# M269 TMA03 Topics Revue

## M269 Revue Tutorial

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## M269 End of Module Tutorial: Agenda

- Welcome & Introductions
- Topics from TMA03
- Abstract Data Types Bags
- Abstract Data Types Graphs
- Complexity
- Computability

#### **Introductions** — **Me**

- Name Phil Molyneux
- Background Physics and Maths, Operational Research, Computer Science
  - Undergraduate: Physics and Maths (Sussex)
  - Postgraduate: Physics (Sussex), Operational Research (Brunel), Computer Science (University College, London)
- First programming languages Fortran, BASIC, Pascal
- Favourite Software
  - Haskell pure functional programming language
  - Text editors TextMate, Sublime Text previously Emacs
  - Word processing and presentation slides in LATEX
  - Mac OS X
- *Learning style* I read the manual before using the software (really)

#### Introductions — You

- Name?
- Position in M269? Which part of which Units and/or Reader have you read?
- Particular topics you want to look at?
- Learning Syle?

ToC

## **Commentary 1**

## 1 Agenda, Aims and Topics

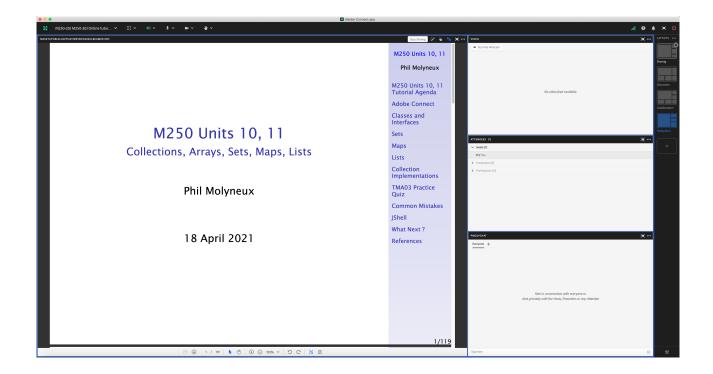
- Overview of aims of tutorial
- Note selection of topics
- Points about my own background and preferences
- Adobe Connect slides for reference
- Note that the Computability notes are here mainly for reference since the Complexity notes refer to them
- This session is mainly on the Complexity topics

ToC

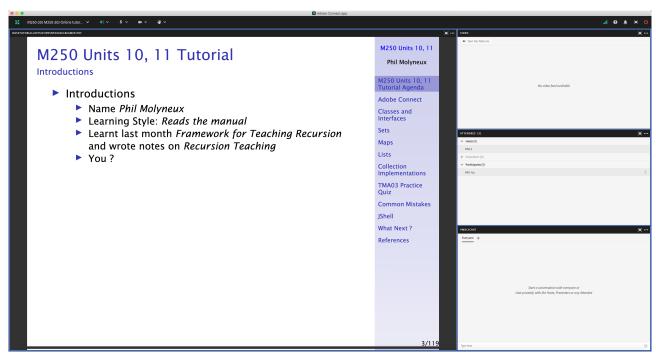
## 2 Adobe Connect Interface and Settings

#### 2.1 Adobe Connect Interface

Adobe Connect Interface — Host View



#### Adobe Connect Interface — Participant View



## 2.2 Adobe Connect Settings

#### **Adobe Connect — Settings**

- Everybody Menu bar Meeting Speaker & Microphone Setup
- Menu bar Microphone Allow Participants to Use Microphone
- Check Participants see the entire slide including slide numbers bottom right Workaround
  - Disable Draw Share pod Menu bar Draw icon
  - Fit Width Share pod Bottom bar Fit Width icon

- Meeting Preferences General Host Cursor Show to all attendees
- Menu bar Video Enable Webcam for Participants
- Do not Enable single speaker mode
- Cancel hand tool
- Do not enable green pointer
- Recording Meeting Record Session ✔
- Documents Upload PDF with drag and drop to share pod
- Delete Meeting Manage Meeting Information Uploaded Content and check filename click on delete

#### Adobe Connect — Access

Tutor Access

```
TutorHome M269 Website Tutorials

Cluster Tutorials M269 Online tutorial room

Tutor Groups M269 Online tutor group room

Module-wide Tutorials M269 Online module-wide room
```

• Attendance

```
TutorHome Students View your tutorial timetables
```

- Beamer Slide Scaling 440% (422 x 563 mm)
- Clear Everyone's Status

```
Attendee Pod Menu Clear Everyone's Status
```

• Grant Access and send link via email

```
Meeting Manage Access & Entry Invite Participants...
```

• Presenter Only Area

```
Meeting Enable/Disable Presenter Only Area
```

#### **Adobe Connect** — **Keystroke Shortcuts**

- Keyboard shortcuts in Adobe Connect
- Toggle Mic # + M (Mac), Ctrl + M (Win) (On/Disconnect)
- Toggle Raise-Hand status # + E
- Close dialog box [5] (Mac), Esc (Win)
- End meeting #+\

## 2.3 Adobe Connect — Sharing Screen & Applications

- Share My Screen Application tab Terminal for Terminal
- Share menu Change View Zoom in for mismatch of screen size/resolution (Participants)

- (Presenter) Change to 75% and back to 100% (solves participants with smaller screen image overlap)
- Leave the application on the original display
- Beware blued hatched rectangles from other (hidden) windows or contextual menus
- Presenter screen pointer affects viewer display beware of moving the pointer away from the application
- First time: System Preferences Security & Privacy Privacy Accessibility

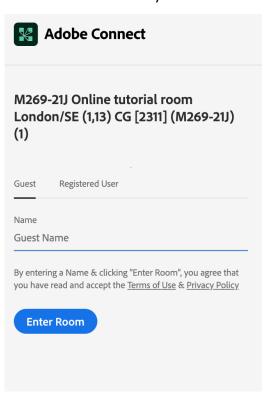
## 2.4 Adobe Connect — Ending a Meeting

- Notes for the tutor only
- Student: Meeting Exit Adobe Connect
- Tutor:
- Recording Meeting Stop Recording
- Remove Participants Meeting End Meeting...
  - Dialog box allows for message with default message:
  - The host has ended this meeting. Thank you for attending.
- Recording availability In course Web site for joining the room, click on the eye icon in the list of recordings under your recording edit description and name
- **Meeting Information** Meeting Manage Meeting Information can access a range of information in Web page.
- Delete File Upload Meeting Manage Meeting Information Uploaded Content tab select file(s) and click Delete
- Attendance Report see course Web site for joining room

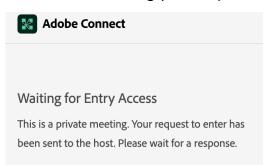
#### 2.5 Adobe Connect — Invite Attendees

- Provide Meeting URL Menu Meeting Manage Access & Entry Invite Participants...
- Allow Access without Dialog Menu Meeting Manage Meeting Information provides new browser window with Meeting Information Tab bar Edit Information
- Check Anyone who has the URL for the meeting can enter the room
- Default Only registered users and accepted guests may enter the room
- Reverts to default next session but URL is fixed
- Guests have blue icon top, registered participants have yellow icon top same icon if URL is open
- See Start, attend, and manage Adobe Connect meetings and sessions
- Click on the link sent in email from the Host
- Get the following on a Web page

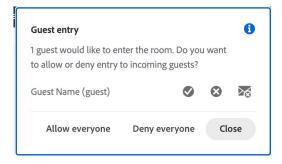
• As Guest enter your name and click on Enter Room



• See the Waiting for Entry Access for Host to give permission



• Host sees the following dialog in Adobe Connect and grants access



## 2.6 Layouts

- Creating new layouts example Sharing layout
- Menu Layouts Create New Layout... Create a New Layout dialog Create a new blank layout and name it PMolyMain
- New layout has no Pods but does have Layouts Bar open (see Layouts menu)

- Pods
- Menu Pods Share Add New Share and resize/position initial name is Share n rename PMolyShare
- Rename Pod Menu Pods Manage Pods... Manage Pods Select Rename Or Double-click & rename
- Add Video pod and resize/reposition
- Add Attendance pod and resize/reposition
- Add Chat pod rename it *PMolyChat* and resize/reposition
- Dimensions of **Sharing** layout (on 27-inch iMac)
  - Width of Video, Attendees, Chat column 14 cm
  - Height of Video pod 9 cm
  - Height of Attendees pod 12 cm
  - Height of Chat pod 8 cm
- **Duplicating Layouts** does *not* give new instances of the Pods and is probably not a good idea (apart from local use to avoid delay in reloading Pods)
- Auxiliary Layouts name PMolyAuxOn
  - Create new Share pod
  - Use existing Chat pod
  - Use same Video and Attendance pods

#### 2.7 Chat Pods

- Format Chat text
- Chat Pod menu icon My Chat Color
- Choices: Red, Orange, Green, Brown, Purple, Pink, Blue, Black
- Note: Color reverts to Black if you switch layouts
- Chat Pod menu icon Show Timestamps

#### 2.8 Graphics Conversion for Web

- Conversion of the screen snaps for the installation of Anaconda on 1 May 2020
- Using GraphicConverter 11
- File Convert & Modify Conversion Convert
- Select files to convert and destination folder
- Click on Start selected Function or (第)+←

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### 2.9 Adobe Connect Recordings

- Menu bar Meeting Preferences Video
- Aspect ratio Standard (4:3) (not Wide screen (16:9) default)
- Video quality Full HD (1080p not High default 480p)
- Recording Menu bar Meeting Record Session
- Export Recording
- Menu bar Meeting Manage Meeting Information
- New window Recordings check Tutorial Access Type button
- check Public check Allow viewers to download
- Download Recording
- New window Recordings check Tutorial Actions Download File

## **Commentary 2**

#### **2** Computability

- Description of Turing Machine
- Turing Machine examples
- · Computability, Decidability and Algorithms
- Non-computability Halting Problem
- · Reductions and non-computability
- Lambda Calculus (optional)
- Note that the Computability notes are here mainly for reference since the Complexity notes refer to them
- This session is mainly on the Complexity topics



## 3 Computability

#### **Ideas of Computation**

- The idea of an algorithm and what is effectively computable
- **Church-Turing thesis** Every function that would naturally be regarded as computable can be computed by a deterministic Turing Machine. (Unit 7 Section 4)
- See Phil Wadler on computability theory performed as part of the Bright Club at The Strand in Edinburgh, Tuesday 28 April 2015

#### Computability — Models of Computation

• In automata theory, a *problem* is the question of deciding whether a given string is a member of some particular language

- If  $\Sigma$  is an alphabet, and L is a language over  $\Sigma$ , that is  $L \subseteq \Sigma^*$ , where  $\Sigma^*$  is the set of all strings over the alphabet  $\Sigma$  then we have a more formal definition of *decision* problem
- Given a string  $w \in \Sigma^*$ , decide whether  $w \in L$
- Example: Testing for a prime number can be expressed as the language  $L_p$  consisting of all binary strings whose value as a binary number is a prime number (only divisible by 1 or itself)
- See Hopcroft et al. (2007, section 1.5.4)

#### Automata Theory — Alphabets, Strings, Languages

- An **Alphabet**,  $\Sigma$ , is a finite, non-empty set of symbols.
- Binary alphabet  $\Sigma = \{0, 1\}$
- Lower case letters  $\Sigma = \{a, b, \dots, z\}$
- A **String** is a finite sequence of symbols from some alphabet
- 01101 is a string from the Binary alphabet  $\Sigma = \{0, 1\}$
- The **Empty string**,  $\epsilon$ , contains no symbols
- **Powers**:  $\Sigma^k$  is the set of strings of length k with symbols from  $\Sigma$
- The set of all strings over an alphabet  $\Sigma$  is denoted  $\Sigma^*$
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- **Question** Does  $\Sigma^0 = \emptyset$  ? ( $\emptyset$  is the empty set)
- An Language, L, is a subset of  $\Sigma^*$
- The set of binary numerals whose value is a prime

```
\{10, 11, 101, 111, 1011, \dots\}
```

• The set of binary numerals whose value is a square

```
\{100, 1001, 10000, 11001, \ldots\}
```

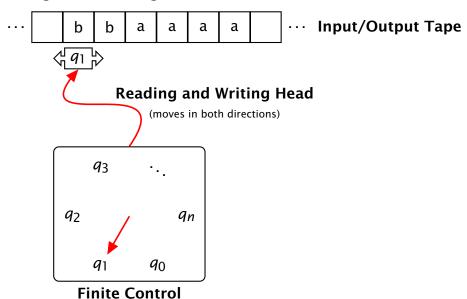
#### Computability — Church-Turing Thesis

- Church-Turing thesis Every function that would naturally be regarded as computable can be computed by a deterministic Turing Machine.
- **physical Church-Turing thesis** Any finite physical system can be simulated (to any degree of approximation) by a Universal Turing Machine.
- strong Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) with polynomial slowdown by a Universal Turing Machine.
- Shor's algorithm (1994) quantum algorithm for factoring integers an NP problem that is not known to be P also not known to be NP-complete and we have no proof that it is not in P
- Reference: Section 4 of Unit 6 & 7 Reader

## 3.1 The Turing Machine

- Finite control which can be in any of a finite number of states
- Tape divided into cells, each of which can hold one of a finite number of symbols
- Initially, the **input**, which is a finite-length string of symbols in the *input alphabet*, is placed on the tape
- All other tape cells (extending unbounded left and right) hold a special symbol called blank
- A tape head which initially is over the leftmost input symbol
- A move of the Turing Machine depends on the state and the tape symbol scanned
- A move can change state, write a symbol in the current cell, move left, right or stay
- References: Hopcroft et al. (2007, page 326), Unit 6 & 7 Reader (section 5.3)

#### **Turing Machine Diagram**



**Source:** Sebastian Sardina http://www.texample.net/tikz/examples/turing-machine-2/

Date: 18 February 2012 (seen Sunday, 24 August 2014)

Further Source: Partly based on Ludger Humbert's pics of Universal Turing Machine at https://haspe.homeip.net/projekte/ddi/browser/tex/pgf2/turingmaschine-schema.tex (not found) — http://www.texample.net/tikz/examples/turing-machine/

