet (ASCII or Unicode) and hence countable.
- There must be an infinity (big) of problems more than programs.
- Computational problem defined by a function
- ► Computational problem is computable if there is a Turing machine that will calculate the function.

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Computability and Terminology (1)

- ► The idea of an *algorithm* dates back 3000 years to Euclid, Babylonians...
- ► In the 1930s the idea was made more formal: which functions are computable?
- ▶ A function is a set of pairs $f = \{(x, f(x)) : x \in X \land f(x) \in Y\}$ with the function property
- Function property: $(a, b) \in f \land (a, c) \in f \Rightarrow b == c$
- Function property: Same input implies same output
- Note that maths notation is deeply inconsistent here see Function and History of the function concept
- What do we mean by computing a function an algorithm?

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Functions

Relation and Rule

- ► The idea of function as a set of pairs (Binary relation) with the function property (each element of the domain has at most one element in the co-domain) is fairly recent — see History of the function concept
- School maths presents us with function as rule to get from the input to the output
- Example: the square function: square $x = x \times x$
- But lots of rules (or algorithms) can implement the same function
- ▶ square1 $x = x^2$

x times

ightharpoonup square2 $x = x + \cdots + x$ if x is integer

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Computability and Terminology (2)

- In the 1930s three definitions:
- \triangleright λ -Calculus, simple semantics for computation Alonzo Church
- General recursive functions Kurt Gödel
- Universal (Turing) machine Alan Turing
- Terminology:
 - Recursive, recursively enumerable Church, Kleene
 - Computable, computably enumerable Gödel, Turing
 - Decidable, semi-decidable, highly undecidable
 - In the 1930s, computers were human
 - Unfortunate choice of terminology
- Turing and Church showed that the above three were equivalent
- ► Church-Turing thesis function is intuitively computable if and only if Turing machine computable

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Reducing one problem to another

- ▶ To reduce problem P_1 to P_2 , invent a construction that converts instances of P_1 to P_2 that have the same answer. That is:
 - ightharpoonup any string in the language P_1 is converted to some string in the language P_2
 - any string over the alphabet of P_1 that is not in the language of P_1 is converted to a string that is not in the language P_2
- With this construction we can solve P₁
 - ▶ Given an instance of P_1 , that is, given a string w that may be in the language P_1 , apply the construction algorithm to produce a string x
 - Test whether x is in P₂ and give the same answer for w in P₁

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Problem Reduction

- Problem Reduction Ordinary Example
- Want to phone Alice but don't have her number
- You know that Bill has her number
- So reduce the problem of finding Alice's number to the problem of getting hold of Bill

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Computability

Direction of Reduction

- The direction of reduction is important
- ▶ If we can reduce P_1 to P_2 then (in some sense) P_2 is at least as hard as P_1 (since a solution to P_2 will give us a solution to P_1)
- ▶ So, if P_2 is decidable then P_1 is decidable
- To show a problem is undecidable we have to reduce from an known undecidable problem to it
- $\forall x(dp_{P_1}(x) = dp_{P_2}(reduce(x)))$
- \triangleright Since, if P_1 is undecidable then P_2 is undecidable

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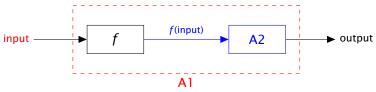
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Reductions



- ▶ A reduction of problem P_1 to problem P_2
 - ightharpoonup transforms inputs to P_1 into inputs to P_2
 - runs algorithm A2 (which solves P_2) and
 - interprets the outputs from A2 as answers to P_1
- More formally: A problem P_1 is *reducible* to a problem P_2 if there is a function f that takes any input x to P_1 and transforms it to an input f(x) of P_2 such that the solution of P_2 on f(x) is the solution of P_1 on x

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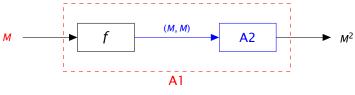
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Example: Squaring a Matrix



- Given an algorithm (A2) for matrix multiplication (P_2)
 - Input: pair of matrices, (M_1, M_2)
 - ightharpoonup Output: matrix result of multiplying M_1 and M_2
- \triangleright P_1 is the problem of squaring a matrix
 - Input: matrix M
 - ▶ Output: matrix M²
- Algorithm A1 has f(M) = (M, M)

uses A2 to calculate $M \times M = M^2$

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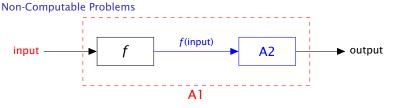
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- If P_2 is computable (A2 exists) then P_1 is computable (f being simple or polynomial)
- Equivalently If P_1 is non-computable then P_2 is non-computable
- **Exercise:** show $B \rightarrow A \equiv \neg A \rightarrow \neg B$

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Contrapositive

- Proof by Contrapositive
- ▶ $B \rightarrow A \equiv \neg B \lor A$ by truth table or equivalences

$$\equiv \neg(\neg A) \lor \neg B$$
 commutativity and negation laws

 $\equiv \neg A \rightarrow \neg B$ equivalences

Common error: switching the order round

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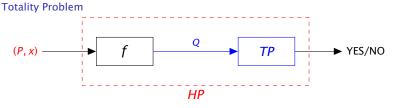
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- ► Totality Problem
 - ▶ Input: program Q
 - Output: YES if Q terminates for all inputs else NO
- Assume we have algorithm TP to solve the Totality Problem
- Now reduce the Halting Problem to the Totality Problem

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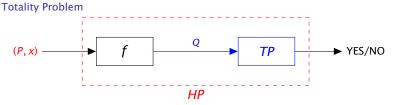
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ightharpoonup Define f to transform inputs to HP to TP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
    return Q
```

- ▶ Run TP on Q
 - If TP returns YES then P halts on x
 - If TP returns NO then P does not halt on x
- ▶ We have *solved* the Halting Problem contradiction

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Negative Value Problem (Q, v)NVP ➤ YES/NO (P, x) -HP

- Negative Value Problem
 - Input: program Q which has no input and variable v used in Q
 - Output: YES if v ever gets assigned a negative value else NO
- Assume we have algorithm NVP to solve the Negative Value Problem
- Now reduce the Halting Problem to the Negative Value Problem

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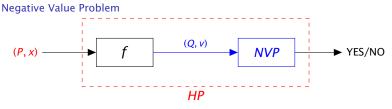
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▶ Define f to transform inputs to HP to NVP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
        v = -1
    return (Q, var(v))
```

- Run NVP on (Q, var(v)) var(v) gets the variable name
 - If NVP returns YES then P halts on x
 - If NVP returns NO then P does not halt on x
- We have solved the Halting Problem contradiction

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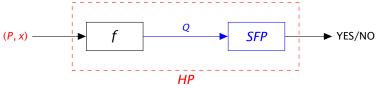
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Squaring Function Problem



- Squaring Function Problem
 - Input: program Q which takes an integer, y
 - Output: YES if Q always returns the square of y else NO
- Assume we have algorithm *SFP* to solve the Squaring Function Problem
- Now reduce the Halting Problem to the Squaring Function Problem

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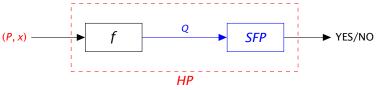
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Squaring Function Problem



Define f to transform inputs to HP to SFP pseudo-Python

```
def f(P,x) :
    def Q(y):
        P(x)
        return y * y
    return Q
```

- ▶ Run SFP on Q
 - If SFP returns YES then P halts on x
 - ▶ If SFP returns NO then P does not halt on x
- ▶ We have *solved* the Halting Problem contradiction

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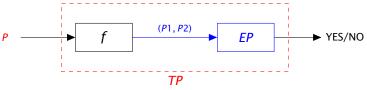
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Equivalence Problem



- Equivalence Problem
 - Input: two programs P1 and P2
 - Output: YES if P1 and P2 solve the same problem (same output for same input) else NO
- Assume we have algorithm EP to solve the Equivalence Problem
- Now reduce the Totality Problem to the Equivalence Problem

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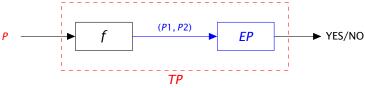
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Equivalence Problem



ightharpoonup Define f to transform inputs to TP to EP pseudo-Python

```
def f(P) :
    def P1(x):
        P(x)
        return "Same_string"
    def P2(x)
        return "Same_string"
    return (P1,P2)
```

- ► Run *EP* on (*P*1, *P*2)
 - ▶ If EP returns YES then P halts on all inputs
 - ► If *EP* returns NO then *P* does not halt on all inputs
- ▶ We have *solved* the Totality Problem contradiction

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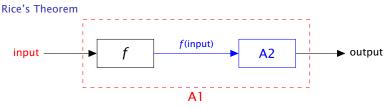
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- Rice's Theorem all non-trivial, semantic properties of programs are undecidable. H G Rice 1951 PhD Thesis
- Equivalently: For any non-trivial property of partial functions, no general and effective method can decide whether an algorithm computes a partial function with that property.
- A property of partial functions is called trivial if it holds for all partial computable functions or for none.

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Rice's Theorem

- Rice's Theorem and computability theory
- Let S be a set of languages that is nontrivial, meaning
 - there exists a Turing machine that recognizes a language in S
 - there exists a Turing machine that recognizes a language not in S
- Then, it is undecidable to determine whether the language recognized by an arbitrary Turing machine lies in S.
- ► This has implications for compilers and virus checkers
- Note that Rice's theorem does not say anything about those properties of machines or programs that are not also properties of functions and languages.
- For example, whether a machine runs for more than 100 steps on some input is a decidable property, even though it is non-trivial.