#### **Turing Machine notation**

- *Q* finite set of states of the finite control
- $\Sigma$  finite set of *input symbols* (M269 *S*)
- $\Gamma$  complete set of *tape symbols*  $\Sigma \subset \Gamma$
- $\delta$  Transition function (M269 instructions, I)  $\delta :: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$  $\delta(q, X) \mapsto (p, Y, D)$

- $\delta(q, X)$  takes a state, q and a tape symbol, X and returns (p, Y, D) where p is a state, Y is a tape symbol to overwrite the current cell, D is a direction, Left, Right or Stay
- $q_0$  start state  $q_0 \in Q$
- B blank symbol  $B \in \Gamma$  and  $B \notin \Sigma$
- F set of final or accepting states  $F \subseteq Q$



## 3.2 Turing Machine Examples

#### **Turing Machine Simulators**

- Morphett's Turing machine simulator the examples below are adapted from here
- Ugarte's Turing machine simulator
- XKCD A Bunch of Rocks XKCD Explanation

Image below (will need expanding to be readable)

• The term *state* is used in two different ways:

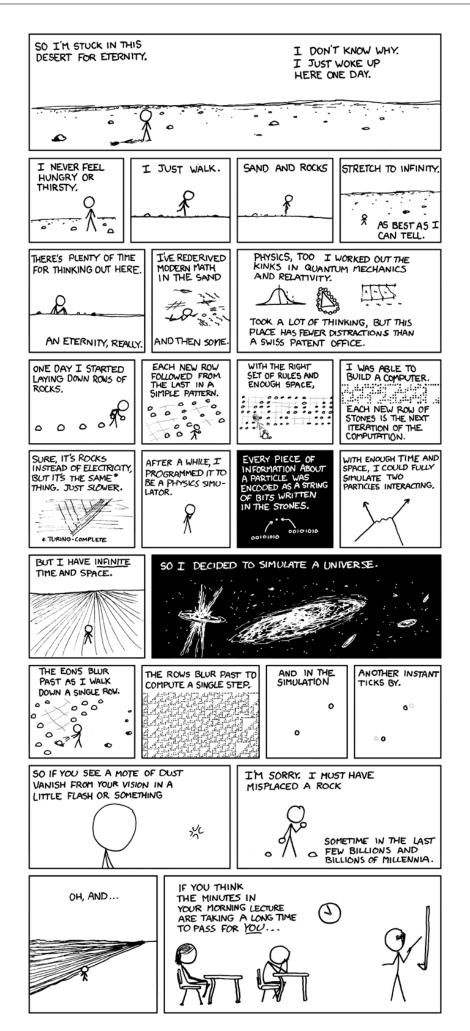
The value of the Finite Control

The overall configuration of *Finite Control* and current contents of the tape

See Turing Machine: State

will lead to some confusion

#### **XKCD A Bunch of Rocks**



#### **Turing Machine Examples: Meta-Exercise**

For each of the Turing Machine Examples below, identify

$$(Q, \Sigma, \Gamma, \delta, q_0, B, F)$$

#### 3.2.1 The Successor Function

- **Input** binary representation of numeral *n*
- Output binary representation of n+1
- Example 1010 → 1011 and 1011 → 1100
- Initial cell: leftmost symbol of *n*
- Strategy
- Stage A make the rightmost cell the current cell
- Stage B Add 1 to the current cell.
- If the current cell is 0 then replace it with 1 and go to stage C
- If the current cell is 1 replace it with 0 and go to stage B and move Left
- If the current cell is blank, replace it by 1 and go to stage C
- Stage C Finish up by making the leftmost cell current
- Represent the Turing Machine program as a list of quintuples (q, X, p, Y, D)
- Stage A

$$(q_0, 0, q_0, 0, R)$$

$$(q_0, 1, q_0, 1, R)$$

$$(q_0, B, q_1, B, L)$$

• Stage B

$$(q_1, 0, q_2, 1, S)$$

$$(q_1, 1, q_1, 0, L)$$

$$(q_1, B, q_2, 1, S)$$

• Stage C

$$(q_2, 0, q_2, 0, L)$$

$$(q_2, 1, q_2, 1, L)$$

$$(q_2, B, q_h, B, R)$$

(Smith, 2013, page 315)

- Exercise Translate the quintuples (q, X, p, Y, D) into English and check they are the same as the specification
- Stage A make the rightmost cell the current cell

$$(q_0, 0, q_0, 0, R)$$

If state  $q_0$  and read symbol 0 then stay in state  $q_0$  write 0, move R

```
(q_0, 1, q_0, 1, R)
If state q_0 and read symbol 1 then stay in state q_0 write 1, move R
(q_0, B, q_1, B, L)
If state q_0 and read symbol B then state q_1 write B, move L
```

- Exercise Translate the quintuples (q, X, p, Y, D) into English
- Stage B Add 1 to the current cell.

```
(q_1, 0, q_2, 1, S)

If state q_1 and read symbol 0 then state q_2 write 1, stay (q_1, 1, q_1, 0, L)

If state q_1 and read symbol 1 then state q_1 write 0, move L (q_1, B, q_2, 1, S)

If state q_1 and read symbol B then state q_2 write 1, stay
```

- Exercise Translate the quintuples (q, X, p, Y, D) into English
- Stage C Finish up by making the leftmost cell current

$$(q_2, 0, q_2, 0, L)$$

If state  $q_2$  and read symbol 0 then state  $q_2$  write 0, move L

$$(q_2, 1, q_2, 1, L)$$

If state  $q_2$  and read symbol 1 then state  $q_2$  write 0, move L

$$(q_2, B, q_h, B, R)$$

If state  $q_2$  and read symbol B then state  $q_h$  write B, move R HALT

• Notice that the Turing Machine feels like a series of if ... then or case statements inside a while loop

#### Turing Machine Examples: Meta-Exercise: Successor Function

- Identify  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$  Blank slide for working
- Identify  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_1, q_2, q_h\}$
- q<sub>0</sub> finding the rightmost symbol
- q<sub>1</sub> add 1 to current cell
- q<sub>2</sub> move to leftmost cell
- q<sub>h</sub> finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B\}$
- $\delta :: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$  $\delta(q, X) \mapsto (p, Y, D)$

```
\delta is represented as \{(q,X,p,Y,D)\}
equivalent to \{((q,X),(p,Y,D))\} set of pairs
```

- $q_0$  start with leftmost symbol under head, state moving to rightmost symbol
- B is \_ a visible space
- $F = \{q_h\}$
- Sample Evaluation 11 → 100
- Representation  $\cdots BX_1X_2 \cdots X_{i-1}qX_iX_{i+1} \cdots X_nB \cdots$

```
q<sub>0</sub>11
```

1*q*<sub>0</sub>1

 $11q_0B$ 

1 q 1 1

 $q_{1}10$ 

 $q_1B00$ 

q<sub>2</sub>100

 $q_2 B100$ 

q<sub>h</sub>100

- Exercise evaluate 1011 → 1100
- Representation  $\cdots BX_1X_2 \cdots X_{i-1}qX_iX_{i+1} \cdots X_nB \cdots$
- q is the state of the TM
- The head is scanning the symbol X<sub>i</sub>
- Leading or trailing blanks B are (usually) not shown unless the head is scanning them
- $\vdash_M$  denotes one move of the TM M
- $\vdash_{M}^{*}$  denotes zero or more moves
- ⊢ will be used if the TM *M* is understood
- If  $(q, X_i, p, Y, L)$  denotes a TM move then

$$X_1 \cdot \cdot \cdot X_{i-1} q X_i \cdot \cdot \cdot X_n \vdash_M X_1 \cdot \cdot \cdot X_{i-2} p X_{i-1} Y \cdot \cdot \cdot X_n$$

(Hopcroft et al., 2007, sec 8.2.3)

ToC

#### 3.2.2 The Binary Palindrome Function

- **Input** binary string s
- Output YES if palindrome, NO otherwise
- Example 1010 → NO and 1001 → YES
- Initial cell: leftmost symbol of s

- Strategy
- Stage A read the leftmost symbol
- If blank then accept it and go to stage D otherwise erase it
- Stage B find the rightmost symbol
- If the current cell matches leftmost recently read then erase it and go to stage C
- Otherwise reject it and go to stage E
- Stage C return to the leftmost symbol and stage A
- Stage D print YES and halt
- Stage E erase the remaining string and print NO
- Represent the Turing Machine program as a list of quintuples (q, X, p, Y, D)
- Stage A read the leftmost symbol

$$(q_0, 0, q_{1_0}, B, R)$$

$$(q_0, 1, q_{1i}, B, R)$$

$$(q_0, B, q_5, B, S)$$

• Stage B find rightmost symbol

$$(q_{1_o}, B, q_{2_o}, B, L)$$

$$(q_{1o}, *, q_{1o}, *, R)$$
 \* is a wild card, matches anything

$$(q_{1i}, B, q_{2i}, B, L)$$

$$(q_{1i}, *, q_{1i}, *, R)$$

• Stage B check

$$(q_{20}, 0, q_{3}, B, L)$$

$$(q_{2_o}, B, q_5, B, S)$$

$$(q_{20}, *, q_{6}, *, S)$$

$$(q_{2i}, 1, q_3, B, L)$$

$$(q_{2i}, B, q_{5}, B, S)$$

$$(q_{2i}, *, q_6, *, S)$$

• Stage C return to the leftmost symbol and stage A

$$(q_3, B, q_5, B, S)$$

$$(q_3, *, q_4, *, L)$$

$$(q_4, B, q_0, B, R)$$

$$(q_4, *, q_4, *, L)$$

Stage D accept and print YES

$$(q_5, *, q_{5a}, Y, R)$$

$$(q_{5_a}, *, q_{5_b}, E, R)$$

$$(q_{5h}, *, q_7, S, S)$$

• Stage E erase the remaining string and print NO

$$(q_6, B, q_{6a}, N, R)$$
  
 $(q_6, *, q_6, B, L)$   
 $(q_{6a}, *, q_7, O, S)$ 

Finish

$$(q_7, B, q_h, B, R)$$
  
 $(q_7, *, q_7, *, L)$ 

#### Turing Machine Examples: Meta-Exercise: Binary Palindrome Function

- Identify  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$  Blank slide for working
- Identify  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_{1_0}, q_{1_i}, q_{2_0}, q_{2_i}, q_{3_i}, q_{4_i}, q_{5_i}, q_{5_0}, q_{5_0}, q_{6_i}, q_{6_0}, q_{7_i}, q_{h}\}$
- q<sub>0</sub> read leftmost symbol
- $q_{1_0}$ ,  $q_{1_i}$  find rightmost symbol looking for 0 or 1
- $q_{2_0}$ ,  $q_{2_i}$  check, confirm or reject
- q<sub>3</sub>, q<sub>4</sub> check finish or move to start
- $q_5$ ,  $q_6$ ,  $q_7$  print YES or NO and finish
- q<sub>h</sub> finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B, Y, E, S, N, O\}$
- $\delta :: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$   $\delta(q, X) \mapsto (p, Y, D)$  $\delta$  is represented as  $\{(q, X, p, Y, D)\}$

equivalent to  $\{((q, X), (p, Y, D))\}\$  set of pairs

- Start with leftmost symbol under head, state  $q_0$
- *B* is a visible space
- $F = \{q_h\}$
- Sample Evaluation 101 → YES

$$q_0101 \vdash Bq_{1i}01 \vdash B0q_{1i}1 \vdash B01q_{1i}B$$
 $\vdash B0q_{2i}1$ 
 $\vdash Bq_30B \vdash q_4B0B$ 
 $\vdash Bq_00B \vdash BBq_{1o}B$ 
 $\vdash Bq_{2o}BB$ 

$$\vdash$$
  $Bq_5BB \vdash Yq_{5_a}B \vdash YEq_{5_b}B \vdash YEq_7S$   
 $\vdash$   $Yq_7ES \vdash Bq_7YES \vdash q_7BYES \vdash q_hYES$ 

• Exercise Evaluate 110 → NO

ToC

#### 3.2.3 Binary Addition Example

- Input two binary numerals separated by a single space n1 n2
- Output binary numeral which is the sum of the inputs
- Example 110110 + 101011 → 1100001
- Initial cell: leftmost symbol of *n*1 *n*2
- Insight look at the arithmetic algorithm

• **Discussion** how can we overwrite the first number with the result and remember how far we have gone?

#### Binary Addition Example — Arithmetic Reinvented

	1	1	0	1	1	0
_	1	0	1	0	1	1
	1	1	0	1	1	У
_	1	0	1	0	1	ш
	1	1	1	0	Х	у
	1	0	1	0	ш	u
	1	1	1	Х	Х	у
ш	1	0	1	ш	ш	ш
1	0	0	X	Х	Х	У
	1	0				ш
ш	•			_	_	
<u> </u>	0	Х	X	X	X	
1						у
1 1	0	X	Х	Х	Х	у
	0	X	X	X	X	У
	0	X	X X	X L	X X	у  у

- Input two binary numerals separated by a single space n1 n2
- Output binary numeral which is the sum of the inputs
- Example 110110 + 101011 → 1100001
- Initial cell: leftmost symbol of n1 n2
- Strategy

Stage A find the rightmost symbol

If the symbol is 0 erase and go to stage Bx
If the symbol is 1 erase go to stage By
If the symbol is blank go to stage F
dealing with each digit in n2

if no further digits in n2 go to final stage

- Stage Bx Move left to a blank go to stage Cx
- Stage By Move left to a blank go to stage Cy moving to n1
- Stage Cx Move left to find first 0, 1 or B
   Turn 0 or B to X, turn 1 to Y and go to stage A
   adding 0 to a digit finalises the result (no carry one)
- Stage Cy Move left to find first 0, 1 or B
   Turn 0 or B to 1 and go to stage D
   Turn 1 to 0, move left and go to stage Cy
   dealing with the carry one in school arithmetic
- Stage D move right to X, Y or B and go to stage E
- **Stage E** replace 0 by X, 1 by Y, move right and go to Stage A finalising the value of a digit resulting from a *carry*
- Stage F move left and replace X by 0, Y by 1 and at B halt
- Represent the Turing Machine program as a list of quintuples (q, X, p, Y, D)
- Stage A find the rightmost symbol

$$(q_0, B, q_1, B, R)$$
  
 $(q_0, *, q_0, *, R)$  \* is a wild card, matches anything  
 $(q_1, B, q_2, B, L)$   
 $(q_1, *, q_1, *, R)$   
 $(q_2, 0, q_{3_X}, B, L)$   
 $(q_2, 1, q_{3_Y}, B, L)$   
 $(q_2, B, q_7, B, L)$ 

• Stage Bx move left to blank

$$(q_{3_x}, B, q_{4_x}, B, L)$$
  
 $(q_{3_x}, *, q_{3_x}, *, L)$ 

• Stage By move left to blank

$$(q_{3_{V}}, B, q_{4_{V}}, B, L)$$

$$(q_{3_{V}}, *, q_{3_{V}}, *, L)$$

• Stage Cx move left to 0, 1, or blank

$$(q_{4_X},0,q_0,x,R)$$

$$(q_{4x}, 1, q_0, y, R)$$

$$(q_{4x}, B, q_0, x, R)$$

$$(q_{4_X}, *, q_{4_X}, *, L)$$

• Stage Cy move left to 0, 1, or blank

$$(q_{4_{V}}, 0, q_{5}, 1, S)$$

$$(q_{4_{V}}, 1, q_{4_{V}}, 0, L)$$

$$(q_{4_{v}}, B, q_{5}, 1, S)$$

$$(q_{4_y}, *, q_{4_y}, *, L)$$

• Stage D move right to x, y or B

$$(q_5, x, q_6, x, L)$$

$$(q_5, y, q_6, y, L)$$

$$(q_5, B, q_6, B, L)$$

$$(q_5, *, q_5, *, R)$$

• Stage E replace 0 by x, 1 by y

$$(q_6, 0, q_0, x, R)$$

$$(q_6, 1, q_0, y, R)$$

• Stage F replace x by 0, y by 1

$$(q_7, x, q_7, 0, L)$$

$$(q_7, y, q_7, 1, L)$$

$$(q_7, B, q_h, B, R)$$

$$(q_7, *, q_7, *, L)$$

• Exercise Evaluate 11 + 10 → 101

#### Turing Machine Examples: Meta-Exercise: Successor Function

- Identify  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$  Blank slide for working
- Identify  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_1, q_2, q_{3_X}, q_{3_Y}, q_{4_X}, q_{4_Y}, q_5, q_6, q_7, q_h\}$
- $q_0, q_1, q_2$  find rightmost symbol of second number
- $q_{3_x}$ ,  $q_{3_y}$  move left to inter-number blank
- $q_{4x}$ ,  $q_{4y}$  move left to 0, 1 or blank
- q<sub>5</sub> move right to x, y or B

- $q_6$  replace 0 by x, 1 by y and move right
- q<sub>7</sub> replace x by 0, y by 1 and move left
- q<sub>h</sub> finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B, x, y\}$
- $\delta :: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$

$$\delta(q, X) \mapsto (p, Y, D)$$

 $\delta$  is represented as  $\{(q, X, p, Y, D)\}$ 

equivalent to  $\{((q, X), (p, Y, D))\}\$  set of pairs

- Start with leftmost symbol under head, state  $q_0$
- B is \_ a visible space
- $F = \{q_h\}$
- Exercise Evaluate 11 + 10 → 101
- Stage A find the rightmost symbol

BBq<sub>0</sub>11B10B Note space symbols B at start and end

- $\vdash BB1q_01B10B$
- $\vdash BB11q_0B10B$
- $\vdash BB11Bq_110B$
- $\vdash BB11B1q_10B$
- $\vdash BB11B10q_1B$
- $\vdash BB11B1q_20B$
- $\vdash BB11Bq_{3x}1BB$
- Stage Bx move left to blank
- $\vdash B11q_{3_x}B1BB$
- Stage Cx move left to 0, 1, or blank
- $\vdash BB1q_{4x}1B1BB$
- $\vdash BB1 Yq_0B1BB$
- Stage A find the rightmost symbol
- $\vdash$  BB1 BYBq<sub>1</sub> 1 BB
- $\vdash BB1YB1q_1BB$
- $\vdash BB1YBq_21BB$
- $\vdash BB1 Yq_{3_{\nu}}BBBB$
- Stage Cy move left to 0, 1, or blank
- $\vdash BB1 q_{4_V} YBBBB$

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- $\vdash BBq_{4_{v}}1YBBBB$
- $\vdash Bq_{4_{V}}BOYBBBB$
- $\vdash Bq_510YBBBB$
- Stage D move right to x, y or B
- $\vdash Bq_50YBBBB$
- $\vdash B0q_5YBBBB$
- $\vdash Bq_60YBBBB$
- Stage E replace 0 by x, 1 by y
- $\vdash B1Xq_0YBBBB$
- Stage A find the rightmost symbol
- $\vdash B1XYq_0BBBB$
- $\vdash B1XYBq_1BBB$
- $\vdash B1XYq_2BBBB$
- $\vdash B1Xq_7YBBBB$
- Stage F replace x by 0, y by 1
- $\vdash B1q_7X1BBBB$
- ⊢ *Ba*<sub>7</sub>101*BBBB*
- ⊢ *Bq*<sub>7</sub>*B*101*BBBB*
- $\vdash Bq_h101BBBB$
- This is mimicking what you learnt to do on paper as a child! Real step-by-step instructions
- See Morphett's Turing machine simulator for more examples (takes too long by hand!)



## 3.3 Computability, Decidability and Algorithms

#### **Universal Turing Machine**

- Universal Turing Machine, U, is a Turing Machine that can simulate any arbitrary Turing machine, M
- Achieves this by encoding the transition function of M in some standard way
- The input to U is the encoding for M followed by the data for M
- See Turing machine examples
- **Decidable** there is a TM that will halt with yes/no for a decision problem that is, given a string w over the alphabet of P the TM with halt and return yes.no the string is in the language P (same as recursive in Recursion theory old use of the word)

- **Semi-decidable** there is a TM will halt with yes if some string is in *P* but may loop forever on some inputs (same as *recursively enumerable*) *Halting Problem*
- **Highly-undecidable** no outcome for any input *Totality, Equivalence Problems*

#### **Undecidable Problems**

- Halting problem the problem of deciding, given a program and an input, whether the program will eventually halt with that input, or will run forever — term first used by Martin Davis 1952
- Entscheidungsproblem the problem of deciding whether a given statement is provable from the axioms using the rules of logic shown to be undecidable by Turing (1936) by reduction from the *Halting problem* to it
- Type inference and type checking in the second-order lambda calculus (important for functional programmers, Haskell, GHC implementation)
- Undecidable problem see link to list

(Turing, 1936, 1937)

#### 3.3.1 Non-Computability — Halting Problem

#### **Halting Problem** — **Sketch Proof**

- Halting problem is there a program that can determine if any arbitrary program will halt or continue forever?
- Assume we have such a program (Turing Machine) h(f,x) that takes a program f
  and input x and determines if it halts or not

```
h(f,x)
= if f(x) runs forever
return True
else
return False
```

- We shall prove this cannot exist by contradiction
- Now invent two further programs:
- q(f) that takes a program f and runs h with the input to f being a copy of f
- r(f) that runs q(f) and halts if q(f) returns True, otherwise it loops

```
q(f)
= h(f,f)

r(f)
= if q(f)
return
else
while True: continue
```

- What happens if we run r(r)?
- If it loops, q(r) returns True and it does not loop contradiction.
- Scooping theLoop Snooper: A proof that the Halting Problem is undecidable Geoffrey K Pullum (21 May 2024)

#### Why undecidable problems must exist

- A problem is really membership of a string in some language
- The number of different languages over any alphabet of more than one symbol is uncountable
- Programs are finite strings over a finite alphabet (ASCII or Unicode) and hence countable.
- There must be an infinity (big) of problems more than programs.
- Computational problem defined by a function
- **Computational problem is computable** if there is a Turing machine that will calculate the function.

Reference: Hopcroft et al. (2007, page 318)

#### Computability and Terminology (1)

- The idea of an algorithm dates back 3000 years to Euclid, Babylonians...
- In the 1930s the idea was made more formal: which functions are computable?
- A function is a set of pairs  $f = \{(x, f(x)) : x \in X \land f(x) \in Y\}$  with the function property
- Function property:  $(a, b) \in f \land (a, c) \in f \Rightarrow b == c$
- Function property: Same input implies same output
- Note that maths notation is deeply inconsistent here see Function and History of the function concept
- What do we mean by computing a function an algorithm?

#### **Function: Relation and Rules**

- The idea of function as a set of pairs (Binary relation) with the function property (each element of the domain has at most one element in the co-domain) is fairly recent — see History of the function concept
- School maths presents us with function as rule to get from the input to the output
- Example: the square function: square  $x = x \times x$
- But lots of rules (or algorithms) can implement the same function
- square1  $x = x^2$

#### Computability and Terminology (2)

- In the 1930s three definitions:
- $\lambda$ -Calculus, simple semantics for computation Alonzo Church
- General recursive functions Kurt Gödel
- Universal (Turing) machine Alan Turing

- Terminology:
  - Recursive, recursively enumerable Church, Kleene
  - Computable, computably enumerable Gödel, Turing
  - Decidable, semi-decidable, highly undecidable
  - In the 1930s, computers were human
  - Unfortunate choice of terminology
- Turing and Church showed that the above three were equivalent
- Church-Turing thesis function is intuitively computable if and only if Turing machine computable

#### **Sources on Computability Terminology**

- Soare (1996) on the history of the terms computable and recursive meaning calculable
- See also Soare (2013, sections 9.9-9.15) in Copeland et al. (2013)



#### 3.3.2 Reductions & Non-Computability

#### Reducing one problem to another

- To reduce problem  $P_1$  to  $P_2$ , invent a construction that converts instances of  $P_1$  to  $P_2$  that have the same answer. That is:
  - any string in the language  $P_1$  is converted to some string in the language  $P_2$
  - any string over the alphabet of  $P_1$  that is not in the language of  $P_1$  is converted to a string that is not in the language  $P_2$
- With this construction we can solve P<sub>1</sub>
  - Given an instance of  $P_1$ , that is, given a string w that may be in the language  $P_1$ , apply the construction algorithm to produce a string x
  - Test whether x is in  $P_2$  and give the same answer for w in  $P_1$

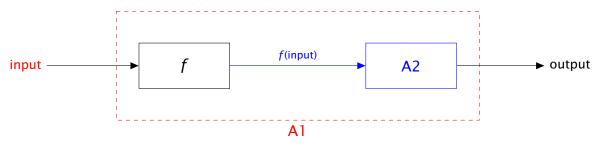
#### (Hopcroft et al., 2007, page 322)

- Problem Reduction Ordinary Example
- Want to phone Alice but don't have her number
- You know that Bill has her number
- So *reduce* the problem of finding Alice's number to the problem of getting hold of Bill

#### (Rich, 2007, page 449)

- The direction of reduction is important
- If we can reduce  $P_1$  to  $P_2$  then (in some sense)  $P_2$  is at least as hard as  $P_1$  (since a solution to  $P_2$  will give us a solution to  $P_1$ )

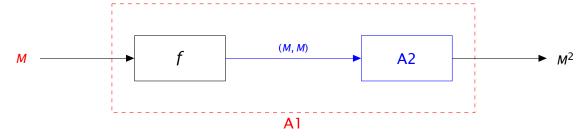
- So, if  $P_2$  is decidable then  $P_1$  is decidable
- To show a problem is undecidable we have to reduce from an known undecidable problem to it
- $\forall x(dp_{P_1}(x) = dp_{P_2}(reduce(x)))$
- Since, if  $P_1$  is undecidable then  $P_2$  is undecidable
- Some further examples
- Totality and Equivalence Problems http://www.cs.ucc.ie/~dgb/courses/toc/handout36.pdf
- Totality and Equivalence Problems https://www.cs.rochester.edu/~nelson/courses/csc\_173/computability/undecidable.html (29 April 2022) was at Undecidability
- See CS 3813 Formal Languages and Automata (26 May 2022)



- A reduction of problem  $P_1$  to problem  $P_2$ 
  - transforms inputs to  $P_1$  into inputs to  $P_2$
  - runs algorithm A2 (which solves  $P_2$ ) and
  - interprets the outputs from A2 as answers to P<sub>1</sub>
- More formally: A problem  $P_1$  is *reducible* to a problem  $P_2$  if there is a function f that takes any input x to  $P_1$  and transforms it to an input f(x) of  $P_2$

such that the solution of  $P_2$  on f(x) is the solution of  $P_1$  on x

**Source:** Bridge Theory of Computation, 2007



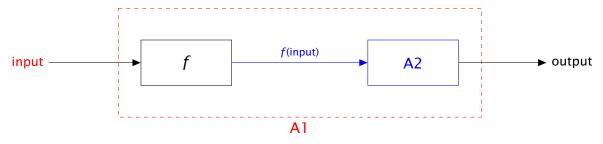
- Given an algorithm (A2) for matrix multiplication  $(P_2)$ 
  - Input: pair of matrices,  $(M_1, M_2)$
  - Output: matrix result of multiplying  $M_1$  and  $M_2$
- P<sub>1</sub> is the problem of squaring a matrix
  - Input: matrix M
  - Output: matrix M<sup>2</sup>

• Algorithm A1 has

$$f(M) = (M, M)$$

uses A2 to calculate  $M \times M = M^2$ 

#### **Non-Computable Problems**



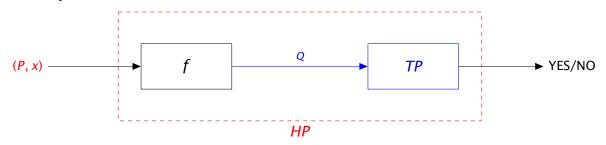
- If  $P_2$  is computable (A2 exists) then  $P_1$  is computable (f being simple or polynomial)
- Equivalently If  $P_1$  is non-computable then  $P_2$  is non-computable
- Exercise: show  $B \rightarrow A \equiv \neg A \rightarrow \neg B$
- Proof by Contrapositive
- $B \rightarrow A \equiv \neg B \lor A$  by truth table or equivalences

$$\equiv \neg(\neg A) \lor \neg B$$
 commutativity and negation laws

$$\equiv \neg A \rightarrow \neg B$$
 equivalences

• Common error: switching the order round

#### **Totality Problem**

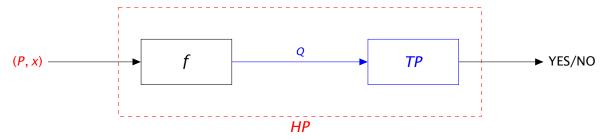


#### • Totality Problem

- Input: program Q

- Output: YES if Q terminates for all inputs else NO

- Assume we have algorithm TP to solve the Totality Problem
- Now reduce the Halting Problem to the Totality Problem