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Motivation

- Lambda Calculus is a formal system in mathematical logic for expressing computation based on function abstraction and application using variable binding and substitution
- ► Lambda calculus is Turing complete it can simulate any Turing machine
- Introduced by Alonzo Church in 1930s
- Basis of functional programming languages Lisp, Scheme, ISWIM, ML, SASL, KRC, Miranda, Haskell, Scala, F#...
- ► **Note** this is not part of M269 but may help understand ideas of computability

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Functions

Binding and Substitution

School maths introduces functions as

$$f(x) = 3x^2 + 4x + 5$$

- Substitution: $f(2) = 3 \times 2^2 + 4 \times 2 + 5 = 25$
- Generalise: $f(x) = ax^2 + bx + c$
- ▶ What is wrong with the following:
- $f(a) = a \times a^2 + b \times a + c$
- ▶ The ideas of free and bound variables and substitution

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- In evaluating an expression we have choices about the order in which we evaluate subterms
- Some choices may involve more work than others but the Church-Rosser theorem ensures that if the evaluation terminates then all choices get to the same answer
- The second edition of a famous book on Functional programming — Bird (1998, Ex 1.2.2, page 6) Introduction to Functional Programming using Haskell — had the following exercise:
- How many ways can you evaluate (3 + 7)² List the evaluations and assumptions
- ► The first edition Bird and Wadler (1988. Ex 1.2.1. page 6) Introduction to Functional Programming — had the exercise:
- ► How many ways can you evaluate $((3 + 7)^2)^2$

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► How many ways can you evaluate (3 + 7)² List the evaluations and assumptions

Answer 3 ways

Reducible expressions (redexes)

 $x^2 \rightarrow x \times x$ where x is a term a + b where a and b are numbers $x \times y$ where x and y are numbers

```
[sqr (3+7), ((3+7)*(3+7)), ((3+7)*10), (10*10). 100]
[sqr (3+7), ((3+7)*(3+7)), (10*(3+7)), (10*10), (10)
[sar (3+7).sar 10.(10*10).100]
```

The assumed redexes do not include distributive laws. $(a+b)\times(x+y)\rightarrow a\times x+a\times y+b\times x+b\times y$

This would increase the number of different evaluations

Expressions

Evaluation Strategies (c)

- ► How many ways can you evaluate $((3+7)^2)^2$

concentration

```
Answer 547 wavs
```

2[sqr sqr (3+7), (sqr (3+7)*sqr (3+7)), (sqr (3+7)*((3+7)*(3+7))), (sqr (3+7)*((3+7)*10))

546 [sqr sqr (3+7), sqr sqr 10, sqr (10*10), ((10*10)*(10*10)), (100*(10*10)). 547 [sar sar (3+7).sar sar 10.sar (10*10).sar 100.(100*100).10000]

Enumerating all 547 ways may have taken some

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Expressions

Evaluation Strategies (d)