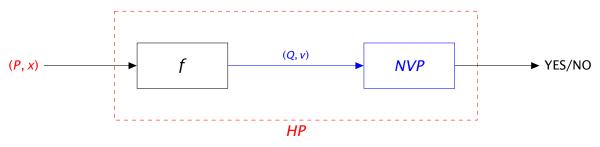


• Define f to transform inputs to HP to TP pseudo-Python

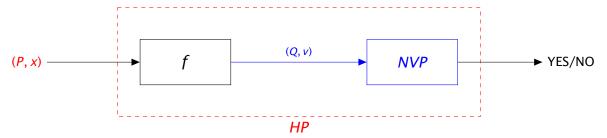
```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
    return Q
```

- Run TP on Q
  - If TP returns YES then P halts on x
  - If TP returns NO then P does not halt on x
- We have solved the Halting Problem contradiction

#### **Negative Value Problem**



- Negative Value Problem
  - Input: program Q which has no input and variable v used in Q
  - Output: YES if v ever gets assigned a negative value else NO
- Assume we have algorithm *NVP* to solve the Negative Value Problem
- Now reduce the Halting Problem to the Negative Value Problem

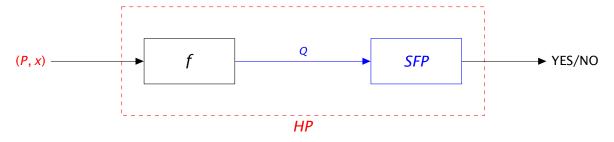


• Define f to transform inputs to HP to NVP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
        v = -1
    return (Q,var(v))
```

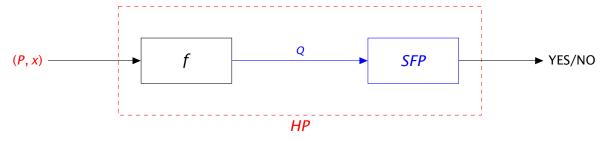
- Run NVP on (Q, var(v)) var(v) gets the variable name
  - If NVP returns YES then P halts on x
  - If NVP returns NO then P does not halt on x
- We have *solved* the Halting Problem contradiction

#### **Squaring Function Problem**



#### • Squaring Function Problem

- Input: program Q which takes an integer, y
- Output: YES if Q always returns the square of y else NO
- Assume we have algorithm SFP to solve the Squaring Function Problem
- Now reduce the Halting Problem to the Squaring Function Problem

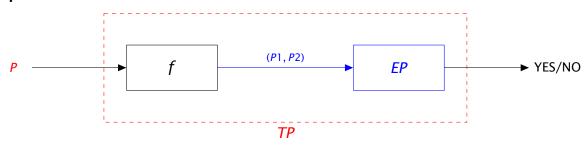


• Define f to transform inputs to HP to SFP pseudo-Python

```
def f(P,x) :
    def Q(y):
        P(x)
        return y * y
    return Q
```

- Run SFP on Q
  - If SFP returns YES then P halts on x
  - If SFP returns NO then P does not halt on x
- We have *solved* the Halting Problem contradiction

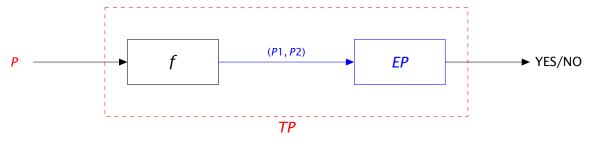
#### **Equivalence Problem**



#### • Equivalence Problem

- Input: two programs P1 and P2
- Output: YES if P1 and P2 solve the same problem (same output for same input) else NO

- Assume we have algorithm EP to solve the Equivalence Problem
- Now reduce the Totality Problem to the Equivalence Problem

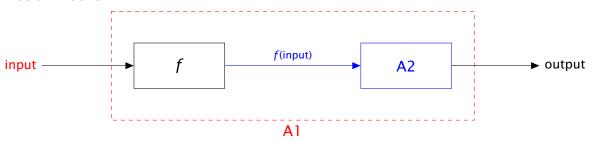


• Define f to transform inputs to TP to EP pseudo-Python

```
def f(P) :
    def P1(x):
        P(x)
        return "Same_string"
    def P2(x)
        return "Same_string"
    return (P1,P2)
```

- Run *EP* on (*P*1, *P*2)
  - If EP returns YES then P halts on all inputs
  - If EP returns NO then P does not halt on all inputs
- We have *solved* the Totality Problem contradiction

#### Rice's Theorem



- Rice's Theorem all non-trivial, semantic properties of programs are undecidable. HG
  Rice 1951 PhD Thesis
- Equivalently: For any non-trivial property of partial functions, no general and effective method can decide whether an algorithm computes a partial function with that property.
- A property of partial functions is called trivial if it holds for all partial computable functions or for none.
- Rice's Theorem and computability theory
- Let S be a set of languages that is nontrivial, meaning
  - there exists a Turing machine that recognizes a language in S
  - there exists a Turing machine that recognizes a language not in S
- Then, it is undecidable to determine whether the language recognized by an arbitrary Turing machine lies in S.

- This has implications for compilers and virus checkers
- Note that Rice's theorem does not say anything about those properties of machines or programs that are not also properties of functions and languages.
- For example, whether a machine runs for more than 100 steps on some input is a decidable property, even though it is non-trivial.



#### 3.4 Lambda Calculus

#### 3.4.1 Motivation

- Lambda Calculus is a formal system in mathematical logic for expressing computation based on function abstraction and application using variable binding and substitution
- Lambda calculus is Turing complete it can simulate any Turing machine
- Introduced by Alonzo Church in 1930s
- Basis of functional programming languages Lisp, Scheme, ISWIM, ML, SASL, KRC, Miranda, Haskell, Scala, F#...
- Note this is not part of M269 but may help understand ideas of computability

#### Functions — Binding and Substitution

• School maths introduces functions as

$$f(x) = 3x^2 + 4x + 5$$

- Substitution:  $f(2) = 3 \times 2^2 + 4 \times 2 + 5 = 25$
- Generalise:  $f(x) = ax^2 + bx + c$
- What is wrong with the following:
- $f(a) = a \times a^2 + b \times a + c$
- The ideas of free and bound variables and substitution

#### Expressions — Evaluation Strategies

- In evaluating an expression we have choices about the order in which we evaluate subterms
- Some choices may involve more work than others but the Church-Rosser theorem ensures that if the evaluation terminates then all choices get to the same answer
- The second edition of a famous book on Functional programming Bird (1998, Ex 1.2.2, page 6) had the following exercise:
- How many ways can you evaluate  $(3 + 7)^2$ List the evaluations and assumptions
- The first edition Bird and Wadler (1988, Ex 1.2.2, page 6) had the exercise:

- How many ways can you evaluate  $((3+7)^2)^2$
- How many ways can you evaluate  $(3 + 7)^2$ List the evaluations and assumptions
- Answer 3 ways
- Reducible expressions (redexes)

```
x^2 \rightarrow x \times x where x is a term
```

a + b where a and b are numbers

 $x \times y$  where x and y are numbers

```
[sqr (3+7),((3+7)*(3+7)),((3+7)*10),(10*10),100]
[sqr (3+7),((3+7)*(3+7)),(10*(3+7)),(10*10),100]
[sqr (3+7),sqr 10,(10*10),100]
```

The assumed redexes do not include distributive laws

```
(a + b) \times (x + y) \rightarrow a \times x + a \times y + b \times x + b \times y
```

- This would increase the number of different evaluations
- How many ways can you evaluate  $((3+7)^2)^2$
- Answer 547 ways

```
[sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr (3+7)*((3+7)*(3+7))), (sqr (3+7)*((3+7)*10)),(sqr (3+7)*(10*10)), (sqr (3+7)*100),(((3+7)*100),(((3+7)*10)*100), ((10*10)*100),(100*100),10000]
[sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr(3+7)*((3+7)*(3+7))), (sqr (3+7)*((3+7)*10)),(sqr (3+7)*(10*10)), (sqr (3+7)*100),(((3+7)*(3+7))*100),((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((3+7))*1000]
```

```
[sqr sqr (3+7),sqr sqr 10,sqr (10*10), ((10*10)*(10*10)),(100*(10*10)),(100*100),10000]
[sqr sqr (3+7),sqr sqr 10,sqr (10*10),sqr 100,(100*100),10000]
```

- Enumerating all 547 ways may have taken some concentration
- The actual Evaluation strategy used by a particular programming language implementation may have optimisations which make an evaluation which looks costly to be somewhat cheaper
- For example, the Haskell implementation GHC optimises the evaluation of common subexpressions so that (3+7) will be evaluated only once

```
[sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr (3+7)*((3+7)*(3+7))), (sqr (3+7)*((3+7)*10)),(sqr (3+7)*(10*10)), (sqr (3+7)*100),(((3+7)*10)),(((3+7)*10)*100), ((10*10)*100),(100*100),10000]
[sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr(3+7)*((3+7)*(3+7))), (sqr (3+7)*((3+7)*10)),(sqr (3+7)*(10*10)), (sqr (3+7)*100),(((3+7)*(3+7))*100),((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100), ((10*(3+7)))*100
```

- M269 Unit 6/7 Reader Logic and the Limits of Computation alludes to other formalisations with equal power to a Turing Machine (pages 81 and 87)
- The *Reader* mentions Alonzo Church and his 1930s formalism (page 87, but does not give any detail)
- The notes in this section are optional and for comparison with the Turing Machine material

- Turing machine: explicit memory, state and implicit loop and case/if statement
- Lambda Calculus: function definition and application, explicit rules for evaluation (and transformation) of expressions, explicit rules for substitution (for function application)
- Lambda calculus reduction workbench
- Lambda Calculus Calculator



#### 3.4.2 Lambda Terms

- A variable, x, is a lambda term
- If M is a lambda term and x is a variable, then  $(\lambda x.M)$  is a lambda term a **lambda** abstraction or function definition
- If M and N are lambda terms, the (M N) is lambda term an **application**
- Nothing else is a lambda term

(Lambda Calculus notes based on lecture slides at CMSC 330, Spring 2011)

- Outermost parentheses are omitted  $(M \ N) \equiv M \ N$
- Application is left associative  $((M \ N) \ P) \equiv M \ N \ P$
- The body of an abstraction extends as far right as possible, subject to scope limited by parentheses
- $\lambda x.M N \equiv \lambda x.(M N)$  and not  $(\lambda x.M) N$
- $\lambda x. \lambda y. \lambda z. M = \lambda x y z. M$

#### **Lambda Calculus Semantics**

- What do we mean by evaluating an expression?
- To evaluate  $(\lambda x.M)N$
- Evaluate M with x replaced by N
- This rule is called  $\beta$ -reduction
- $(\lambda x.M) \stackrel{\mathsf{N}}{\sim} M[x := N]$
- M[x := N] is M with occurrences of x replaced by N
- This operation is called *substitution* see rules below

#### $\beta$ -Reduction Examples

- $(\lambda x.x)z \rightarrow z$
- $(\lambda x.y)z \rightarrow y$
- $(\lambda x. x y)z \rightarrow z y$

a function that applies its argument to y

- $(\lambda x.xy)(\lambda z.z) \rightarrow (\lambda z.z)y \rightarrow y$
- $(\lambda x. \lambda y. x y)z \rightarrow \lambda y. z y$

A curried function of two arguments — applies first argument to second

• currying replaces f(x, y) with (f x)y — nice notational convenience — gives partial application for free



#### 3.4.3 Substitution

- To define substitution use recursion on the structure of terms
- $\bullet \ \ x[x \coloneqq N] \equiv N$
- $y[x := N] \equiv y$
- $(P Q)[x := N] \equiv (P[x := N])(Q[x := N])$
- $(\lambda x.M)[x := N] = \lambda x.M$

In  $(\lambda x.M)$ , the x is a formal parameter and thus a local variable, different to any other

- $(\lambda y.M)[x := N] = \text{what}?$
- Look back at the school maths example above a subtle point
- Renaming bound variables consistently is allowed
- $\lambda x.x \equiv \lambda y.y \equiv \lambda z.z$
- $\lambda y.\lambda x.y \equiv \lambda z.\lambda x.z$
- This is called  $\alpha$ -conversion
- $(\lambda x.\lambda y.xy)y \rightarrow (\lambda x.\lambda z.xz)y \rightarrow \lambda z.yz$
- Bound and Free Variables
- $BV(x) = \emptyset$
- $BV(\lambda x.M) = BV(M) \cup \{x\}$
- $BV(MN) = BV(M) \cup BV(N)$
- $FV(x) = \{x\}$
- $FV(\lambda x.M) = FV(M) \{x\}$
- $FV(MN) = FV(M) \cup FV(N)$
- The above is a formalisation of school maths
- A Lambda term with no free variables is said to be closed such terms are also called combinators — see Combinator and Combinatory logic (Hankin, 2004, page 10)
- $\alpha$ -conversion
- $\lambda x.M \xrightarrow{\alpha} \lambda y.M[x := y]$  if  $y \notin FV(M)$
- $\beta$ -reduction final rule

• 
$$(\lambda y.M)[x := N] = \lambda y.M \text{ if } x \notin FV(M)$$

• 
$$(\lambda y.M)[x := N] = \lambda y.M[x := N]$$
  
if  $x \in FV(M)$  and  $y \notin FV(N)$ 

• 
$$(\lambda y.M)[x := N] = \lambda z.M[y := z][x := N]$$
  
if  $x \in FV(M)$  and  $y \in FV(N)$ 

z is chosen to be first variable  $z \notin FV(NM)$ 

- This is why you cannot go f(a) when given
- $f(x) = ax^2 + bx + c$
- School maths but made formal

#### Lambda Calculus — Rules Summary — Conversion

- $\alpha$ -conversion renaming bound variables
- $\lambda x.M \xrightarrow{\alpha} \lambda y.M[x := y]$  if  $y \notin FV(M)$
- $\beta$ -conversion function application
- $(\lambda x.M) \stackrel{N}{\sim} M[x := N]$
- η-conversion extensionality
- $\lambda x.Fx \xrightarrow{n} F \text{ if } x \notin FV(F)$

#### Lambda Calculus — Rules Summary — Substitution

1. 
$$x[x := N] \equiv N$$

2. 
$$y[x := N] \equiv y$$

3. 
$$(PQ)[x := N] \equiv (P[x := N])(Q[x := N])$$

4. 
$$(\lambda x.M)[x := N] = \lambda x.M$$

5. 
$$(\lambda y.M)[x := N] = \lambda y.M \text{ if } x \notin FV(M)$$

6. 
$$(\lambda y.M)[x := N] = \lambda y.M[x := N]$$
  
if  $x \in FV(M)$  and  $y \notin FV(N)$ 

7. 
$$(\lambda y.M)[x := N] = \lambda z.M[y := z][x := N]$$
  
if  $x \in FV(M)$  and  $y \in FV(N)$   
 $z$  is chosen to be first variable  $z \notin FV(NM)$ 

ToC

#### 3.4.4 Lambda Calculus Encodings

- So what does this formalism get us?
- The Lambda Calculus is Turing complete

- We can encode any computation (if we are clever enough)
- Booleans and propositional logic
- Pairs
- Natural numbers and arithmetic
- Looping and recursion

## **Booleans and Propositional Logic**

- True =  $\lambda x. \lambda y. x$
- False =  $\lambda x. \lambda y. y$
- IF a THEN b ELSE  $c \equiv abc$
- IF True THEN b ELSE  $c \rightarrow (\lambda x. \lambda y. x) b c$
- $\rightarrow (\lambda y.b) c \rightarrow b$
- IF False THEN b ELSE  $c \rightarrow (\lambda x. \lambda y. y) b c$
- $\rightarrow (\lambda y.y) c \rightarrow c$
- Not =  $\lambda x$ .((x False)True)
- Not x = IF x THEN False ELSE True
- Exercise: evaluate Not True
- And =  $\lambda x. \lambda y. ((x y) \text{ False})$
- And x y = IF x THEN y ELSE False
- Exercise: evaluate And True False
- Or =  $\lambda x. \lambda y. ((x \text{ True }) y)$
- Or x y = IF x THEN True ELSE y
- Exercise: evaluate Or False True
- Exercise: evaluate Not True
- $\rightarrow$  ( $\lambda x$ .((x False) True)) True
- → (True False) True
- Could go straight to False from here, but we shall fill in the detail
- $\rightarrow ((\lambda x.\lambda y.x)(\lambda x.\lambda y.y))(\lambda x.\lambda y.x)$
- $\rightarrow (\lambda y.(\lambda x.\lambda y.y))(\lambda x.\lambda y.x)$
- $\rightarrow$   $(\lambda x.\lambda y.y) \equiv$  False
- Exercise: evaluate And True False
- $\rightarrow$  (IF x THEN y ELSE False) True False
- →(IF True THEN False ELSE False) → False
- Exercise: evaluate Or False True

- $\rightarrow$  (IF x THEN True ELSE y) False True
- →(IF False THEN True ELSE True) →True

## Natural Numbers — Church Numerals

- Encoding of natural numbers
- $0 = \lambda f . \lambda y . y$
- $1 = \lambda f . \lambda y . f y$
- $2 = \lambda f . \lambda y . f(f y)$
- $3 = \lambda f . \lambda y . f(f(f y))$
- Successor Succ =  $\lambda z.\lambda f.\lambda y.f(zfy)$
- Succ  $0 = (\lambda z.\lambda f.\lambda y.f(zfy))(\lambda f.\lambda y.y)$
- $\rightarrow \lambda f.\lambda y.f((\lambda f.\lambda y.y)fy)$
- $\rightarrow \lambda f. \lambda y. f((\lambda y. y) y)$
- $\rightarrow \lambda f. \lambda y. f y = 1$

### Natural Numbers — Operations

- isZero =  $\lambda z.z(\lambda y. \text{ False})$  True
- Exercise: evaluate isZero 0
- If M and N are numerals (as  $\lambda$  expressions)
- Add  $MN = \lambda x. \lambda y. (Mx) ((Nx) y)$
- Mult  $MN = \lambda x.(M(Nx))$
- Exercise: show 1 + 1 = 2

#### **Pairs**

- Encoding of a pair a, b
- $(a, b) = \lambda x$ . IF x THEN a ELSE b
- FST =  $\lambda f.f$  True
- SND =  $\lambda f.f$  False
- Exercise: evaluate FST (a, b)
- Exercise: evaluate SND (a, b)

### The Fixpoint Combinator

- $Y = \lambda f.(\lambda x.f(x x))(\lambda x.f(x x))$
- $Y F = \lambda f \cdot (\lambda x \cdot f(x x)) (\lambda x \cdot f(x x)) F$
- $\rightarrow (\lambda x.F(xx))(\lambda x.F(xx))$
- $F((\lambda x.F(xx))(\lambda x.F(xx))) = F(YF)$

- (YF) is a fixed point of F
- We can use Y to achieve recursion for F
- Recursion implementation Factorial
- Fact =  $\lambda f.\lambda n$ . IF n = 0 THEN 1 ELSE n \* (f(n-1))
- (*Y* Fact)1 = (Fact (*Y* Fact))1
- $\rightarrow$  IF 1 = 0 THEN 1 ELSE 1 \* ((Y Fact) 0)
- $\rightarrow$  1 \* ((Y Fact) 0)
- → 1 \* (Fact (*Y* Fact) 0)
- $\rightarrow 1 * \text{ IF } 0 = 0 \text{ THEN } 1 \text{ ELSE } 0 * ((Y \text{ Fact})(0 1))$
- $\bullet \rightarrow 1 * 1 \rightarrow 1$
- Factorial n = (Y Fact) n
- Recursion implemented with a non-recursive function Y



## Turing Machines, Lambda Calculus and Programming Languages

- Anything computable can be represented as TM or Lambda Calculus
- But programs would be slow, large and hard to read
- In practice use the ideas to create more expressive languages which include built-in primitives
- Also leads to ideas on data types
- Polymorphic data types
- Algebraic data types
- Also leads on to ideas on higher order functions functions that take functions as arguments or returns functions as results.



# **Commentary 3**

## **3** Complexity

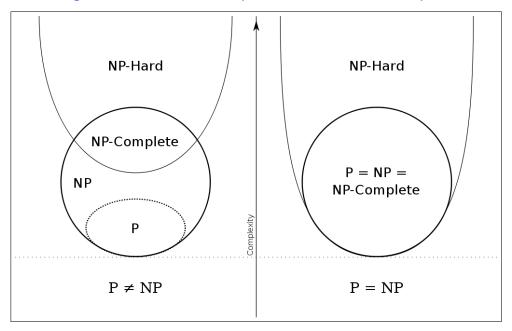
- Complexity Classes P and NP
- Class NP
- NP-completeness
- NP-completeness and Boolean Satisfiability

# 4 Complexity

## 4.1 Complexity Classes P and NP

- P, the set of all decision problems that can be solved in polynomial time on a deterministic Turing machine
- NP, the set of all decision problems whose solutions can be verified (certificate) in polynomial time
- Equivalently, NP, the set of all decision problems that can be solved in polynomial time on a non-deterministic Turing machine
- A decision problem, dp is NP-complete if
  - 1. dp is in NP and
  - 2. Every problem in NP is reducible to dp in polynomial time
- NP-hard a problem satisfying the second condition, whether or not it satisfies the first condition. Class of problems which are at least as hard as the hardest problems in NP. NP-hard problems do not have to be in NP and may not be decision problems

Euler diagram for P, NP, NP-complete and NP-hard set of problems



Source: Wikipedia NP-complete entry



#### 4.2 Class NP

- To formalise the definition of the class NP, we need to formalise the idea of checking a candidate solution
- Define a certificate for each problem input that would return Yes
- Describe the verifier algorithm
- Demonstrate the *verifier* algorithm has polynomial complexity

 The terms certificate and verifier have technical definitions in terms of languages and Turing Machines but can be thought of as candidate solution and checker algorithm

### **Example NP Decision Problems**

• **Composite Numbers** Given a number *N* decide if *N* is a composite (i.e. non-prime) number

Certificate factorization of N

• Connectivity Given a graph G and two vertices s, t in G, decide if s is connected to t in G.

Certificate path from s to t

• Linear Programming Given a list of m linear inequalities with rational coefficients over n variables  $u_1, \ldots, u_n$  (a linear inequality has the form  $a_1 u_1 + a_2 u_2 \cdots + a_n u_n \le b$  for some coefficients  $a_1, \ldots, a_n b$ ), decide if there is an assignment of rational numbers to the variables  $u_1, \ldots, u_n$  which satisfies all the inequalities

Certificate is the assignment

- The above are in P
- Composite Numbers, Connectivity and Linear programming are in P
- Composite Numbers follows from Integer factorization and the AKS primality test from 2004
- Connectivity follows from the breadth-first search algorithm
- Linear programming shown to be in P by the Ellipsoid method
- Integer Programming some or all variables are restricted to be integers
- Travelling Salesperson Given a set of nodes and distances between all pairs of nodes and a number k, decide if there is a closed circuit that visits every node exactly once and has total length at most k

Certificate sequence of nodesin such a tour

 Subset sum Given a list of numbers and a number T, decide if there is a subset that adds up to T

Certificate list of members of such a subset

• Independent set (graph theory) A subgraph of G with of at least k vertices which have no edges between them

*Certificate* the list of *k* vertices

• Clique problem Given a graph and a number k, decide if there is a complete subgraph (clique) of size k

Certificate list pf nodes. For explanation see Prove Clique is NP

- The above are **NP-complete** see List of NP-complete problems
- The following two are not known to be **P** nor **NP-complete**

• Graph Isomorphism Given two  $n \times n$  adjacency matrices  $M_1, M_2$ , decide if  $M_1$  and  $M_2$  define the same graph (up to renaming of the vertices)

Certificate the permutation  $\pi:[n] \to [n]$  such that  $M_2$  is equal to  $M_1$  after reordering the indices of  $M_1$  according to  $\pi$ 

• Integer factorization Given three numbers N, L, U decide if N has a prime factor p in the interval [L, U]

Certificate is the factorization of N

Source Arora and Barak (2009, page 49) Computational Complexity: A Modern Approach and contained links

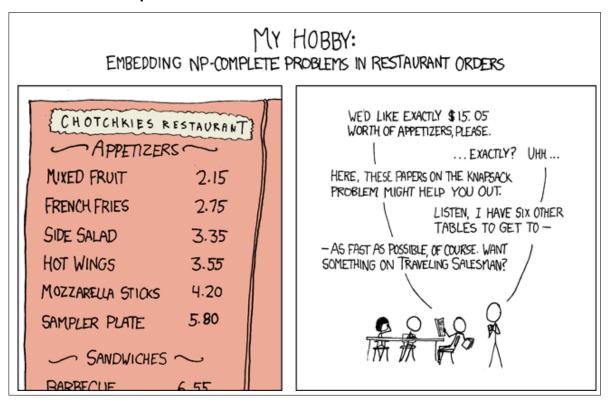


## 4.3 NP-completeness

### **NP-complete problems**

- Boolean satisfiability (SAT) Cook-Levin theorem
- Conjunctive Normal Form 3SAT
- Hamiltonian path problem
- Travelling salesman problem
- NP-complete see list of problems

## **XKCD on NP-Complete Problems**



Source & Explanation: XKCD 287

## 4.4 NP-Completeness and Boolean Satisfiability

- The *Boolean satisfiability problem (SAT)* was the first decision problem shown to be *NP-Complete*
- This section gives a sketch of an explanation
- **Health Warning** different texts have different notations and there will be some inconsistency in these notes
- **Health warning** these notes use some formal notation *to make the ideas more precise* computation requires precise notation and is about manipulating strings according to precise rules.

## Alphabets, Strings and Languages

- Notation:
- $\Sigma$  is a set of symbols the alphabet
- $\Sigma^k$  is the set of all string of length k, which each symbol from  $\Sigma$
- Example: if  $\Sigma = \{0, 1\}$ 
  - $-\Sigma^1 = \{0, 1\}$
  - $-\Sigma^2 = \{00, 01, 10, 11\}$
- $\Sigma^0 = \{\epsilon\}$  where  $\epsilon$  is the empty string
- $\Sigma^*$  is the set of all possible strings over  $\Sigma$
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- A Language, L, over  $\Sigma$  is a subset of  $\Sigma^*$
- $L \subseteq \Sigma^*$

#### Language Accepted by a Turing Machine

- Language accepted by Turing Machine, M denoted by L(M)
- L(M) is the set of strings  $w \in \Sigma^*$  accepted by M
- For *Final States F* = {*Y*, *N*}, a string  $w \in \Sigma^*$  is accepted by  $M \Leftrightarrow$  (if and only if) M starting in  $q_0$  with w on the tape halts in state Y
- Calculating a function (function problem) can be turned into a decision problem by asking whether f(x) = y

#### The NP-Complete Class

- If we do not know if P ≠ NP, what can we say?
- A language *L* is *NP-Complete* if:
  - $L \in NP$  and
  - for all other  $L' \in NP$  there is a *polynomial time transformation* (Karp reducible, reduction) from L' to L

- Problem  $P_1$  polynomially reduces (Karp reduces, transforms) to  $P_2$ , written  $P_1 \propto P_2$  or  $P_1 \leq_p P_2$ , iff  $\exists f : dp_{P_1} \rightarrow dp_{P_2}$  such that
  - $\forall I \in \mathsf{dp}_{P_1}[I \in Y_{P_1} \Leftrightarrow f(I) \in Y_{P_2}]$
  - f can be computed in polynomial time
- More formally,  $L_1 \subseteq \Sigma_1^*$  polynomially transforms to  $L_2 \subseteq \Sigma_2^*$ , written  $L_1 \propto L_2$  or  $L_1 \leq_p L_2$ , iff  $\exists f : \Sigma_1^* \to \Sigma_2^*$  such that
  - $\forall x \in \Sigma_1^* [x \in L_1 \Leftrightarrow f(x) \in L_2]$
  - There is a polynomial time TM that computes f
- Transitivity If  $L_1 \propto L_2$  and  $L_2 \propto L_3$  then  $L_1 \propto L_3$
- If L is NP-Hard and  $L \in P$  then P = NP
- If L is NP-Complete, then  $L \in P$  if and only if P = NP
- If  $L_0$  is NP-Complete and  $L \in NP$  and  $L_0 \propto L$  then L is NP-Complete
- Hence if we find one NP-Complete problem, it may become easier to find more
- In 1971/1973 Cook-Levin showed that the Boolean satisfiability problem (SAT) is NP-Complete

#### The Boolean Satisfiability Problem

- A propositional logic formula or Boolean expression is built from variables, operators: AND (conjunction, ∧), OR (disjunction, ∨), NOT (negation, ¬)
- A formula is said to be *satisfiable* if it can be made True by some assignment to its variables.
- The Boolean Satisfiability Problem is, given a formula, check if it is satisfiable.
  - Instance: a finite set U of Boolean variables and a finite set C of clauses over U
  - Question: Is there a satisfying truth assignment for C?
- A clause is is a disjunction of variables or negations of variables
- Conjunctive normal form (CNF) is a conjunction of clauses
- Any Boolean expression can be transformed to CNF
- Given a set of Boolean variable  $U = \{u_1, u_2, \dots, u_n\}$
- A literal from U is either any  $u_i$  or the negation of some  $u_i$  (written  $\overline{u_i}$ ) usual notation  $\neg u_i$
- A clause is denoted as a subset of literals from  $U = \{u_2, \overline{u_4}, u_5\}$  usual notation  $u_2 \vee \neg u_4 \vee u_5$
- A clause is satisfied by an assignment to the variables if at least one of the literals evaluates to True (just like disjunction of the literals)
- Let C be a set of clauses over U-C is satisfiable iff there is some assignment of truth values to the variables so that every clause is satisfied (just like CNF)
- $C = \{\{u_1, u_2, u_3\}, \{\overline{u_2}, \overline{u_3}\}, \{u_2, \overline{u_3}\}\}\$  is satisfiable