- The actual Evaluation strategy used by a particular programming language implementation may have optimisations which make an evaluation which looks costly to be somewhat cheaper
- For example, the Haskell implementation GHC optimises the evaluation of common subexpressions so that (3+7) will be evaluated only once

```
ւ [sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr (3+7)*((3+7)*(3+7))), (sqr (3+4/))։
2[sqr sqr (3+7), (sqr (3+7)*sqr (3+7)), (sqr (3+7)*((3+7)*(3+7))), (sqr (3+7)*(6)+7)*10)
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```

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Optional Topic

► M269 Unit 6/7 Reader Logic and the Limits of Computation alludes to other formalisations with equal power to a Turing Machine (pages 81 and 87)

- The Reader mentions Alonzo Church and his 1930s formalism (page 87, but does not give any detail)
- The notes in this section are optional and for comparison with the Turing Machine material
- Turing machine: explicit memory, state and implicit loop and case/if statement
- Lambda Calculus: function definition and application, explicit rules for evaluation (and transformation) of expressions, explicit rules for substitution (for function application)
- Lambda calculus reduction workbench
- Lambda Calculus Calculator

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Lambda Terms

- A variable, x, is a lambda term
- If M is a lambda term and x is a variable, then (λx.M) is a lambda term — a lambda abstraction or function definition
- If M and N are lambda terms, the (M N) is lambda term— an application
- Nothing else is a lambda term

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Lambda Terms — Notational Conveniences

- ▶ Outermost parentheses are omitted $(M \ N) \equiv M \ N$
- ▶ Application is left associative $((M \ N) \ P) \equiv M \ N \ P$
- The body of an abstraction extends as far right as possible, subject to scope limited by parentheses
- $\lambda x.M N \equiv \lambda x.(M N)$ and not $(\lambda x.M) N$
- $\lambda x. \lambda y. \lambda z. M = \lambda x y z. M$

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Lambda Calculus Semantics

- What do we mean by evaluating an expression?
- To evaluate (λx.M)N
- Evaluate M with x replaced by N
- ▶ This rule is called β -reduction
- $(\lambda x.M) \underset{\beta}{N} \to M[x := N]$
- ► M[x := N] is M with occurrences of x replaced by N
- ► This operation is called *substitution* see rules below

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- $(\lambda x.x)z \rightarrow z$
- \triangleright $(\lambda x.y)z \rightarrow y$
- ► $(\lambda x. x y)z \rightarrow z y$ a function that applies its argument to y

 $(\lambda x. x y)(\lambda z. z) \rightarrow (\lambda z. z) y \rightarrow y$

 $(\lambda x. \lambda y. x y)z \rightarrow \lambda y. z y$

A *curried* function of two arguments — applies first argument to second

• currying replaces f(x, y) with (f(x)y) — nice notational convenience — gives partial application for free

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Substitution

- ► To define *substitution* use recursion on the structure of terms
- $x[x := N] \equiv N$
- $y[x := N] \equiv y$
- $(P Q)[x := N] \equiv (P[x := N])(Q[x := N])$
- $(\lambda x.M)[x := N] = \lambda x.M$

In $(\lambda x.M)$, the x is a formal parameter and thus a local variable, different to any other

- \triangleright $(\lambda y.M)[x := N] = \text{what}?$
- Look back at the school maths example above a subtle point

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Substitution (2)

- Renaming bound variables consistently is allowed
- $\lambda x.x \equiv \lambda y.y \equiv \lambda z.z$
- $\lambda y.\lambda x.y \equiv \lambda z.\lambda x.z$
- ightharpoonup This is called α -conversion
- $(\lambda x. \lambda y. x y) y \rightarrow (\lambda x. \lambda z. x z) y \rightarrow \lambda z. y z$

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- **Bound and Free Variables**
- \triangleright BV(x) = \emptyset
- \blacktriangleright $BV(\lambda x.M) = BV(M) \cup \{x\}$
- \triangleright $BV(MN) = BV(M) \cup BV(N)$
- $ightharpoonup FV(x) = \{x\}$
- \triangleright $FV(\lambda x.M) = FV(M) \{x\}$
- \triangleright $FV(MN) = FV(M) \cup FV(N)$
- The above is a formalisation of school maths
- A Lambda term with no free variables is said to be *closed* — such terms are also called **combinators** — see Combinator and Combinatory logic (Hankin, 2004, page 10)
- α -conversion
- $\lambda x.M \xrightarrow{\alpha} \lambda y.M[x := y] \text{ if } y \notin FV(M)$

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Substitution (4)

- \triangleright β -reduction final rule
- $(\lambda y.M)[x := N] = \lambda y.M \text{ if } x \notin FV(M)$
- $(\lambda y.M)[x := N] = \lambda y.M[x := N]$

if $x \in FV(M)$ and $y \notin FV(N)$

 $(\lambda y.M)[x := N] = \lambda z.M[y := z][x := N]$

if $x \in FV(M)$ and $y \in FV(N)$

z is chosen to be first variable $z \notin FV(NM)$

- ▶ This is why you cannot go f(a) when given
- $f(x) = ax^2 + bx + c$
- School maths but made formal

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Rules Summary — Conversion

- \triangleright α -conversion renaming bound variables
- $\lambda x.M \xrightarrow{\alpha} \lambda y.M[x := y] \text{ if } y \notin FV(M)$
- \blacktriangleright β -conversion function application
- $(\lambda x.M) \underset{\beta}{\mathsf{N}} \to M[x := \mathsf{N}]$
- \triangleright η -conversion extensionality
- $\lambda x.Fx \xrightarrow{\eta} F \text{ if } x \notin FV(F)$

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Rules Summary — Substitution

- 1. $x[x := N] \equiv N$
- 2. $v[x := N] \equiv v$
- 3. $(PQ)[x := N] \equiv (P[x := N])(Q[x := N])$
- 4. $(\lambda x.M)[x := N] = \lambda x.M$
- 5. $(\lambda y.M)[x := N] = \lambda y.M$ if $x \notin FV(M)$
- 6. $(\lambda y.M)[x := N] = \lambda y.M[x := N]$ if $x \in FV(M)$ and $y \notin FV(N)$
- 7. $(\lambda y.M)[x := N] = \lambda z.M[y := z][x := N]$ if $x \in FV(M)$ and $y \in FV(N)$

z is chosen to be first variable $z \notin FV(NM)$

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Lambda Calculus Encodings

- So what does this formalism get us?
- The Lambda Calculus is Turing complete
- We can encode any computation (if we are clever enough)
- Booleans and propositional logic
- Pairs
- Natural numbers and arithmetic
- Looping and recursion

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Lambda Calculus Encodings

Booleans and Propositional Logic

- ► True = $\lambda x.\lambda y.x$
- False = $\lambda x.\lambda y.y$
- ▶ IF a THEN b ELSE $c \equiv abc$
- ▶ IF True THEN b ELSE $c \rightarrow (\lambda x. \lambda y. x) bc$
- \rightarrow $(\lambda v.b) c \rightarrow b$
- ▶ IF False THEN b ELSE $c \rightarrow (\lambda x. \lambda y. y) b c$
- $\rightarrow (\lambda y.y) c \rightarrow c$

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Lambda Calculus Encodings

Booleans and Propositional Logic (2)

- Not = λx .((x False)True)
- Not x = IF x THEN False ELSE True
- Exercise: evaluate Not True
- ► And = $\lambda x.\lambda y.((x y) \text{ False})$
- And x y = IF x THEN y ELSE False
- Exercise: evaluate And True False
- Or x y = IF x THEN True ELSE y
- Exercise: evaluate Or False True

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Booleans and Propositional Logic (2) — Exercises

- Exercise: evaluate Not True
- \rightarrow (λx .((x False) True)) True
- ► → (True False) True
- Could go straight to False from here, but we shall fill in the detail
- \rightarrow (($\lambda x.\lambda y.x$) ($\lambda x.\lambda y.y$)) ($\lambda x.\lambda y.x$)
- $\rightarrow (\lambda y.(\lambda x.\lambda y.y))(\lambda x.\lambda y.x)$
- \rightarrow $(\lambda x.\lambda y.y) = False$
- Exercise: evaluate And True False
- \rightarrow (IF x THEN y ELSE False) True False
- ► →(IF True THEN False ELSE False) →False
- Exercise: evaluate Or False True
- ► \rightarrow (IF x THEN True ELSE y) False True
- ► → (IF False THEN True ELSE True) → True

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Natural Numbers — Church Numerals

- Encoding of natural numbers
- \triangleright 0 = $\lambda f. \lambda v. v$
- $ightharpoonup 1 = \lambda f. \lambda v. f v$
- \triangleright 2 = $\lambda f. \lambda v. f(f v)$
- $ightharpoonup 3 = \lambda f. \lambda y. f(f(f y))$
- Successor Succ = $\lambda z.\lambda f.\lambda v.f(zfv)$
- Succ $0 = (\lambda z. \lambda f. \lambda v. f(z f v))(\lambda f. \lambda v. v)$
- $\rightarrow \lambda f. \lambda v. f((\lambda f. \lambda v. v) f v)$
- $\rightarrow \lambda f. \lambda v. f((\lambda v. v) v)$
- $\rightarrow \lambda f. \lambda v. f v = 1$

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Natural Numbers — Operations

- \triangleright isZero = $\lambda z.z(\lambda v. \text{ False})$ True
- Exercise: evaluate isZero 0
- ▶ If M and N are numerals (as λ expressions)
- Add $MN = \lambda x. \lambda y. (Mx) ((Nx) y)$
- Mult $MN = \lambda x.(M(Nx))$
- ► Exercise: show 1 + 1 = 2

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Pairs

- Encoding of a pair a, b
- $(a, b) = \lambda x$. IF x THEN a ELSE b
- ► FST = $\lambda f.f$ True
- ► SND = $\lambda f.f$ False
- Exercise: evaluate FST (a, b)
- Exercise: evaluate SND (*a*, *b*)

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The Fixpoint Combinator

- $Y = \lambda f.(\lambda x.f(x x))(\lambda x.f(x x))$
- $ightharpoonup YF = \lambda f.(\lambda x.f(xx))(\lambda x.f(xx))F$
- $\rightarrow (\lambda x.F(xx))(\lambda x.F(xx))$
- $F((\lambda x.F(x x))(\lambda x.F(x x))) = F(Y F)$
- ► (Y F) is a fixed point of F
- We can use Y to achieve recursion for F

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The Fixpoint Combinator — Recursion

- Recursion implementation Factorial
- Fact = $\lambda f.\lambda n$. IF n = 0 THEN 1 ELSE n * (f(n-1))
- ► (*Y* Fact)1 = (Fact (*Y* Fact))1
- \rightarrow IF 1 = 0 THEN 1 ELSE 1 * ((Y Fact) 0)
- \rightarrow 1 * ((Y Fact) 0)
- ► → 1 * (Fact (Y Fact) 0)
- \rightarrow 1 * IF 0 = 0 THEN 1 ELSE 0 * ((Y Fact) (0 1))
- $\rightarrow 1 * 1 \rightarrow 1$
- Factorial n = (Y Fact) n
- Recursion implemented with a non-recursive function Y

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Logic Introduction

Computability

Turing Machines, Lambda Calculus and Programming Languages

- Anything computable can be represented as TM or Lambda Calculus
- But programs would be slow, large and hard to read
- In practice use the ideas to create more expressive languages which include built-in primitives
- Also leads to ideas on data types
- Polymorphic data types
- Algebraic data types
- Also leads on to ideas on higher order functions functions that take functions as arguments or returns functions as results.

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- Complexity Classes P and NP
- Class NP
- NP-completeness
- NP-completeness and Boolean Satisfiability

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- NP, the set of all decision problems whose solutions can be verified (certificate) in polynomial time
- Equivalently, NP, the set of all decision problems that can be solved in polynomial time on a non-deterministic Turing machine
- A decision problem, dp is NP-complete if
 - 1. dp is in NP and
 - 2. Every problem in NP is reducible to *dp* in polynomial time
- ▶ NP-hard a problem satisfying the second condition, whether or not it satisfies the first condition. Class of problems which are at least as hard as the hardest problems in NP. NP-hard problems do not have to be in NP and may not be decision problems

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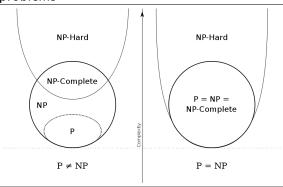
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P and NP — Diagram

Euler diagram for P, NP, NP-complete and NP-hard set of problems



Source: Wikipedia NP-complete entry

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- ► To formalise the definition of the class **NP**, we need to formalise the idea of checking a candidate solution
- Define a certificate for each problem input that would return Yes
- Describe the verifier algorithm
- Demonstrate the verifier algorithm has polynomial complexity
- ► The terms *certificate* and *verifier* have technical definitions in terms of languages and Turing Machines but can be thought of as *candidate solution* and *checker algorithm*

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- Composite Numbers Given a number N decide if N is a composite (i.e. non-prime) number Certificate factorization of N
- Connectivity Given a graph G and two vertices s, t in G, decide if s is connected to t in G.
 Certificate path from s to t
- ▶ Linear Programming Given a list of m linear inequalities with rational coefficients over n variables u_1, \ldots, u_n (a linear inequality has the form $a_1 u_1 + a_2 u_2 \cdots + a_n u_n \le b$ for some coefficients $a_1, \ldots, a_n b$), decide if there is an assignment of rational numbers to the variables u_1, \ldots, u_n which satisfies all the inequalities Certificate is the assignment

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Example Decision Problems (2)

- ► The above are in **P**
- Composite Numbers, Connectivity and Linear programming are in P
- Composite Numbers follows from Integer factorization and the AKS primality test from 2004
- Connectivity follows from the breadth-first search algorithm
- Linear programming shown to be in P by the Ellipsoid method

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- Integer Programming some or all variables are restricted to be integers
- ► Travelling Salesperson Given a set of nodes and distances between all pairs of nodes and a number k, decide if there is a closed circuit that visits every node exactly once and has total length at most k Certificate sequence of nodesin such a tour
- Subset sum Given a list of numbers and a number T, decide if there is a subset that adds up to T Certificate list of members of such a subset
- ► Independent set (graph theory) A subgraph of *G* with of at least *k* vertices which have no edges between them *Certificate* the list of *k* vertices
- Clique problem Given a graph and a number k, decide if there is a complete subgraph (clique) of size k Certificate list pf nodes. For explanation see Prove Clique is NP

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- ► The above are **NP-complete** see List of **NP-complete** problems
- The following two are not known to be P nor NP-complete
- ▶ **Graph Isomorphism** Given two $n \times n$ adjacency matrices M_1 , M_2 , decide if M_1 and M_2 define the same graph (up to renaming of the vertices) *Certificate* the permutation $\pi : [n] \rightarrow [n]$ such that M_2 is equal to M_1 after reordering the indices of M_1 according to π
- ► Integer factorization Given three numbers *N*, *L*, *U* decide if *N* has a prime factor *p* in the interval [*L*, *U*] Certificate is the factorization of *N* Source Arora and Barak (2009, page 49) Computational Complexity: A Modern Approach and contained links

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NP-complete problems

- Boolean satisfiability (SAT) Cook-Levin theorem
- Conjunctive Normal Form 3SAT
- Hamiltonian path problem
- Travelling salesman problem
- ► NP-complete see list of problems

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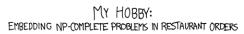
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Knapsack Problem







Source & Explanation: XKCD 287

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NP-Completeness and Boolean Satisfiability

Points on Notes

- ► The *Boolean satisfiability problem (SAT)* was the first decision problem shown to be *NP-Complete*
- This section gives a sketch of an explanation
- Health Warning different texts have different notations and there will be some inconsistency in these notes
- ▶ **Health warning** these notes use some formal notation to make the ideas more precise computation requires precise notation and is about manipulating strings according to precise rules.

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- Notation:
- Σ is a set of symbols the alphabet
- $\triangleright \Sigma^k$ is the set of all string of length k, which each symbol from Σ
- Example: if $\Sigma = \{0, 1\}$
 - $\Sigma^1 = \{0, 1\}$
 - $\Sigma^2 = \{00, 01, 10, 11\}$
- $\Sigma^0 = \{\epsilon\}$ where ϵ is the empty string
- $\triangleright \Sigma^*$ is the set of all possible strings over Σ
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- A Language, L, over Σ is a subset of Σ^*
- $L \subseteq \Sigma^*$

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- ► Language accepted by Turing Machine, *M* denoted by *L*(*M*)
- ▶ L(M) is the set of strings $w \in \Sigma^*$ accepted by M
- ▶ For *Final States F* = {Y, N}, a string $w \in \Sigma^*$ is accepted by $M \Leftrightarrow$ (if and only if) M starting in q_0 with w on the tape halts in state Y
- Calculating a function (function problem) can be turned into a decision problem by asking whether f(x) = y

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- If we do not know if P ≠ NP, what can we say?
- A language L is NP-Complete if:
 - $L \in NP$ and
 - for all other $L' \in NP$ there is a polynomial time transformation (Karp reducible, reduction) from L' to L
- ▶ Problem P_1 polynomially reduces (Karp reduces, transforms) to P_2 , written $P_1 \propto P_2$ or $P_1 \leq_p P_2$, iff $\exists f : dp_{P_1} \rightarrow dp_{P_2}$ such that
 - $\forall I \in \mathsf{dp}_{P_1}[I \in Y_{P_1} \Leftrightarrow f(I) \in Y_{P_2}]$
 - f can be computed in polynomial time

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- $\forall x \in \Sigma_1^* [x \in L_1 \Leftrightarrow f(x) \in L_2]$
- There is a polynomial time TM that computes f
- ► Transitivity If $L_1 \propto L_2$ and $L_2 \propto L_3$ then $L_1 \propto L_3$
- ▶ If L is NP-Hard and $L \in P$ then P = NP
- If L is NP-Complete, then $L \in P$ if and only if P = NP
- ▶ If L_0 is NP-Complete and $L \in NP$ and $L_0 \propto L$ then L is NP-Complete
- Hence if we find one NP-Complete problem, it may become easier to find more
- ▶ In 1971/1973 Cook-Levin showed that the Boolean satisfiability problem (SAT) is NP-Complete

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- A propositional logic formula or Boolean expression is built from variables, operators: AND (conjunction, ∧), OR (disjunction, ∨), NOT (negation, ¬)
- A formula is said to be *satisfiable* if it can be made True by some assignment to its variables.
- The Boolean Satisfiability Problem is, given a formula, check if it is satisfiable.
 - Instance: a finite set U of Boolean variables and a finite set C of clauses over U
 - Question: Is there a satisfying truth assignment for C?
- A clause is a disjunction of variables or negations of variables
- Conjunctive normal form (CNF) is a conjunction of clauses
- Any Boolean expression can be transformed to CNF

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- The Boolean Satisfiability Problem (2)
 - Given a set of Boolean variable $U = \{u_1, u_2, \dots, u_n\}$
 - \triangleright A literal from *U* is either any u_i or the negation of some u_i (written $\overline{u_i}$) usual notation $\neg u_i$
 - A clause is denoted as a subset of literals from U $\{u_2, \overline{u_4}, u_5\}$ usual notation $u_2 \vee \neg u_4 \vee u_5$
 - A clause is satisfied by an assignment to the variables if at least one of the literals evaluates to True (just like disjunction of the literals)
 - ▶ Let C be a set of clauses over U C is satisfiable iff there is some assignment of truth values to the variables so that every clause is satisfied (just like CNF)
 - $C = \{\{u_1, u_2, u_3\}, \{\overline{u_2}, \overline{u_3}\}, \{u_2, \overline{u_3}\}\}\}$ is satisfiable usual notation $(u_1 \lor u_2 \lor u_3) \land (\neg u_2 \lor \neg u_3) \land (u_2 \lor \neg u_3)$ assign $(u_1, u_2, u_3) = (T, F, F), (T, T, F), (F, T, F)$
 - $C = \{\{u_1, u_2\}, \{u_1, \overline{u_2}\}, \{\overline{u_1}\}\}\}$ is not satisfiable usual notation $(u_1 \vee u_2) \wedge (u_1 \vee \neg u_2) \wedge (\neg u_1)$

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The Boolean Satisfiability Problem (3)

- Proof that SAT is NP-Complete looks at the structure of NDTMs and shows you can transform any NDTM to SAT in polynomial time (in fact logarithmic space suffices)
- SAT is in NP since you can check a solution in polynomial time
- ▶ To show that $\forall L \in NP : L \propto SAT$ invent a polynomial time algorithm for each polynomial time NDTM, M, which takes as input a string x and produces a Boolean formula E_x which is satisfiable iff M accepts x
- See Cook-Levin theorem.

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- What does it mean if a problem is NP-Complete?
 - There is a P time verification algorithm.
 - There is a P time algorithm to solve it iff P = NP (?)
 - No one has yet found a P time algorithm to solve any NP-Complete problem
 - So what do we do?
- Improved exhaustive search Dynamic Programming; Branch and Bound
- ► Heuristic methods *acceptable* solutions in *acceptable* time — compromise on optimality
- Average time analysis look for an algorithm with good average time — compromise on generality (see Big-O Algorithm Complexity Cheatsheet)
- Probabilistic or Randomized algorithms compromise on correctness

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- The transition function is represented as a Python dictionary mapping stete, symbol to symbol, move, state
- States are represented as strings we may define Python constants to make life easier (see below)
- What are the states?
- ► Tape represented by a list; moves by 1, -1, 0