```
usual notation (u_1 \lor u_2 \lor u_3) \land (\neg u_2 \lor \neg u_3) \land (u_2 \lor \neg u_3)
assign (u_1, u_2, u_3) = (T, F, F), (T, T, F), (F, T, F)
```

- $C = \{\{u_1, u_2\}, \{u_1, \overline{u_2}\}, \{\overline{u_1}\}\}\$  is not satisfiable
  - usual notation  $(u_1 \vee u_2) \wedge (u_1 \vee \neg u_2) \wedge (\neg u_1)$
- Proof that SAT is NP-Complete looks at the structure of NDTMs and shows you can transform any NDTM to SAT in polynomial time (in fact logarithmic space suffices)
- SAT is in NP since you can check a solution in polynomial time
- To show that  $\forall L \in \text{NP} : L \propto \text{SAT}$  invent a polynomial time algorithm for each polynomial time NDTM, M, which takes as input a string x and produces a Boolean formula  $E_X$  which is satisfiable iff M accepts x
- See Cook-Levin theorem

#### Sources

- Garey and Johnson (1979, page 34) has the notation  $L_1 \propto L_2$  for polynomial transformation
- Arora and Barak (2009, page 42) has the notation  $L_1 \leq_p L_2$  for polynomial-time Karp reducible
- The sketch of Cook's theorem is from Garey and Johnson (1979, page 38)
- For the satisfiable C we could have assignments  $(u_1, u_2, u_3) \in \{(T, T, F), (T, F, F), (F, T, F)\}$

## **Coping with NP-Completeness**

- What does it mean if a problem is NP-Complete?
  - There is a P time verification algorithm.
  - There is a P time algorithm to solve it iff P = NP (?)
  - No one has yet found a P time algorithm to solve any NP-Complete problem
  - So what do we do?
- Improved exhaustive search Dynamic Programming; Branch and Bound
- Heuristic methods acceptable solutions in acceptable time compromise on optimality
- Average time analysis look for an algorithm with good average time compromise on generality (see Big-O Algorithm Complexity Cheatsheet)
- Probabilistic or Randomized algorithms compromise on correctness

#### **Sources**

- Practical Solutions for Hard Problems Rich (2007, chp 30)
- Coping with NP-Complete Problems Garey and Johnson (1979, chp 6)

# 5 Turing Machine TMA Question

- The transition function is represented as a Python dictionary mapping stete, symbol to symbol, move, state
- States are represented as strings we may define Python constants to make life easier (see below)
- What are the states?
- Tape represented by a list; moves by 1, -1, 0

```
# Moves
RIGHT = 1
LEFT = -1
STAY = 0

# States
Start = "start"
FindA = "FindA"
FindO = "FindO"
FindNum = "FindNum"
FinishOK = "FinishOK"
FinishNotOK = "FinishNotOK"
Stop = "stop"
```

- Note that the identifiers must be valid Python
- Python has conventions about constantss
- Describe the actions for each state possibly using Python dictionary notation (to make shorter work)

```
(Start, "a"): ("a", RIGHT,FindA),
  (Start, "0"): ("0", RIGHT,FindO),
  (Start, "#"): ("#", RIGHT,FindNum),
  (Start, None): (None,STAY, Stop), # Is empty input allowed ?

(FindA, "a"): ("a", RIGHT,FinishOK),
  (FindA, "0"): ("0", RIGHT,FindA),
  (FindA, "#"): ("#", RIGHT,FindA),
  (FindA, None): (False,STAY, Stop),

(FindO, "a"): ("a", RIGHT,FindO),
  (FindO, "b"): ("0", RIGHT,FinishOK),
  (FindO, "#"): ("#", RIGHT,FindO),
  (FindO, None): (False,STAY, Stop),

(FindNum, "a"): ("a", RIGHT,FindNum),
  (FindNum, "a"): ("a", RIGHT,FindNum),
  (FindNum, "b"): ("b", RIGHT,FinishOK),
  (FindNum, "b"): ("b", RIGHT,FinishOK),
  (FindNum, None): (False,STAY, Stop),
```

• FinishOK and FinishNotOK should tidy up the output and move the read/write head to an approriate position

```
(FinishOK, "a"): ("a",RIGHT,FinishOK),
  (FinishOK, "0"): ("0",RIGHT,FinishOK),
  (FinishOK, "#"): ("#",RIGHT,FinishOK),
  (FinishOK, None): (True,STAY,Stop),
```

What if we wanted to erase everything else and only have False/True as output?

# 6 Complexity and Big O Notation

Measuring program complexity introduced in section 4 of M269 Unit 2

- See also Miller and Ranum chapter 2 Big-O Notation
- See also Wikipedia: Big O notation
- See also Big-O Cheat Sheet
- Complexity of algorithm measured by using some surrogate to get rough idea
- In M269 mainly using assignment statements
- For exact measure we would have to have cost of each operation, knowledge of the implementation of the programming language and the operating system it runs under.
- But mainly interested in the following questions:
- (1) Is algorithm A more efficient than algorithm B for large inputs?
- (2) Is there a lower bound on any possible algorithm for calculating this particular function?
- (3) Is it always possible to find a polynomial time  $(n^k)$  algorithm for any function that is computable
- — the later questions are addressed in Unit 7

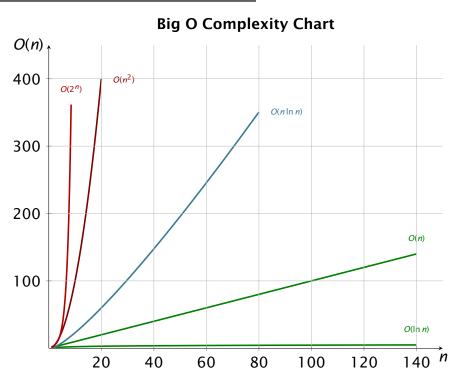
#### **Orders of Common Functions**

- O(1) constant look-up table
- $O(\log n)$  **logarithmic** binary search of sorted array, binary search tree, binomial heap operations
- O(n) linear searching an unsorted list
- O(n log n) loglinear heapsort, quicksort (best and average), merge sort
- $O(n^2)$  quadratic bubble sort (worst case or naive implementation), Shell sort, quicksort (worst case), selection sort, insertion sort
- $O(n^c)$  polynomial
- $O(c^n)$  exponential travelling salesman problem via dynamic programming, determining if two logical statements are equivalent by brute force
- *O*(*n*!) **factorial** TSP via brute force.

## Tyranny of Asymptotics

- Table from Bentley (1984, page 868)
- Cubic algorithm on Cray-1 supercomputer with FORTRAN3.0n3 nanoseconds
- Linear algorithm on TRS-80 micro with BASIC  $19.5n \times 10^6$  nanoseconds

N	Cray-1	TRS-80
10	3.0 microsecs	200 millisecs
100	3.0 millisecs	2.0 secs
1000	3.0 secs	20 secs
10000	49 mins	3.2 mins
100000	35 days	32 mins
1000000	95 yrs	5.4 hrs



**Big O Notation** 

- Abuse of notation we write f(x) = O(g(x))
- but O(g(x)) is the class of all functions h(x) such that  $|h(x)| \le C|g(x)|$  for some constant C
- So we should write  $f(x) \in O(g(x))$  (but we don't)
- We ought to use a notation that says that (informally) the function f is bounded both above and below by g asymptotically
- This would mean that for big enough x we have

$$k_1 g(x) \le f(x) \le k_2 g(x)$$
 for some  $k_1, k_2$ 

- This is Big Theta,  $f(x) = \Theta(g(x))$
- But we use Big O to indicate an asymptotically tight bound where Big Theta might be more appropriate
- See Wikipedia: Big O Notation
- This could be Maths phobia generated confusion

# 6.1 Complexity Example

```
def someFunction(aList) :
    n = len(aList)
    best = 0
    for i in range(n) :
        for j in range(i + 1, n + 1) :
            s = sum(aList[i:j])
            best = max(best, s)
    return best
```

- Example from M269 Unit 2 page 46
- Code in file M269TutorialProgPythonADT.py
- What does the code do?
- (It was a famous problem from the late 1970s/early 1980s)
- Can we construct a more efficient algorithm for the same computational problem?
- The code calculates the maximum subsegment of a list
- Described in Bentley (1984), Bentley (1986, column 7), Bentley (2000, column 8) Also in Gries (1989)
- These are all in a procedural programming style (as in C, Java, Python)
- Problem arose from medical image processing.
- A functional approach using Haskell is in Bird (1998, page 134), Bird (2014, page 127, 133) a variant on this called the *Not the maximum segment sum* is given in Bird (2010, Page 73) both of these *derive* a linear time program from the  $(n^3)$  initial specification
- See Wikipedia: Maximum subarray problem
- See Rosetta Code: Greatest subsequential sum
- Here is the same program but modified to allow lists that may only have negative numbers
- The complexity T(n) function will be slightly different
- but the Big O complexity will be the same

```
def maxSubSeg01(xs) :
14
15
      n = len(xs)
16
      maxSoFar = xs[0]
17
      for i in range(1,n):
        for j in range(i + 1, n + 1):
18
19
          s = sum(xs[i:j])
          \max SoFar = \max(\max SoFar, s)
20
21
      return maxSoFar
```

- Complexity function *T(n)* for maxSubSeg01()
- Two initial assignments
- The outer loop will be executed (n-1) times,
- Hence the inner loop is executed

$$(n-1)+(n-2)+\ldots+2+1=\frac{(n-1)}{2}\times n$$

• Assume sum() takes *n* assignments

- Hence  $T(n) = 2 + (n+2) \times \left(\frac{(n-1)}{2} \times n\right)$  $= 2 + (n+2) \times \left(\frac{n^2}{2} - \frac{n}{2}\right)$   $= 2 + \frac{1}{2}n^3 - \frac{1}{2}n^2 + n^2 - n$   $= \frac{1}{2}n^3 + \frac{1}{2}n^2 - n + 2$
- Hence  $O(n^3)$
- · Developing a better algorithm
- Assume we know the solution (maxSoFar) for xs[0..(i 1)]
- We extend the solution to xs[0..i] as follows:
- The maximum segment will be either maxSoFar
- or the sum of a sublist ending at i (maxToHere) if it is bigger
- This reasoning is similar to divide and conquer in binary search or Dynamic programming (see Unit 5)
- Keep track of both maxSoFar and maxToHere the Eureka step
- Developing a better algorithm maxSubSeg02()

```
27
    def maxSubSeg02(xs) :
      maxToHere = xs[0]
28
29
      \max SoFar = xs[0]
30
      for x in xs[1:]:
        # Invariant: maxToHere, maxSoFar OK for xs[0..(i-1)]
31
        maxToHere = max(x, maxToHere + x)
32
        maxSoFar = max(maxSoFar, maxToHere)
33
34
      return maxSoFar
```

- Complexity function T(n) = 2 + 2n
- Hence O(n)
- What if we want more information?
- Return the (or a) segment with max sum and position in list

```
38
    def maxSubSeg03(xs) :
      maxSoFar = maxToHere = xs[0]
39
      startIdx, endIdx, startMaxToHere = 0, 0, 0
40
41
      for i, x in enumerate(xs) :
        if maxToHere + x < x:
42
          maxToHere = x
43
          startMaxToHere = i
44
45
        else:
          maxToHere = maxToHere + x
46
        if maxSoFar < maxToHere :</pre>
          maxSoFar = maxToHere
49
          startIdx, endIdx = startMaxToHere, i
50
      return (maxSoFar,xs[startIdx:endIdx+1],startIdx,endIdx)
52
```

- Developing a better algorithm maxSubSeg03()
- Complexity function worst case T(n) = 2 + 3 + (2 + 3)n
- Hence still O(n)

- Note Python assignments, enumerate() and tuple
- Sample data and output

```
egList = [-2,1,-3,4,-1,2,1,-5,4]

egList01 = [-1,-1,-1]

egList02 = [1,2,3]

assert maxSubSeg03(egList) == (6, [4, -1, 2, 1], 3, 6)

assert maxSubSeg03(egList01) == (-1, [-1], 0, 0)

assert maxSubSeg03(egList02) == (7, [1, 2, 3], 0, 2)
```

ToC

# 6.2 Complexity & Python Data Types

#### Lists

Operation	Notation	Average	Amortized Worst
Get item	x = xs[i]	<i>O</i> (1)	<i>O</i> (1)
Set item	xs[i] = x	<i>O</i> (1)	<i>O</i> (1)
Append	xs = ys + zs	<i>O</i> (1)	<i>O</i> (1)
Сору	xs = ys[:]	O(n)	O(n)
Pop last	xs.pop()	<i>O</i> (1)	<i>O</i> (1)
Pop other	xs.pop(i)	O(k)	O(k)
Insert(i,x)	xs[i:i] = [x]	O(n)	O(n)
Delete item	del xs[i:i+1]	O(n)	O(n)
Get slice	xs = ys[i:j]	O(k)	O(k)
Set slice	xs[i:j] = ys	O(k+n)	O(k + n)
Delete slice	xs[i:j] = []	O(n)	O(n)
Member	x in xs	O(n)	
Get length	n = len(xs)	<i>O</i> (1)	<i>O</i> (1)
Count(x)	n = xs.count(x)	O(n)	<i>O</i> ( <i>n</i> )

- Source https://wiki.python.org/moin/TimeComplexity
- See https://docs.python.org/3/library/stdtypes.html#sequence-types-list-tuple-range

## **Bags**

```
class Bag:
      def __init__(self):
        self.list = []
 8
      def add(self, item):
10
11
        self.list.append(item)
13
      def remove(self, item):
        self.list.remove(item)
14
      def contains(self, item):
16
        return item in self.list
17
      def count(self, item):
19
        return self.list.count(item)
20
22
      def size(self):
        return len(self.list)
23
```

```
def __str__(self):
    return str(self.list)
```

#### Information Retrieval Functions

- **Term Frequency**, **tf**, takes a string, **term**, and a Bag, **document** *returns* occurrences of **term** divided by total strings in **document**
- Inverse Document Frequency, idf, takes a string, term, and a list of Bags, documents returns log(total/(1 + containing)) — total is total number of Bags, containing is the number of Bags containing term
- **tf-idf**, tf\_idf, takes a string, term, and a list of Bags, documents returns a sequence  $[r_0, r_1, ..., r_{n-1}]$  such that  $r_i = \text{tf}(\text{term}, d_i) \times \text{idf}(\text{term}, \text{documents})$



# 6.3 Definitions and Rules for Complexity

## 6.3.1 Big-O and Big-Theta Definitions

- We compare the functions implementing algorithms by looking at the asymptotic behaviour of the functions for large inputs.
- If f and g are functions taking taking natural numbers as input (the problem size) and returning nonnegative results (the effort required in the calculations.)
- f is of order g and write  $f = \Theta(g)$ , if there are positive constants  $k_1$  and  $k_2$  and a natural number  $n_0$  such that

$$k_1 g(n) \leq f(n) \leq k_2 g(n)$$
 for all  $n > n_0$ 

This means that some multipliers times g(n) provide upper and lower bounds to f(n)

- If we only wanted an upper bound on the values of a function, then you can use Big-O notation.
- We say f is of order at most g and write f = O(g), if there is a positive constant k and a natural number  $n_0$  such that

$$f(n) \leq kg(n)$$
 for all  $n > n_0$ 

Note that the notation is heavily abused:

Many authors use Big-O notation when they really mean Big- $\Theta$  notation

We really should define the  $\Theta$  notation to say that  $\Theta(g)$  denotes the set of all functions f with the stated property and write  $f \in \Theta(g)$  — however the use of  $f = \Theta(g)$  is traditional

 The next section gives some rules for manipulating the notation to calculate overall complexities of functions from their component parts — this also abuses the notation for equality Based on Bird and Gibbons (2020, page 25) Algorithm Design with Haskell and Graham et al. (1994, page 450) Concrete Mathematics: A Foundation for Computer Science

ToC

## 6.3.2 Big-O and Big-Theta Rules

•  $n^p = O(n^q)$  where  $p \le q$ 

This has some surprising consequences — n = O(n) and  $n = O(n^2)$  — remember Big-O just gives upper bounds.

- O(f(n)) + O(g(n)) = O(|f(n)| + |g(n)|)
- $\Theta(n^p) + \Theta(n^q) = \Theta(n^q)$  where  $p \le q$
- $f(n) = \Theta(f(n))$
- $c \cdot \Theta(f(n)) = \Theta(f(n))$  if c is constant
- $\Theta(\Theta(f(n))) = \Theta(f(n))$
- $\Theta(f(n))\Theta(g(n)) = \Theta(f(n)g(n))$
- $\Theta(f(n)g(n)) = f(n)\Theta(g(n))$

ToC

## 6.3.3 Big-Theta Rules — Example

```
def numVowels(txt : str) -> int ;
    """Find the number of vowels in text

vowelCount = 0
vowels = "aeiouAEIOU"

for ch in txt :
    if ch in vowels :
        vowelCount = vowelCount + 1
return vowelCount
```

The rules give

```
\Theta(1) + \Theta(1) + \Theta(n) \times \Theta(|vowels|) \times \Theta(1)
where n = |txt|
```

• Since |vowels| = 10 the overall complexity is  $\Theta(n)$ 





## 6.4 List Comprehensions

### **List Comprehensions** — Python

• List Comprehensions (tutorial), List Comprehensions (reference) provide a concise way of performing calculations over lists (or other iterables)

• Example: Square the even numbers between 0 and 9

```
Python3>>> [x ** 2 for x in range(10) if x % 2 == 0]
[0, 4, 16, 36, 64]
```

- Example: List all pairs of integers (x, y) such that x < 4, y < 4 and x is divisible by 2 and y is divisible by 3

• In general

```
[expr for target1 in iterable1 if cond1
    for target2 in iterable2 if cond2 ...
    for targetN in iterableN if condN ]
```

· Lots example usage in the algorithms below

## List Comprehensions — Haskell

- List Comprehensions provide a concise way of performing calculations over lists
- Example: Square the even numbers between 0 and 9

```
GHCi> [x^2 | x <- [0..9], x 'mod' 2 == 0]

[0,4,16,36,64]

GHCi>
```

In general

```
[expr | qual1, qual2,..., qualN]
```

- The qualifiers qual can be
  - Generators pattern <- list
  - Boolean guards acting as filters
  - Local declarations with let decls for use in expr and later generators and boolean guards

## Activity 1 (a) Stop Words Filter

- Stop words are the most common words that most search engines avoid: 'a', 'an', 'the', 'the
- Using list comprehensions, write a function filterStopWords that takes a list of words and filters out the stop words
- Here is the initial code

Go to Answer

#### Activity 1 (a) Stop Words Filter

```
sentence \
11
         = "the_quick_brown_fox_jumps_over_the_lazy_dog"
12
14
       words = sentence.split()
       wordsTest \
16
        = (words == ['the', 'quick', 'brown'
, 'fox', 'jumps', 'over'
, 'the', 'lazy', 'dog'])
17
18
19
21
       stopWords \
         = ['a','an','the','that']
22
```

- Notice the Python Explicit line joining with (\<n1>) and Python Implicit line joining with ((...))
- The backslash (\) must be followed by an end of line character (<n1>)
- The ('...') symbol represents a space (see Unicode U+2423 Open Box)

Go to Answer

### Activity 1 (b) Transpose Matrix

- A matrix can be represented as a list of rows of numbers
- We transpose a matrix by swapping columns and rows
- Here is an example

Using list comprehensions, write a function transMat, to transpose a matrix

Go to Answer

## Activity 1 (c) List Pairs in Fair Order

- Write a function which takes a pair of positive integers and outputs a list of all possible pairs in those ranges
- If we do this in the simplest way we get a bias to one argument
- Here is an example of a bias to the second argument

Go to Answer

- Rewrite the function which takes a pair of positive integers and outputs a list of all possible pairs in those ranges
- The output should treat each argument *fairly* any initial prefix should have roughly the same number of instances of each argument
- Here is an example output

Go to Answer

## Activity 1 (c) List Pairs in Fair Order

- Rewrite the function which takes a pair of positive integers and outputs a list of lists of all possible pairs in those ranges
- The output should treat each argument *fairly* any initial prefix should have roughly the same number of instances of each argument further, the output should be segment by each initial prefix (see example below)
- Here is an example output

Go to Answer

#### 6.4.1 Complexity of List Comprehensions

- Note that list comprehensions are not in M269
- See Complexity of a List Comprehension

```
[f(e) for e in row for row in mat]
```

- Suppose  $f = \Theta(g)$  with *n* elements in a row and *m* rows
- Then complexity is  $\Theta(g(e)) \times \Theta(n) \times \Theta(m) = \Theta(m \times n \times g(e))$

```
[[e**2 for e in row] for row in mat]
```

- $\Theta(e * * 2) = \Theta(1)$
- Suppose *n* is maximum length of a row and *m* rows
- Then complexity is  $\Theta(1) \times \Theta(n) \times \Theta(m) = \Theta(n \times m)$

ToC

#### Answer 1 (a) Stop Words Filter

Answer 1 (a) Stop Words Filter

• Write here:

## Answer 1 (a) Stop Words Filter

Answer 1 (a) Stop Words Filter

```
def filterStopWords(words) :
24
25
          nonStopWords \
           = [word for word in words
26
                      if word not in stopWords]
27
          return nonStopWords
28
       filterStopWordsTest \
31
        = filterStopWords(words) \
== ['quick', 'brown', 'fox'
, 'jumps', 'over', 'lazy', 'dog']
32
33
34
```

Go to Activity

## Answer 1 (b) Transpose Matrix

- Answer 1 (b) Transpose Matrix
- Write here:

#### Answer 1 (b) Transpose Matrix

Answer 1 (b) Transpose Matrix

```
def transMat(mat) :
49
        rowLen = len(mat[0])
50
51
        matTr \
         = [[row[i] for row in mat] for i in range(rowLen)]
52
53
        return matTr
55
      transMatTestA \
       = (transMat(matrixA)
56
57
          == matATr)
```

- Note that a list comprehension is a valid expression as a target expression in a list comprehension
- The code assumes every row is of the same length

Go to Activity

### Answer 1 (b) Transpose Matrix

Note the differences in the list comprehensions below

```
38 matrixA \
= [[1, 2, 3, 4]
, [5, 6, 7,8]
, [9, 10, 11, 12]]
```

Go to Activity

## Answer 1 (b) Transpose Matrix

- Answer 1 (b) Transpose Matrix
- The Python NumPy package provides functions for N-dimensional array objects
- For transpose see numpy.ndarray.transpose

Go to Activity

### Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order first version
- Write here

Go to Activity

#### Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order
- This is the obvious but biased version

```
def yBiasListing(xRng,yRng) :
63
            yBiasLst \
64
             = [(x,y) for x in range(xRng)
65
                           for y in range(yRng)]
66
            return yBiasLst
67
         yBiasLstTest \
69
           = (yBiasListing(5,5)
70
                = [(0, 0), (0, 1), (0, 2), (0, 3), (0, 4), (1, 0), (1, 1), (1, 2), (1, 3), (1, 4), (2, 0), (2, 1), (2, 2), (2, 3), (2, 4)
71
72
73
                     , (3, 0), (3, 1), (3, 2), (3, 3), (3, 4), (4, 0), (4, 1), (4, 2), (4, 3), (4, 4)])
74
```

Go to Activity

#### Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order second version
- Write here

Go to Activity

### Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order second version
- This works by making the sum of the coordinates the same for each prefix

```
def fairListing(xRng,yRng) :
77
         fairLst \
78
          = [(x,d-x) for d in range(yRng)
79
80
                       for x in range(d+1)]
81
         return fairLst
83
      fairLstTest \
        = (fairListing(5,5)
84
85
            == [(0, 0)]
                , (0, 1), (1, 0)
86
                , (0, 2), (1, 1), (2, 0)
, (0, 3), (1, 2), (2, 1), (3, 0)
87
88
                (0, 4), (1, 3), (2, 2), (3, 1), (4, 0)
89
```

Go to Activity

## Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order third version
- Write here

```
fairLstATest \
    = (fairListingA(5,5)
    = [[(0, 0)]
    , [(0, 1), (1, 0)]
    , [(0, 2), (1, 1), (2, 0)]
    , [(0, 3), (1, 2), (2, 1), (3, 0)]
    , [(0, 4), (1, 3), (2, 2), (3, 1), (4, 0)]])
```

Go to Activity

#### Answer 1 (c) List Pairs in Fair Order

- Answer 1 (c) List Pairs in Fair Order third version
- The *inner loop* is placed into its own list comprehension