```
# Moves
RTGHT = 1
LEFT = -1
STAY = 0
# States
Start
          "start"
FindA =
         "FindA"
Find0
     = "Find0"
FindNum = "FindNum"
           = "FinishOK"
FinishOK
FinishNotOK = "FinishNotOK"
           = "stop"
Stop
```

- Note that the identifiers must be valid Python
- Python has conventions about constantss

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```
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TMA Question (b)
```

Describe the actions for each state — possibly using Python dictionary notation (to make shorter work)

```
(Start, "a"):
                ("a", RIGHT, FindA),
(Start, "0"):
                ("0", RIGHT, Find0),
(Start, "#"):
                ("#". RIGHT.FindNum).
(Start. None):
                (None, STAY, Stop), # Is empty input allowed?
(FindA. "a"):
                ("a", RIGHT, FinishOK),
(FindA, "0"):
                ("0", RIGHT, FindA),
(FindA, "#"):
                ("#", RIGHT, FindA),
(FindA. None):
                (False, STAY, Stop).
(Find0, "a"):
                ("a", RIGHT, Find0),
(Find0. "0"):
                ("0", RIGHT, FinishOK),
(Find0, "#"):
                ("#", RIGHT, Find0),
(FindO. None):
                (False, STAY, Stop).
(FindNum, "a"):
                  ("a", RIGHT, FindNum),
(FindNum, "0"):
                  ("0", RIGHT, FindNum),
(FindNum, "#"):
                  ("#" RIGHT FinishOK).
(FindNum, None):
                  (False, STAY, Stop),
```

Turing Machine

TMA Question (c)

FinishOK and FinishNotOK should tidy up the output and move the read/write head to an approriate position

```
(FinishOK, "a"): ("a",RIGHT,FinishOK),
(FinishOK, "0"): ("0",RIGHT,FinishOK),
(FinishOK, "#"): ("#",RIGHT,FinishOK),
(FinishOK, None): (True,STAY,Stop),
```

What if we wanted to erase everything else and only have False/True as output? M269 TMA03 Topics Revue

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Big O Notation

- Measuring program complexity introduced in section 4 of M269 Unit 2
- See also Miller and Ranum chapter 2 Big-O Notation
- See also Wikipedia: Big O notation
- See also Big-O Cheat Sheet

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- Complexity of algorithm measured by using some surrogate to get rough idea
- In M269 mainly using assignment statements
- For exact measure we would have to have cost of each operation, knowledge of the implementation of the programming language and the operating system it runs under.
- But mainly interested in the following questions:
- ▶ (1) Is algorithm A more efficient than algorithm B for large inputs?
- ▶ (2) Is there a lower bound on any possible algorithm for calculating this particular function?
- ▶ (3) Is it always possible to find a polynomial time (n^k) algorithm for any function that is computable
- the later questions are addressed in Unit 7

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Orders of Common Functions

- \triangleright O(1) constant look-up table
- O(log n) logarithmic binary search of sorted array, binary search tree, binomial heap operations
- \triangleright O(n) linear searching an unsorted list
- O(n log n) loglinear heapsort, quicksort (best and average), merge sort
- ► $O(n^2)$ quadratic bubble sort (worst case or naive implementation), Shell sort, quicksort (worst case), selection sort, insertion sort
- \triangleright $O(n^c)$ polynomial
- O(cⁿ) exponential travelling salesman problem via dynamic programming, determining if two logical statements are equivalent by brute force
- ▶ O(n!) **factorial** TSP via brute force.

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Tyranny of Asymptotics

- ► Table from Bentley (1984, page 868)
- ► Cubic algorithm on Cray-1 3.0*n*³ nanoseconds
- Linear algorithm on TRS-80 $19.5n \times 10^6$ nanoseconds

N	Cray-1	TRS-80
	<u> </u>	
10	3.0 microsecs	200 millisecs
100	3.0 millisecs	2.0 secs
1000	3.0 secs	20 secs
10000	49 mins	3.2 mins
100000	35 days	32 mins
1000000	95 yrs	5.4 hrs

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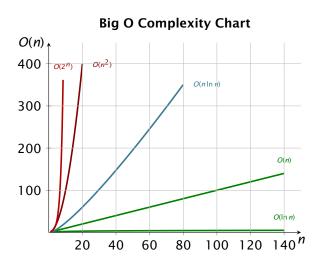
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Big O Complexity Chart



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▶ but O(g(x)) is the class of all functions h(x) such that $|h(x)| \le C|g(x)|$ for some constant C

So we should write $f(x) \in O(g(x))$ (but we don't)

We ought to use a notation that says that (informally) the function f is bounded both above and below by g asymptotically

This would mean that for big enough x we have $k_1 g(x) \le f(x) \le k_2 g(x)$ for some k_1, k_2

► This is Big Theta, $f(x) = \Theta(g(x))$

But we use Big O to indicate an asymptotically tight bound where Big Theta might be more appropriate

See Wikipedia: Big O Notation

This could be Maths phobia generated confusion

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Example

```
sdef someFunction(aList) :
6    n = len(aList)
7    best = 0
8    for i in range(n) :
9    for j in range(i + 1, n + 1) :
10         s = sum(aList[i:j])
11    best = max(best, s)
12   return best
```

- Example from M269 Unit 2 page 46
- Code in file M269TutorialProgPythonADT.py
- ▶ What does the code do?
- (It was a famous problem from the late 1970s/early 1980s)
- Can we construct a more efficient algorithm for the same computational problem?

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Program Complexity

Example (2)

- The code calculates the maximum subsegment of a list
- Described in Bentley (1984), (1988, column 7), (2000, column 7) Also in Gries (1989)
- These are all in a procedural programming style (as in C, Java, Python)
- Problem arose from medical image processing.
- A functional approach using Haskell is in Bird (1998, page 134), (2014, page 127, 133) a variant on this called the *Not the maximum segment sum* is given in Bird (2010, Page 73) both of these *derive* a linear time program from the (n³) initial specification
- See Wikipedia: Maximum subarray problem
- See Rosetta Code: Greatest subsequential sum

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Example (3)

- Here is the same program but modified to allow lists that may only have negative numbers
- \triangleright The complexity T(n) function will be slightly different
- but the Big O complexity will be the same

```
14 def maxSubSeg01(xs) :
    n = len(xs)
15
    \max SoFar = xs[0]
16
    for i in range(1,n):
17
      for j in range(i + 1, n + 1):
18
        s = sum(xs[i:j])
19
        \max SoFar = \max(\max SoFar, s)
20
    return maxSoFar
21
```

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- Two initial assignments
- ▶ The outer loop will be executed (n-1) times,
- Hence the inner loop is executed

$$(n-1)+(n-2)+\ldots+2+1=\frac{(n-1)}{2}\times n$$

- Assume sum() takes n assignments
- ► Hence $T(n) = 2 + (n+2) \times \left(\frac{(n-1)}{2} \times n\right)$ $= 2 + (n+2) \times \left(\frac{n^2}{2} - \frac{n}{2}\right)$ $= 2 + \frac{1}{2}n^3 - \frac{1}{2}n^2 + n^2 - n$ $=\frac{1}{2}n^3+\frac{1}{2}n^2-n+2$
- ightharpoonup Hence $O(n^3)$

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Example (5)

- Developing a better algorithm
- Assume we know the solution (maxSoFar) for xs[0..(i 1)]
- ▶ We extend the solution to xs[0..i] as follows:
- The maximum segment will be either maxSoFar
- or the sum of a sublist ending at i (maxToHere) if it is bigger
- This reasoning is similar to divide and conquer in binary search or Dynamic programming (see Unit 5)
- Keep track of both maxSoFar and maxToHere the Eureka step

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```
27 def maxSubSeg02(xs) :
28  maxToHere = xs[0]
29  maxSoFar = xs[0]
30  for x in xs[1:] :
31  # Invariant: maxToHere, maxSoFar OK for xs[0..(i-1)]
32  maxToHere = max(x, maxToHere + x)
33  maxSoFar = max(maxSoFar, maxToHere)
34  return maxSoFar
```

- Complexity function T(n) = 2 + 2n
- ► Hence *O*(*n*)
- What if we want more information?
- Return the (or a) segment with max sum and position in list

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```
38 def maxSubSeq03(xs) :
    maxSoFar = maxToHere = xs[0]
    startIdx, endIdx, startMaxToHere = 0, 0, 0
    for i. x in enumerate(xs) :
41
      if maxToHere + x < x:
42
        maxToHere = x
43
        startMaxToHere = i
44
45
      else ·
        maxToHere = maxToHere + x
46
      if maxSoFar < maxToHere :</pre>
48
        maxSoFar = maxToHere
49
        startIdx, endIdx = startMaxToHere, i
50
    return (maxSoFar.xs[startIdx:endIdx+1].startIdx.endIdx)
52
```

- Developing a better algorithm maxSubSeg03()
- Complexity function worst case T(n) = 2 + 3 + (2 + 3)n
- ► Hence still *O*(*n*)
- Note Python assignments, enumerate() and tuple

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Example (8)

Sample data and output

```
56 \text{ eaList} = [-2.1. -3.4. -1.2.1. -5.4]
58 \text{ eqList}01 = [-1, -1, -1]
60 \text{ egList02} = [1,2,3]
62 assert maxSubSeg03(egList) == (6, [4, -1, 2, 1], 3, 6)
```

64 assert maxSubSeq03(eqList01) == $(-1, \lceil -1 \rceil, 0, 0)$

66 assert maxSubSeg03(egList02) == (7, [1, 2, 3], 0, 2)

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Python Data Types — Lists

Operation	Notation	Average	Amortized Worst
Get item	x = xs[i]	<i>O</i> (1)	O(1)
Set item	xs[i] = x	O(1)	O(1)
Append	XS = YS + ZS	O(1)	O(1)
Сору	xs = ys[:]	O(n)	O(n)
Pop last	xs.pop()	O(1)	O(1)
Pop other	xs.pop(i)	O(k)	O(k)
Insert(i,x)	xs[i:i] = [x]	O(n)	O(n)
Delete item	del xs[i:i+1]	O(n)	O(n)
Get slice	xs = ys[i:j]	O(k)	O(k)
Set slice	xs[i:j] = ys	O(k + n)	O(k+n)
Delete slice	xs[i:j] = []	O(n)	O(n)
Member	x in xs	O(n)	
Get length	n = len(xs)	O(1)	O(1)
Count(x)	n = xs.count(x)	O(n)	O(n)

- ► Source https://wiki.python.org/moin/TimeComplexity
- See https://docs.python.org/3/library/stdtypes.html# sequence-types-list-tuple-range

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User Defined Type — Bags

```
sclass Bag:
    def __init__(self):
      self.list = []
8
10
    def add(self. item):
      self.list.append(item)
11
    def remove(self. item):
13
      self.list.remove(item)
14
    def contains(self. item):
16
      return item in self.list
17
    def count(self, item):
19
      return self.list.count(item)
20
    def size(self):
22
      return len(self.list)
23
    def __str__(self):
25
      return str(self.list)
26
```

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returns occurrences of term divided by total strings in document

Inverse Document Frequency, idf, takes a string, term, and a list of Bags, documents returns log(total/(1 + containing)) — total is total number of Bags, containing is the number of Bags containing term

tf-idf, tf_idf, takes a string, term, and a list of Bags, documents

returns a sequence $[r_0, r_1, ..., r_{n-1}]$ such that $r_i = \mathsf{tf}(\mathsf{term}, d_i) \times \mathsf{idf}(\mathsf{term}, \mathsf{documents})$

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- ► We compare the functions implementing algorithms by looking at the asymptotic behaviour of the functions for large inputs.
- ▶ If f and g are functions taking taking natural numbers as input (the problem size) and returning nonnegative results (the effort required in the calculations.)
- ▶ f is of order g and write $f = \Theta(g)$, if there are positive constants k_1 and k_2 and a natural number n_0 such that

$$k_1 g(n) \le f(n) \le k_2 g(n)$$
 for all $n > n_0$

This means that some multipliers times g(n) provide upper and lower bounds to f(n)

- ► If we only wanted an upper bound on the values of a function, then you can use Big-O notation.
- ▶ We say f is of order at most g and write f = O(g), if there is a positive constant k and a natural number n_0 such that

$$f(n) \leq kg(n)$$
 for all $n > n_0$

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Note that the notation is heavily abused:

Many authors use Big-O notation when they really mean $\text{Big-}\Theta$ notation

We really should define the Θ notation to say that $\Theta(g)$ denotes the set of all functions f with the stated property and write $f \in \Theta(g)$ — however the use of $f = \Theta(g)$ is traditional

► The next section gives some rules for manipulating the notation to calculate overall complexities of functions from their component parts — this also abuses the notation for equality

Based on Bird and Gibbons (2020, page 25) Algorithm Design with Haskell and Graham, Knuth and Patashnik (1994, page 450) Concrete Mathematics: A Foundation for Computer Science

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Big-O and Big-Theta Rules

 $ightharpoonup n^p = O(n^q)$ where $p \leq q$

This has some surprising consequences -n = O(n) and $n = O(n^2)$ — remember Big-O just gives upper bounds.

- ightharpoonup O(f(n)) + O(g(n)) = O(|f(n)| + |g(n)|)
- ▶ $\Theta(n^p) + \Theta(n^q) = \Theta(n^q)$ where $p \leq q$
- $f(n) = \Theta(f(n))$
- $ightharpoonup c \cdot \Theta(f(n)) = \Theta(f(n))$ if c is constant
- $ightharpoonup \Theta(\Theta(f(n))) = \Theta(f(n))$
- $ightharpoonup \Theta(f(n))\Theta(g(n)) = \Theta(f(n)g(n))$
- $\Theta(f(n)g(n)) = f(n)\Theta(g(n))$

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```
def numVowels(txt : str) -> int ;
      """Find the number of vowels in text
      .....
      vowelCount = 0
6
      vowels = "aeiouAFTOU"
      for ch in txt:
9
        if ch in vowels:
10
          vowelCount = vowelCount + 1
11
      return vowelCount
12
```

- The rules give $\Theta(1) + \Theta(1) + \Theta(n) \times \Theta(|vowe|s|) \times \Theta(1)$
 - where n = |txt|
- Since |vowels| = 10 the overall complexity is $\Theta(n)$

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- ► List Comprehensions (tutorial), List Comprehensions (reference) provide a concise way of performing calculations over lists (or other iterables)
- Example: Square the even numbers between 0 and 9

```
Python3>>> [x ** 2 for x in range(10) if x % 2 == 0]
[0. 4. 16. 36. 64]
```

Example: List all pairs of integers (x, y) such that x < 4, y < 4 and x is divisible by 2 and y is divisible by 3

```
Python3>>> [(x,y) for x in range(4)
                  for y in range(4)
                   if x \% 2 == 0
                      and v \% 3 == 01
[(0, 0), (0, 3), (2, 0), (2, 3)]
Python3>>>
```

In general

```
[expr for target1 in iterable1 if cond1
      for target2 in iterable2 if cond2 ...
      for targetN in iterableN if condN 1
```

Lots example usage in the algorithms below

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Haskell

- List Comprehensions provide a concise way of performing calculations over lists
- Example: Square the even numbers between 0 and 9

```
GHCi> [x^2 | x <- [0..9], x 'mod' 2 == 0]
[0,4,16,36,64]
GHCi>
```

In general

```
[expr | qual1, qual2,..., qualN]
```

- ► The qualifiers qual can be
 - ► Generators pattern <- list
 - Boolean guards acting as filters
 - Local declarations with let decls for use in expr and later generators and boolean guards

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- Stop words are the most common words that most search engines avoid: 'a', 'an', 'the', 'that',...
- Using list comprehensions, write a function filterStopWords that takes a list of words and filters out the stop words
- Here is the initial code

```
sentence \
11
     = "the quick brown fox jumps over the lazy dog"
12
    words = sentence.split()
    wordsTest \
16
     = (words == ['the', 'quick', 'brown'
17
                    'fox', 'jumps', 'over'
, 'the', 'lazy', 'dog'])
18
19
21
    stopWords \
     = ['a','an','the','that']
22
```

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Activity 1 (a) Stop Words Filter

```
sentence \
11
     = "the quick brown fox jumps over the lazy dog"
12
    words = sentence.split()
14
    wordsTest \
16
     = (words == ['the', 'quick', 'brown'
17
                  , 'fox', 'jumps', 'over'
18
                  . 'the'. 'lazv'. 'dog'l)
19
    stopWords \
21
     = ['a'.'an'.'the'.'that']
22
```

- ► Notice the Python Explicit line joining with (\<n1>) and Python Implicit line joining with ((...))
- ► The backslash (\) must be followed by an end of line character (<n1>)
- The ('_') symbol represents a space (see Unicode U+2423 Open Box)

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We transpose a matrix by swapping columns and rows

Here is an example

```
matrixA \
38
     = [[1, 2, 3, 4]]
39
       ,[5, 6, 7, 8]
40
        ,[9, 10, 11, 12]]
41
    matATr \
43
     = [[1, 5, 9]]
44
45
        .[2, 6, 10]
46
        ,[4, 8, 12]]
47
```

 Using list comprehensions, write a function transMat, to transpose a matrix

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Activity 1 (c) List Pairs in Fair Order

- Write a function which takes a pair of positive integers and outputs a list of all possible pairs in those ranges
- If we do this in the simplest way we get a bias to one argument
- Here is an example of a bias to the second argument

```
68 yBiasLstTest \
69 = (yBiasListing(5,5))
70 == [(0, 0), (0, 1), (0, 2), (0, 3), (0, 4))
71 , (1, 0), (1, 1), (1, 2), (1, 3), (1, 4)
72 , (2, 0), (2, 1), (2, 2), (2, 3), (2, 4)
73 , (3, 0), (3, 1), (3, 2), (3, 3), (3, 4)
74 , (4, 0), (4, 1), (4, 2), (4, 3), (4, 4)])
```

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- Rewrite the function which takes a pair of positive integers and outputs a list of all possible pairs in those ranges
- The output should treat each argument fairly any initial prefix should have roughly the same number of instances of each argument
- Here is an example output

81

82

83

84

85

86

87

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- Rewrite the function which takes a pair of positive integers and outputs a list of lists of all possible pairs in those ranges
- ► The output should treat each argument fairly any initial prefix should have roughly the same number of instances of each argument — further, the output should be segment by each initial prefix (see example below)
- Here is an example output

```
94 fairLstATest \
95 = (fairListingA(5,5))
96 == [[(0, 0)]
97 , [(0, 1), (1, 0)]
98 , [(0, 2), (1, 1), (2, 0)]
99 , [(0, 3), (1, 2), (2, 1), (3, 0)]
100 , [(0, 4), (1, 3), (2, 2), (3, 1), (4, 0)]])
```

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- Note that list comprehensions are not in M269
- See Complexity of a List Comprehension

[f(e) for e in row for row in mat]

- Suppose $f = \Theta(g)$ with n elements in a row and m rows
- Then complexity is $\Theta(g(e)) \times \Theta(n) \times \Theta(m) = \Theta(m \times n \times g(e))$

[[e**2 for e in row] for row in mat]

- $\Theta(e * *2) = \Theta(1)$
- Suppose n is maximum length of a row and m rows
- ► Then complexity is $\Theta(1) \times \Theta(n) \times \Theta(m) = \Theta(n \times m)$

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Answer 1 (a) Stop Words Filter

- Answer 1 (a) Stop Words Filter
- Write here:
- Answer 1 continued on next slide

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Answer 1 (a) Stop Words Filter

Answer 1 (a) Stop Words Filter

```
24
    def filterStopWords(words) :
25
      nonStopWords \
       = [word for word in words
26
               if word not in stopWords]
27
      return nonStopWords
28
    filterStopWordsTest \
31
    = filterStopWords(words) \
32
        == ['quick', 'brown', 'fox'
33
          'jumps', 'over', 'lazy', 'dog']
34
```

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Answer 1 (b) Transpose Matrix

- Answer 1 (b) Transpose Matrix
- Write here:
- Answer 1 continued on next slide

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Answer 1 (b) Transpose Matrix

Answer 1 (b) Transpose Matrix

```
49
    def transMat(mat) :
50
      rowLen = len(mat[0])
      matTr \
51
       = [[row[i] for row in mat] for i in range(rowLen)]
52
      return matTr
53
    transMatTestA \
55
     = (transMat(matrixA)
56
57
        == matATr)
```

- Note that a list comprehension is a valid expression as a target expression in a list comprehension
- ► The code assumes every row is of the same length
- Answer 1 continued on next slide

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▶ Note the differences in the list comprehensions below

```
38 matrixA \
39 = [[1, 2, 3, 4]
40 , [5, 6, 7,8]
41 , [9, 10, 11, 12]]
```

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Answer 1 (b) Transpose Matrix

- Answer 1 (b) Transpose Matrix
- The Python NumPy package provides functions for N-dimensional array objects
- For transpose see numpy.ndarray.transpose

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- do to Activit

Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order first version
- ► Write here

```
69 yBiasLstTest \
70 = (yBiasListing(5,5)
71 == [(0, 0), (0, 1), (0, 2), (0, 3), (0, 4)
72 , (1, 0), (1, 1), (1, 2), (1, 3), (1, 4)
73 , (2, 0), (2, 1), (2, 2), (2, 3), (2, 4)
74 , (3, 0), (3, 1), (3, 2), (3, 3), (3, 4)
75 , (4, 0), (4, 1), (4, 2), (4, 3), (4, 4)])
```

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Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order
- This is the obvious but biased version

```
63
    def yBiasListing(xRng,yRng) :
64
      yBiasLst \
        = \Gamma(x,y) for x in range(xRng)
65
                   for v in range(vRng)]
66
      return yBiasLst
67
    yBiasLstTest \
69
     = (yBiasListing(5,5)
70
          == [(0, 0), (0, 1), (0, 2), (0, 3), (0, 4)]
71
              (1, 0), (1, 1), (1, 2), (1, 3), (1, 4)
72
              (2, 0), (2, 1), (2, 2), (2, 3), (2, 4)
73
              , (3, 0), (3, 1), (3, 2), (3, 3), (3, 4)
, (4, 0), (4, 1), (4, 2), (4, 3), (4, 4)])
74
75
```

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Answer 1 (c) List Pairs in Fair Order

- ► Answer 1 (c) List Pairs in Fair Order second version
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- ► Answer 1 (c) List Pairs in Fair Order second version
- This works by making the sum of the coordinates the same for each prefix

```
def fairListing(xRng,yRng) :
77
       fairLst \
78
        = [(x,d-x) for d in range(yRng)
79
                      for x in range(d+1)]
80
       return fairLst
81
    fairLstTest \
83
      = (fairListing(5,5)
84
          == \Gamma(0, 0)
85
              (0, 1), (1, 0)
86
               , (0, 2), (1, 1), (2, 0)
87
              , (0, 3), (1, 2), (2, 1), (3, 0)
, (0, 4), (1, 3), (2, 2), (3, 1), (4, 0)]
88
89
```

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Answer 1 (c) List Pairs in Fair Order

97 98

99

101

102 103

- Answer 1 (c) List Pairs in Fair Order third version
- Write here

```
fairLstATest \
 = (fairListingA(5,5)
      == [[(0, 0)]]
           , [(0, 1), (1, 0)]
             [(0, 2), (1, 1), (2, 0)]
          , [(0, 3), (1, 2), (2, 1), (3, 0)]
, [(0, 4), (1, 3), (2, 2), (3, 1), (4, 0)]])
```

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Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order third version
- ► The *inner loop* is placed into its own list comprehension

```
91
     def fairListingA(xRng,yRng) :
92
        fairLstA \
         = [[(x,d-x) \text{ for } x \text{ in } range(d+1)]
93
                         for d in range(vRng)]
94
        return fairLstA
95
     fairLstATest \
97
       = (fairListingA(5,5)
98
            == [[(0, \bar{0})]]
99
                , [(0, 1), (1, 0)]
100
                , [(0, 2), (1, 1), (2, 0)]
101
                , [(0, 3), (1, 2), (2, 1), (3, 0)]
, [(0, 4), (1, 3), (2, 2), (3, 1), (4, 0)]])
102
103
```

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similar to the original problem

Many useful algorithms are recursive in structure and often follow a *divide-and-conquer method*They break the problem into several subproblems

- ► The time analysis is represented by a *recurrence system*
- References
- ▶ Big *O* notation
- Master theorem
- Cormen et al (2022, chp 4) Algorithms
- ► These notes are partly based on M261 Mathematics in Computing and M263 Building Blocks of Software and are not part of M269 Algorithms, Data Structures and Computability

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Master Theorem

Recurrence System (a)

Recurrence System

$$T(1) = b$$

 $T(n) = bn^{\beta} + cT\left(\frac{n}{d}\right) \qquad \{n = d^{\alpha} > 1\}$

n T(n)

$$d^{0}$$
 b
 d^{1} $bn^{\beta} + cb$
 d^{2} $bn^{\beta} + cb \left(\frac{n}{d}\right)^{\beta} + c^{2}b$

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Master Theorem

Recurrence System (b)

General Expansion

$$T(n) = bn^{\beta} + cT\left(\frac{n}{d}\right)$$

$$= bn^{\beta} + cb\left(\frac{n}{d}\right)^{\beta} + c^{2}T\left(\frac{n}{d^{2}}\right)$$

$$= bn^{\beta}\left(1 + \frac{c}{d^{\beta}} + \left(\frac{c}{d^{\beta}}\right)^{2} + \dots + \left(\frac{c}{d^{\beta}}\right)^{\alpha}\right)$$

$$T(n) = bn^{\beta}\sum_{i=0}^{\log_{d}n} \left(\frac{c}{d^{\beta}}\right)^{i}$$

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- ▶ Proof of Closed Form Equation (3)
- For n = 1 equation (3) gives

$$T(1) = b1^{\beta} \sum_{i=0}^{0} \left(\frac{c}{d^{\beta}}\right)^{i} = b$$
 which is correct (same as (1))

Assume equation (3) holds for $n = d^{\alpha}$. Then for $n = d^{\alpha+1}$ $T\left(d^{\alpha+1}\right) = cT\left(d^{\alpha}\right) + bn^{\beta} \qquad \text{by equation (2)}$

$$= cbd^{\alpha\beta} \sum_{i=0}^{\alpha} \left(\frac{c}{d^{\beta}}\right)^{i} + bd^{(\alpha+1)\beta} \qquad \text{by } a$$

$$= \left(\frac{c}{d^{\beta}}\right) bd^{(\alpha+1)\beta} \sum_{i=0}^{\alpha} \left(\frac{c}{d^{\beta}}\right)^{i} + bd^{(\alpha+1)\beta}$$

$$= bd^{(\alpha+1)\beta} \left(\sum_{i=1}^{\alpha+1} \left(\frac{c}{d^{\beta}} \right)^i + 1 \right)$$
 by rearrangement

by assumption

=
$$bd^{(\alpha+1)\beta} \sum_{i=0}^{\alpha+1} \left(\frac{c}{d^{\beta}}\right)^{i}$$
 by rearrangement

► Hence equation (3) holds for all $n = d^{\alpha}$ where $\alpha \in \mathbb{N}$

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Master Theorem

Cases

- 1. If $c < d^{\beta}$ then the sum converges and T(n) is $\Theta(n^{\beta})$
- 2. If $c = d^{\beta}$ then each term in the sum is 1 and T(n) is $\Theta\left(n^{\beta} \log_d n\right)$
- 3. If $c > d^{\beta}$ then use $\sum_{i=0}^{p} x^{i} = \frac{x^{p+1} 1}{x 1}$

$$T(n) = bn^{\beta} \left[\frac{\left(\frac{c}{d^{\beta}}\right)^{\log_{d} n + 1} - 1}{\left(\frac{c}{d^{\beta}}\right) - 1} \right]$$

$$= \Theta\left(n^{\beta} \left(\frac{c}{d^{\beta}}\right)^{\log_{d} n}\right)$$

$$= \Theta\left(c^{\log_{d} n}\right)$$

$$= \Theta\left(n^{\log_{d} c}\right) \text{ since } a^{\log_{b} x} = x^{\log_{b} a}$$

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Master Theorem Example Usage (1)

Binary Search

- Algorithm
- Find mid point and check if not equal to target, recurse on half the data
- Timing equations

$$T(1) \leqslant 1$$

$$T(n) = T\left(\frac{n}{2}\right) + 1$$

► Hence
$$c = 1$$
, $d = 2$, $β = 0 → case$ (2)
 $T(n) = \Theta(\log_2 n)$

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Master Theorem Example Usage (2)

Quicksort

- Algorithm
- Best case: splitting on median of data
- Recursively sort each half
- Timing equations

$$T(1) \leq k$$

$$T(n) = 2T\left(\frac{n}{2}\right) + kn$$

- Hence c = 2, d = 2, $\beta = 1 \rightarrow case$ (2) $T(n) = \Theta(n \log_2 n)$
- ► See Averages/Median

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- Matrix Multiplication
- Let A, B be two square matrices over a ring, \mathcal{R}
- Informally, a ring is a set with two binary operations which look similar to addition and multiplication of integers
- ► The problem is to implement matrix multiplication to find the matrix product *C* = *AB*
- Without loss of generality, we may assume that A, and B have sizes which are powers of 2 if A, and B were not of this size, they could be padded with rows or columns of zeroes
- ► The Strassen algorithm partitions *A*, *B* and *C* into equally sized blocks

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \qquad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} \qquad C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$
with A_{ii} , B_{ii} , C_{ii} \in Mat₂ n -1 \times 2 n -1 \times 2 n -1

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Matrix Multiplication — Strassen's Algorithm (b)

The usual (naive, standard) algorithm gives

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$= \begin{pmatrix} A_{11} \times B_{11} + A_{12} \times B_{21} & A_{11} \times B_{12} + A_{12} \times B_{22} \\ A_{21} \times B_{11} + A_{22} \times B_{21} & A_{21} \times B_{12} + A_{22} \times B_{22} \end{pmatrix}$$

This as 8 multiplications and if we assume multiplication is more expensive than addition then the time complexity is $\Theta(n^3)$

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Matrix Multiplication — Strassen's Algorithm (c)

 $M_7 = (A_{12} - A_{22}) \times (B_{21} + B_{22})$

► The Strassen algorithm rearranges the calculation

$$M_{1} = (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$M_{2} = (A_{21} + A_{22}) \times B_{11}$$

$$M_{3} = A_{11} \times (B_{12} - B_{22})$$

$$M_{4} = A_{22} \times (B_{21} - B_{11})$$

$$M_{5} = (A_{11} + A_{12}) \times B_{22}$$

$$M_{6} = (A_{21} - A_{11}) \times (B_{11} + B_{12})$$

• We now express the C_{ij} in terms of the M_k

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$= \begin{pmatrix} M_1 + M_4 - M_5 + M_7 & M_3 + M_5 \\ M_2 + M_4 & M_1 - M_2 + M_3 + M_6 \end{pmatrix}$$

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Master Theorem Example Usage (3)

Matrix Multiplication — Strassen's Algorithm (d)

Strassen Matrix Multiplication Timing Equations

$$T(n) = 7T\left(\frac{n}{2}\right) + \frac{18}{4}n^2$$
$$T(1) \le \frac{18}{4}$$

- This is derived from the 7 multiplications and 18 additions or subtractions
- ► c = 7, d = 2, $\beta = 2 \rightarrow case$ (3) $T(n) = \Theta\left(n^{\log_2 7}\right) = \Theta\left(n^{2.8}\right)$

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$$a^n = a \times a \times \cdots \times a \ (n \ a \ \text{terms})$$

Logarithm reverses the operation of exponentiation

- $\triangleright \log_a y = x \text{ means } a^x = y$
- $\triangleright \log_a 1 = 0$
- $\triangleright \log_a a = 1$
- Method of logarithms propounded by John Napier from 1614
- Log Tables from 1617 by Henry Briggs
- Slide Rule from about 1620-1630 by William Oughtred of Cambridge
- Logarithm from Greek logos ratio, and arithmos number Chambers Dictionary (13th Edition, 2014)

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Exponentiation

Rules of Indices

1.
$$a^m \times a^n = a^{m+n}$$

2.
$$a^{m} \div a^{n} = a^{m-n}$$

3.
$$a^{-m} = \frac{1}{a^m}$$

4.
$$a^{\frac{1}{m}} = \sqrt[m]{a}$$

5.
$$(a^m)^n = a^{mn}$$

6.
$$a^{\frac{n}{m}} = \sqrt[m]{a^n}$$

7.
$$a^0 = 1$$
 where $a \neq 0$

- Exercise Justify the above rules
- ► What should 0⁰ evaluate to?
- See Wikipedia: Exponentiation
- The justification above probably only worked for whole or rational numbers — see later for exponents with real numbers (and the value of logarithms, calculus...)

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Change of Base Before Calculators

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- Make arithmetic easier turns multiplication and division into addition and subtraction (see later)
- Complete the range of elementary functions for differentiation and integration
- An elementary function is a function of one variable which is the composition of a finite number of arithmetic operations $((+), (-), (\times), (\div))$, exponentials, logarithms, constants, and solutions of algebraic equations (a generalization of nth roots).
- The elementary functions include the trigonometric and hyperbolic functions and their inverses, as they are expressible with complex exponentials and logarithms.
- ► See A Level FP2 for Euler's relation $e^{i\theta} = \cos \theta + i \sin \theta$
- ► In A Level C3, C4 we get $\int \frac{1}{x} = \log_e |x| + C$
- e is Euler's number 2.71828...

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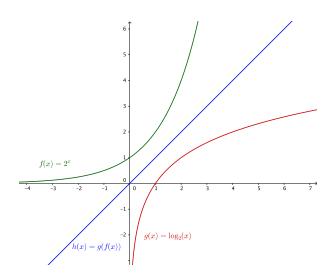
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Exponentials and Logarithms

Graphs

► See GeoGebra file expLog.ggb



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Exponentials and Logarithms

Laws of Logarithms

- Multiplication law $\log_a xy = \log_a x + \log_a y$
- **Division law** $\log_a \left(\frac{x}{y} \right) = \log_a x \log_a y$
- **Power law** $\log_a x^k = k \log_a x$
- **Proof of Multiplication Law**

$$x = a^{\log_a x}$$

 $y = a^{\log_a y}$ by definition of log
 $xy = a^{\log_a x} \times a^{\log_a y}$
 $= a^{\log_a x + \log_a y}$ by laws of indices
Hence $\log_a xy = \log_a x + \log_a y$ by definition of log

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► Addition add(
$$b$$
, x) = $x + b$

► Subtraction
$$sub(b, x) = x - b$$

Inverse
$$sub(b, add(b, x)) = (x + b) - b = x$$

► Multiplication
$$mul(b, x) = x \times b$$

Division div(b, x) =
$$x \div b = \frac{x}{b} = x/b$$

► Inverse div(b, mul(b, x)) =
$$(x \times b) \div b = \frac{(x \times b)}{b} = x$$

Exponentiation
$$exp(b, x) = b^x$$

Logarithm
$$\log(b, x) = \log_b x$$

Inverse
$$\log(b, \exp(b, x)) = \log_b(b^x) = x$$

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Arithmetic Operations

Commutativity and Associativity

- ► Commutativity $x \circledast y = y \circledast x$
- Associativity $(x \circledast y) \circledast z = x \circledast (y \circledast z)$
- ► (+) and (×) are semantically commutative and associative — so we can leave the brackets out
- ► (-) and (÷) are not
- Evaluate (3 (2 1)) and ((3 2) 1)
- Evaluate (3/(2/2)) and ((3/2)/2)
- We have the syntactic ideas of left (and right) associativity
- ▶ We choose (-) and (÷) to be left associative
- ▶ 3 2 1 means ((3 2) 1)
- > 3/2/2 means ((3/2)/2)
- Operator precedence is also a choice (remember BIDMAS or BODMAS?)
- If in doubt, put the brackets in

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Exponentials and Logarithms

Associativity

- ► What should 2³⁴ mean?
- ► Let $b \land x \equiv b^x$
- Evaluate (2 ^ 3) ^ 4 and 2 ^ (3 ^ 4)
- Evaluate $c = \log_b(\log_b((b \land b) \land x))$
- Evaluate $d = \log_b(\log_b(b \land (b \land x)))$
- Beware spreadsheets Excel and LibreOffice here

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- $(2^3)^4 = 2^{12}$ and $2^{3^4} = 2^{81}$
- Exponentiation is not semantically associative
- We choose the syntactic left or right associativity to make the syntax nicer.
- $Evaluate c = \log_b(\log_b((b \land b) \land x))$
- $c = \log_b(x \log_b(b^b)) = \log_b(x \cdot (b \log_b b)) = \log_b(x \cdot b \cdot 1)$
- ► Hence $c = \log_b x + \log_b b = \log_b x + 1$
- Not symmetrical (unless *b* and *x* are both 2)
- $Evaluate d = \log_b(\log_b(b \land (b \land x)))$
- $d = \log_b((b \land x)(\log_b b)) = \log_b((b \land x) \times 1)$
- ► Hence $d = \log_b(b \land x) = x(\log_b b) = x$
- Which is what we want so exponentiation is chosen to be right associative

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Change of base

$$\log_{a} x = \frac{\log_{b} x}{\log_{b} a}$$
Proof: Let $y = \log_{a} x$

$$a^{y} = x$$

$$\log_{b} a^{y} = \log_{b} x$$

$$y \log_{b} a = \log_{b} x$$

$$y = \frac{\log_{b} x}{\log_{b} a}$$

• Given x, $\log_b x$, find the base b

$$b = x^{\frac{1}{\log_b x}}$$

$$\log_a b = \frac{1}{\log_b a}$$

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Before Calculators

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Logic Introduction References 200/246

Before Calculators and Computers

- We had computers before 1950 they were humans with pencil, paper and some further aids:
- Slide rule invented by William Oughtred in the 1620s major calculating tool until pocket calculators in 1970s
- Log tables in use from early 1600s method of logarithms propounded by John Napier
- Logarithm from Greek logos ratio, and arithmos number

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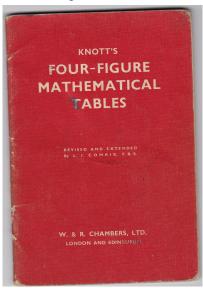
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Log Tables Slide Rules Calculators Example Calculation

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Knott's Four-Figure Mathematical Tables



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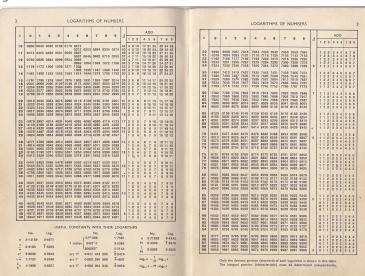
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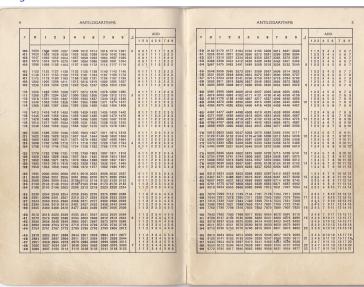
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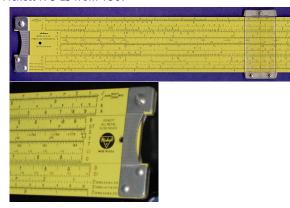
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Slide Rules

Pickett N 3-ES from 1967



- See Oughtred Society
- ▶ UKSRC
- Rod Lovett's Slide Rules
- ▶ Slide Rule Museum

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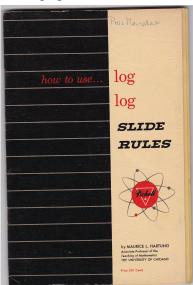
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Pickett log log Slide Rules Manual 1953



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HP HP-21 Calculator from 1975 £69



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Casio fx-85GT PLUS Calculator from 2013 £10



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Calculator Links

► HP Calculator Museum http://www.hpmuseum.org

► HP Calculator Emulators http://nonpareil.brouhaha.com

► HP Calculator Emulators for OS X http://www.bartosiak.org/nonpareil/

► Vintage Calculators Web Museum http://www.vintagecalculators.com M269 TMA03 Topics Revue

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- ► Evaluate 89.7 × 597
- Knott's Tables
- $ightharpoonup log_{10} 89.7 = 1.9528$ and $log_{10} 597 = 2.7760$
- Shows mantissa (decimal) & characteristic (integral)
- ► Add 4.7288, take *antilog* to get $5346 + 10 = 5.356 \times 10^4$
- ► HP-21 Calculator set display to 4 decimal places
- \triangleright 89.7 \log = 1.9528 and 597 \log = 2.7760
- + displays 4.7288
- ► 10 ENTER, $x \neq y$ and y^x displays 53550.9000
- Casio fx-85GT PLUS
- log 89.7) = 1.952792443 + log 597) = 2.775974331 =
- ► 4.728766774 Ans + 10^x gives 53550.9

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- ▶ Vehicles should not wait on red on *BD* for too long.
- ► If there is a long queue on AC then BD is only given a green for a short interval.
- If both queues are long the usual flow times are used.
- We use the following propositions:
 - w Vehicles have been waiting on red on BD for too long
 - q Queue on AC is too long
 - r Queue on BD is too long
- Given the following events:
 - ► ToBD Change flow to *BD*
 - ► ToBDShort Change flow to BD for short time
 - NoChange No Change to lights
- Express above as truth table, outcome tree, boolean expression

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Traffic Lights Example (2)

Traffic Lights outcome table

W	q	r	Event
Т	Т	Т	ToBD
Т	Т	F	ToBDShort
Т	F	Т	ToBD
Т	F	F	ToBD
F	Т	Т	NoChange
F	Т	F	NoChange
F	F	Т	NoChange
_F	F	F	NoChange

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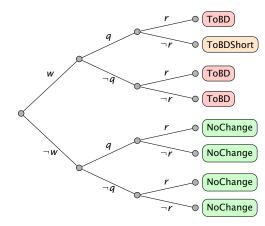
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Traffic Lights Example (3)

Traffic lights outcome tree



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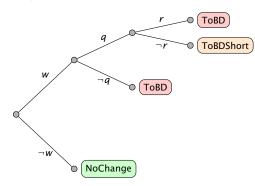
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Traffic Lights Example (4)

► Traffic lights outcome tree simplified



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- Traffic Lights code 01
- See M269TutorialProgPythonADT01.py

```
3 def trafficLights01(w,q,r) :
    Input 3 Booleans
    Return Event string
    if w:
8
      if q:
9
        if r:
10
          evnt = "ToBD"
11
12
        else:
          evnt = "ToBDShort"
13
      else:
14
        evnt = "ToBD"
15
    else:
16
      evnt = "NoChange"
17
    return evnt
18
```

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Traffic Lights test code 01

```
22 trafficLights01Evnts = [((w,q,r), trafficLights01(w,q,r))
23
                                 for w in [True, False]
                                 for a in [True.False]
24
                                 for r in [True.False]]
25
27 assert trafficLights01Evnts \
    == [((True, True, True), 'ToBD')
28
         ((True, True, False), 'ToBDShort')
29
         .((True, False, True), 'ToBD')
30
31
         ((True, False, False), 'ToBD')
         ,((False, True, True), 'NoChange')
32
         ,((False, True, False), 'NoChange')
33
         ((False, False, True), 'NoChange')
34
         .((False, False, False), 'NoChange')]
35
```

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Traffic Lights Example (7)

► Traffic Lights code 02 compound Boolean conditions

```
37 def trafficLights02(w.g.r) :
38
    Input 3 Booleans
39
    Return Event string
40
41
    if ((w and q and r) or (w and not q)) :
42
      evnt = "ToBD"
43
    elif (w and q and not r):
      evnt = "ToBDShort"
46
    else:
      evnt = "NoChange"
47
    return evnt
48
```

What objectives do we have for our code?

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Traffic Lights Example (8)

► Traffic Lights test code 02

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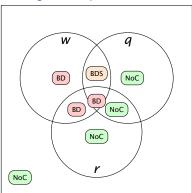
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Traffic Lights Example (9)



- Traffic Lights Venn diagram
- OK using a fill colour would look better but didn't have the time to hack the package

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Validity

- Validity of Boolean expressions
- Complete every outcome returns an event (or error message, raises an exception)
- Consistent we do not want two nested if statements or expressions resulting in different events
- We check this by ensuring that the events form a disjoint partition of the set of outcomes — see the Venn diagram
- ► We would quite like the programming language processor to warn us otherwise not always possible

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- c Rail card
- a Off-peak time
- s Special offer
- 4 fares: Standard, Reduced, Special, Super Special
- ► Rules:
 - 1. Reduced fare if rail card or at off-peak time
 - Without rail card no reduction for both special offer and off-peak.
 - Rail card always has reduced fare but cannot get off-peak discount as well.
 - Rail card gets super special discount for journey with special offer
- Draw up truth table, outcome tree, Venn diagram and conditional statement (or expression) for this

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Rail Ticket Exercise (2)

► Rail ticket outcome table

С	9	S	Event
Т	Т	Т	Super Special
Т	Т	F	Reduced
Т	F	Т	Super Special
Т	F	F	Reduced
F	Т	Т	Special
F	Т	F	Reduced
F	F	Т	Special
F	F	F	Standard

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- ► Rail ticket outcome table
- Note that it may be more convenient to change columns

с	S	9	Event
Т	Т	Т	Super Special
Т	Т	F	Super Special
Т	F	Т	Reduced
Т	F	F	Reduced
F	Т	Т	Special
F	Т	F	Special
F	F	Т	Reduced
F	F	F	Standard

► Real fares are a little more complex — see brfares.com

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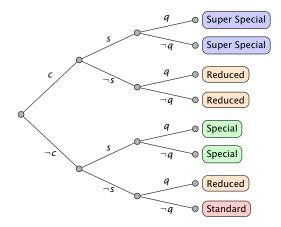
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Rail Ticket Exercise (4)

► Rail Ticket outcome tree



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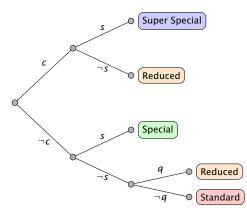
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Rail Ticket Exercise (5)

► Rail Ticket outcome tree simplified



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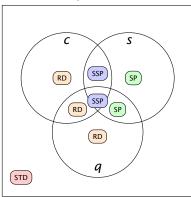
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Rail Ticket Example (6)



Rail Ticket Venn diagram

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► Rail Ticket code 01

```
61 def railTicket01(c.s.g) :
62
    Input 3 Booleans
63
    Return Event string
64
65
    if c:
66
      if s:
67
        evnt = "SSP"
69
      else:
        evnt = "RD"
70
    else:
71
      if s:
72
        evnt = "SP"
73
      else:
74
        if q:
75
          evnt = "RD"
76
77
        else:
78
          evnt = "STD"
79
    return evnt
```

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Rail Ticket Example (8)

► Rail Ticket test code 01

```
83 railTicket01Evnts = [((c,s,q), railTicket01(c,s,q))
84
                                 for c in [True, False]
                                 for s in [True.False]
85
                                 for a in [True.False]]
86
88 assert railTicket01Evnts \
    == [((True, True, True), 'SSP')
         ((True, True, False), 'SSP')
90
         .((True, False, True), 'RD')
91
92
         ((True, False, False), 'RD')
         ((False, True, True),
                                 'SP')
93
         ,((False, True, False),
94
         ((False, False, True), 'RD')
95
         ,((False, False, False), 'STD')]
96
```

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Rail Ticket Example (9)

▶ Rail Ticket code 02 compound Boolean expressions

```
98 def railTicket02(c.s.g) :
99
     Input 3 Booleans
100
    Return Event string
101
102
    if (c and s):
103
       evnt = "SSP"
104
    elif ((c and not s) or (not c and not s and q)) :
105
       evnt = "RD"
106
107
    elif (not c and s):
       evnt = "SP"
108
    else:
109
       evnt = "STD"
110
    return evnt
111
```

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Rail Ticket Example (10)

Rail Ticket test code 02

```
railTicket02Evnts = [((c,s,q), railTicket02(c,s,q))
                                  for c in [True, False]
116
                                  for s in [True, False]
117
                                  for q in [True, False]]
118
120 assert railTicket02Evnts == railTicket01Evnts
```

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Propositional Calculus

Introduction

- Unit 2 section 3.2 A taste of formal logic introduces Propositional calculus
- A language for calculating about Booleans truth values
- ► Gives operators (connectives) conjunction (∧) AND, disjunction (∨) OR, negation (¬) NOT, implication (⇒) IF
- There are 16 possible functions (B, B) → B see below
 defined by their truth tables
- ▶ **Discussion** Did you find the truth table for implication weird or surprising?

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Truth Function

- $ightharpoonup T \Rightarrow T == T \text{ and } T \Rightarrow F == F$
- ► Hence 4 possibilities for truth table

р	9	$p \Rightarrow d$	р	$b \Leftrightarrow d$	<i>b</i> ∨ <i>d</i>
Т	Т	Τ	Т	Т	Т
Τ	F	F	F	F	F
F	Т	Т	Т	F	F
F	F	Т	F	Т	F

- \blacktriangleright (\Rightarrow) must have the entry shown the others are taken
- Do not think of p causing q

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Functional Completeness, Boolean Programming

- Functionally complete set of connectives is one which can be used to express all possible connectives
- ▶ $p \Rightarrow q \equiv \neg p \lor q$ so we could just use $\{\neg, \land, \lor\}$
- Boolean programming we have to have a functionally complete set but choose more to make the programming easier
- Expressiveness is an issue in programming language design

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Truth Function

- ▶ NOR $p \overline{\lor} q$, $p \downarrow q$, Pierce's arrow
- See truth tables below both {↑}, {↓} are functionally complete
- **Exercise** verify
 - $\neg p \equiv p \uparrow p$

 - $\neg p \equiv p \downarrow p$
- ► Not a novelty the Apollo Guidance Computer was implemented in NOR gates alone.

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Truth Function References

- The following appendix notes illustrate the 16 binary functions of two Boolean variables
- See Truth function
- See Functional completeness
- See Sheffer stroke
- See Logical NOR

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Table of Binary Truth Functions

р	q	Т	b \ \ d	$b \Rightarrow d$	d	$p \Rightarrow q$	6	$b \Leftrightarrow d$	b < d
T T F	T F T F	T T T	T T T F	T T F T	T T F	T F T	T F T F	T F F T	T F F
р	q	Τ	$oldsymbol{b} extstyle d$	b ⇔ d	d	b	<i>b</i> -	b	<i>p</i> ∨ <i>q</i>

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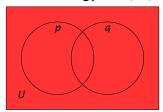
and Validity Boolean Expressions

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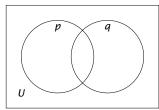
Truth Function

Tautology/Contradiction

► Tautology True, ⊤, *Top*



Contradiction False, ⊥, Bottom



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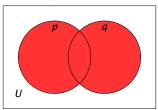
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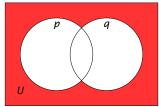
Truth Function

Disjunction/Joint Denial

Disjunction OR, $p \vee q$



▶ Joint Denial NOR, $p \overline{\lor} q$, $p \downarrow q$, Pierce's arrow



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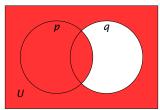
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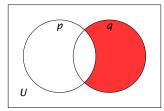
Truth Function

Converse Implication/Converse Nonimplication

► Converse Implication $p \in q$



Converse Nonimplication $p \notin q$



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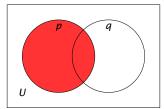
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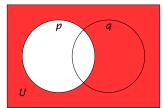
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Proposition p/Negation of p

Proposition p



Negation of p



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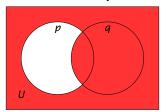
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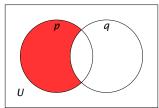
Propositional Calculus Truth Function

Material Implication/Material Nonimplication

▶ Material Implication $p \Rightarrow q$



▶ Material Nonimplication $p \Rightarrow q$



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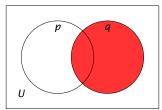
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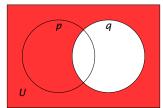
Propositional Calculus Truth Function

Proposition q/Negation of q

Proposition q q



Negation of $q \neg q$



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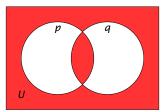
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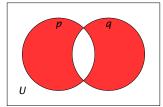
Exercise Propositional Calculus

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Biconditional/Exclusive disjunction

Biconditional If and only if, IFF, $p \Leftrightarrow q$





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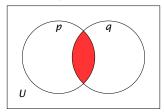
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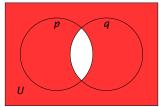
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Conjunction/Alternative denial

Conjunction AND, $p \wedge q$



▶ Alternative denial NAND, $p \pm q$, $p \uparrow q$, Sheffer stroke



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Web Sites

Computability

Logic

► WFF, WFF'N Proof online

Computability

- Computability
- Computable function
- Decidability (logic)
- ► Turing Machines
- Universal Turing Machine
- ► Turing machine simulator
- ► Lambda Calculus
- Von Neumann Architecture
- ► Turing Machine XKCD 205 Candy Button Paper
- ► Turing Machine XKCD 505 A Bunch of Rocks
- ► RIP John Conway Why can Conway's Game of Life be classified as a universal machine?
- Phil Wadler Bright Club on Computability
- Bridges: Theory of Computation: Halting Problem
- Bridges: Theory of Computation: Other Non-computable Problems

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- Complexity class
- ► NP complexity
- ► NP complete
- Reduction (complexity)
- P versus NP problem
- ► Graph of NP-Complete Problems

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