```
def fairListingA(xRng,yRng) :
91
           fairLstA \
92
93
            = [[(x,d-x) \text{ for } x \text{ in } range(d+1)]
                            for d in range(yRng)]
94
           return fairLstA
95
97
        fairLstATest \
          = (fairListingA(5,5)
98
99
               == [[(0, 0)]]
                  , [(0, 1), (1, 0)]
, [(0, 2), (1, 1), (2, 0)]
, [(0, 3), (1, 2), (2, 1), (3, 0)]
100
101
102
                    [(0, 4), (1, 3), (2, 2), (3, 1), (4, 0)]])
103
```



# 6.5 Master Theorem for Divide-and-Conquer Recurrences

## • The Divide-and-Conquer Method

Many useful algorithms are recursive in structure and often follow a divide-and-conquer method

They break the problem into several subproblems similar to the original problem

- The time analysis is represented by a recurrence system
- References
- Big O notation
- Master theorem
- Cormen et al. (2022, chp 4) Algorithms
- These notes are partly based on M261 Mathematics in Computing and M263 Building Blocks of Software and are not part of M269 Algorithms, Data Structures and Computability
- Recurrence System

$$T(1) = b \tag{1}$$

$$T(n) = bn^{\beta} + cT\left(\frac{n}{d}\right) \qquad \{n = d^{\alpha} > 1\}$$
 (2)

## • Typical Expansion

n T(n)  

$$d^{0} b$$

$$d^{1} bn^{\beta} + cb$$

$$d^{2} bn^{\beta} + cb \left(\frac{n}{d}\right)^{\beta} + c^{2}b$$

#### General Expansion

$$T(n) = bn^{\beta} + cT\left(\frac{n}{d}\right)$$

$$= bn^{\beta} + cb\left(\frac{n}{d}\right)^{\beta} + c^{2}T\left(\frac{n}{d^{2}}\right)$$

$$= bn^{\beta}\left(1 + \frac{c}{d^{\beta}} + \left(\frac{c}{d^{\beta}}\right)^{2} + \dots + \left(\frac{c}{d^{\beta}}\right)^{\alpha}\right)$$

$$T(n) = bn^{\beta}\sum_{i=0}^{\log_{d} n} \left(\frac{c}{d^{\beta}}\right)^{i}$$
(3)

- Proof of Closed Form Equation (3)
- For n = 1 equation (3) gives

$$T(1) = b1^{\beta} \sum_{i=0}^{0} \left(\frac{c}{d^{\beta}}\right)^{i} = b$$
 which is correct (same as (1))

• Assume equation (3) holds for  $n = d^{\alpha}$ . Then for  $n = d^{\alpha+1}$ 

$$T\left(d^{\alpha+1}\right) = cT\left(d^{\alpha}\right) + bn^{\beta} \qquad \text{by equation (2)}$$

$$= cbd^{\alpha\beta} \sum_{i=0}^{\alpha} \left(\frac{c}{d^{\beta}}\right)^{i} + bd^{(\alpha+1)\beta} \qquad \text{by assumption}$$

$$= \left(\frac{c}{d^{\beta}}\right) bd^{(\alpha+1)\beta} \sum_{i=0}^{\alpha} \left(\frac{c}{d^{\beta}}\right)^{i} + bd^{(\alpha+1)\beta}$$

$$= bd^{(\alpha+1)\beta} \left(\sum_{i=1}^{\alpha+1} \left(\frac{c}{d^{\beta}}\right)^{i} + 1\right) \qquad \text{by rearrangement}$$

$$= bd^{(\alpha+1)\beta} \sum_{i=0}^{\alpha+1} \left(\frac{c}{d^{\beta}}\right)^{i} \qquad \text{by rearrangement}$$

- Hence equation (3) holds for all  $n = d^{\alpha}$  where  $\alpha \in \mathbb{N}$
- 1. If  $c < d^{\beta}$  then the sum converges and T(n) is  $\Theta(n^{\beta})$
- 2. If  $c = d^{\beta}$  then each term in the sum is 1 and T(n) is  $\Theta\left(n^{\beta} \log_d n\right)$

3. If 
$$c > d^{\beta}$$
 then use  $\sum_{i=0}^{p} x^{i} = \frac{x^{p+1} - 1}{x - 1}$ 

$$T(n) = bn^{\beta} \left[ \frac{\left(\frac{c}{d^{\beta}}\right)^{\log_{d} n + 1} - 1}{\left(\frac{c}{d^{\beta}}\right) - 1} \right]$$

$$= \Theta \left( n^{\beta} \left(\frac{c}{d^{\beta}}\right)^{\log_{d} n} \right)$$

$$= \Theta \left( c^{\log_{d} n} \right)$$

$$= \Theta \left( n^{\log_{d} c} \right) \text{ since } a^{\log_{b} x} = x^{\log_{b} a}$$

### 6.5.1 Master Theorem Example Usage

- Algorithm
- Find mid point and check
   if not equal to target, recurse on half the data
- Timing equations

$$T(1) \le 1$$

$$T(n) = T\left(\frac{n}{2}\right) + 1$$

• Hence c = 1, d = 2,  $\beta = 0 \rightarrow \text{case (2)}$  $T(n) = \Theta(\log_2 n)$ 

### Algorithm

- Best case: splitting on median of data
- Recursively sort each half
- Timing equations

$$T(1) \le k$$
  
 $T(n) = 2T(\frac{n}{2}) + kn$ 

• Hence c = 2, d = 2,  $\beta = 1 \rightarrow \text{case (2)}$  $T(n) = \Theta(n \log_2 n)$ 

- See Averages/Median
- Matrix Multiplication
- Let A, B be two square matrices over a ring,  $\mathcal{R}$
- Informally, a *ring* is a set with two binary operations which look similar to addition and multiplication of integers
- The problem is to implement matrix multiplication to find the matrix product C = AB
- Without loss of generality, we may assume that A, and B have sizes which are powers of 2 — if A, and B were not of this size, they could be padded with rows or columns of zeroes
- The Strassen algorithm partitions A, B and C into equally sized blocks

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \qquad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} \qquad C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$
 with  $A_{ij}$ ,  $B_{ij}$ ,  $C_{ij} \in \text{Mat}_{2^{n-1} \times 2^{n-1}}(\mathcal{R})$ 

• The usual (naive, standard) algorithm gives

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$= \begin{pmatrix} A_{11} \times B_{11} + A_{12} \times B_{21} & A_{11} \times B_{12} + A_{12} \times B_{22} \\ A_{21} \times B_{11} + A_{22} \times B_{21} & A_{21} \times B_{12} + A_{22} \times B_{22} \end{pmatrix}$$

- This as 8 multiplications and if we assume multiplication is more expensive than addition then the time complexity is  $\Theta(n^3)$
- The Strassen algorithm rearranges the calculation

$$M_1 = (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$M_2 = (A_{21} + A_{22}) \times B_{11}$$

$$M_3 = A_{11} \times (B_{12} - B_{22})$$

$$M_4 = A_{22} \times (B_{21} - B_{11})$$

$$M_5 = (A_{11} + A_{12}) \times B_{22}$$

$$M_6 = (A_{21} - A_{11}) \times (B_{11} + B_{12})$$

$$M_7 = (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

• We now express the  $C_{ij}$  in terms of the  $M_k$ 

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$= \begin{pmatrix} M_1 + M_4 - M_5 + M_7 & M_3 + M_5 \\ M_2 + M_4 & M_1 - M_2 + M_3 + M_6 \end{pmatrix}$$

• Strassen Matrix Multiplication Timing Equations

$$T(n) = 7T\left(\frac{n}{2}\right) + \frac{18}{4}n^2$$
$$T(1) \le \frac{18}{4}$$

- This is derived from the 7 multiplications and 18 additions or subtractions
- c = 7, d = 2,  $\beta = 2 \rightarrow case (3)$  $T(n) = \Theta(n^{\log_2 7}) = \Theta(n^{2.8})$

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# 7 Exponentials and Logarithms

# 7.1 Exponentials and Logarithms — Definitions

- Exponential function  $y = a^x$  or  $f(x) = a^x$
- $a^n = a \times a \times \cdots \times a$  (n a terms)
- Logarithm reverses the operation of exponentiation
- $\log_a y = x$  means  $a^x = y$
- $\log_a 1 = 0$
- $\log_a a = 1$
- Method of logarithms propounded by John Napier from 1614
- Log Tables from 1617 by Henry Briggs
- Slide Rule from about 1620-1630 by William Oughtred of Cambridge
- Logarithm from Greek logos ratio, and arithmos number Chambers (2014) Chambers
   Dictionary

ToC

### 7.2 Rules of Indices

1. 
$$a^{m} \times a^{n} = a^{m+n}$$

2. 
$$a^m \div a^n = a^{m-n}$$

3. 
$$a^{-m} = \frac{1}{a^m}$$

4. 
$$a^{\frac{1}{m}} = \sqrt[m]{a}$$

5. 
$$(a^m)^n = a^{mn}$$

6. 
$$a^{\frac{n}{m}} = \sqrt[m]{a^n}$$

7. 
$$a^0 = 1$$
 where  $a \neq 0$ 

- Exercise Justify the above rules
- What should 00 evaluate to?
- See Wikipedia: Exponentiation
- The *justification* above probably only worked for whole or *rational* numbers see later for exponents with *real* numbers (and the value of *logarithms*, *calculus*...)



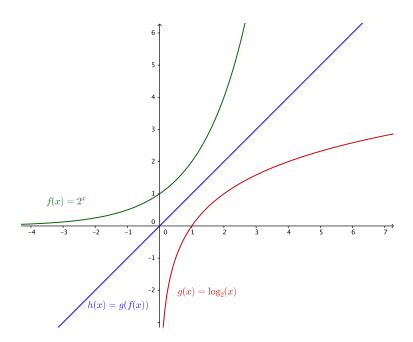
## 7.3 Logarithms — Motivation

- Make arithmetic easier turns multiplication and division into addition and subtraction (see later)
- Complete the range of elementary functions for differentiation and integration
- An elementary function is a function of one variable which is the composition of a finite number of arithmetic operations ((+), (-), (×), (÷)), exponentials, logarithms, constants, and solutions of algebraic equations (a generalization of nth roots).
- The elementary functions include the trigonometric and hyperbolic functions and their inverses, as they are expressible with complex exponentials and logarithms.
- See A Level FP2 for Euler's relation  $e^{i\theta} = \cos \theta + i \sin \theta$
- In A Level C3, C4 we get  $\int \frac{1}{x} = \log_e |x| + C$
- e is Euler's number 2.71828...



# 7.4 Exponentials and Logarithms — Graphs

• See GeoGebra file expLog.ggb



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# 7.5 Laws of Logarithms

- Multiplication law  $\log_a xy = \log_a x + \log_a y$
- Division law  $\log_a \left(\frac{x}{y}\right) = \log_a x \log_a y$
- Power law  $\log_a x^k = k \log_a x$
- Proof of Multiplication Law

$$x = a^{\log_a x}$$

$$y = a^{\log_a y}$$

$$xy = a^{\log_a x} \times a^{\log_a y}$$

$$= a^{\log_a x + \log_a y}$$
Hence  $\log_a xy = \log_a x + \log_a y$ 

by definition of log

by laws of indices by definition of log

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## 7.6 Arithmetic and Inverses

- Notation helps or maybe not?
- Addition add(b, x) = x + b
- Subtraction sub(b, x) = x b
- Inverse sub(b, add(b, x)) = (x + b) b = x
- Multiplication  $mul(b, x) = x \times b$
- **Division** div(b, x) =  $x \div b = \frac{x}{b} = x/b$

- Inverse div(b, mul(b, x)) =  $(x \times b) \div b = \frac{(x \times b)}{b} = x$
- **Exponentiation**  $exp(b, x) = b^{x}$
- Logarithm  $\log(b, x) = \log_b x$
- Inverse  $\log(b, \exp(b, x)) = \log_b(b^x) = x$
- What properties do the operations have that work (or not) with the notation?

## Arithmetic Operations — Commutativity and Associativity

- Commutativity  $x \circledast y = y \circledast x$
- Associativity  $(x \otimes y) \otimes z = x \otimes (y \otimes z)$
- ullet (+) and (imes) are *semantically* commutative and associative so we can leave the brackets out
- (-) and (÷) are not
- Evaluate (3 (2 1)) and ((3 2) 1)
- Evaluate (3/(2/2)) and ((3/2)/2)
- We have the syntactic ideas of left (and right) associativity
- We choose (-) and (÷) to be left associative
- 3-2-1 means ((3-2)-1)
- 3/2/2 means ((3/2)/2)
- Operator precedence is also a choice (remember BIDMAS or BODMAS ?)
- If in doubt, put the brackets in

## Exponentials and Logarithm — Associativity

- What should 2<sup>34</sup> mean?
- Let  $b \wedge x \equiv b^X$
- Evaluate (2 ^ 3) ^ 4 and 2 ^ (3 ^ 4)
- Evaluate  $c = \log_b(\log_b((b \land b) \land x))$
- Evaluate  $d = \log_b(\log_b(b \land (b \land x)))$
- Beware spreadsheets Excel and LibreOffice here
- $(2^3)^4 = 2^{12}$  and  $2^{3^4} = 2^{81}$
- Exponentiation is not semantically associative
- We choose the syntactic left or right associativity to make the syntax nicer.
- Evaluate  $c = \log_b(\log_b((b \land b) \land x))$
- $c = \log_h(x \log_h(b^b)) = \log_h(x \cdot (b \log_h b)) = \log_h(x \cdot b \cdot 1)$
- Hence  $c = \log_b x + \log_b b = \log_b x + 1$
- Not symmetrical (unless b and x are both 2)

- Evaluate  $d = \log_b(\log_b(b \land (b \land x)))$
- $d = \log_b((b \land x)(\log_b b)) = \log_b((b \land x) \times 1)$
- Hence  $d = \log_b(b \land x) = x(\log_b b) = x$
- Which is what we want so exponentiation is *chosen* to be right associative



## 7.7 Change of Base

• Change of base

$$\log_{a} x = \frac{\log_{b} x}{\log_{b} a}$$
Proof: Let  $y = \log_{a} x$ 

$$a^{y} = x$$

$$\log_{b} a^{y} = \log_{b} x$$

$$y \log_{b} a = \log_{b} x$$

$$y = \frac{\log_{b} x}{\log_{b} a}$$

• Given x, log<sub>b</sub> x, find the base b

$$-b=x^{\frac{1}{\log_b x}}$$

• 
$$\log_a b = \frac{1}{\log_b a}$$

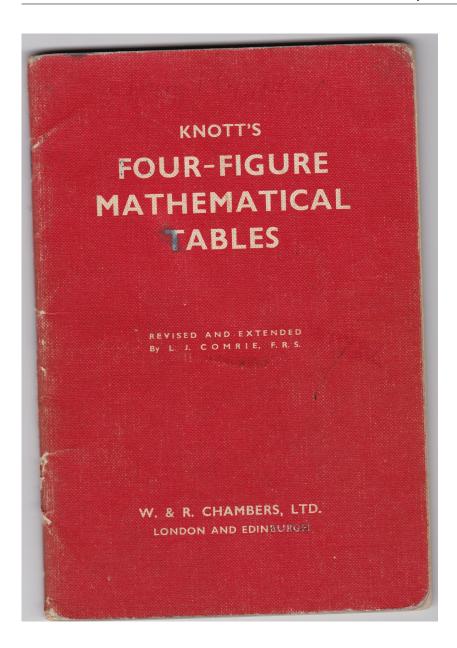


# 8 Before Calculators and Computers

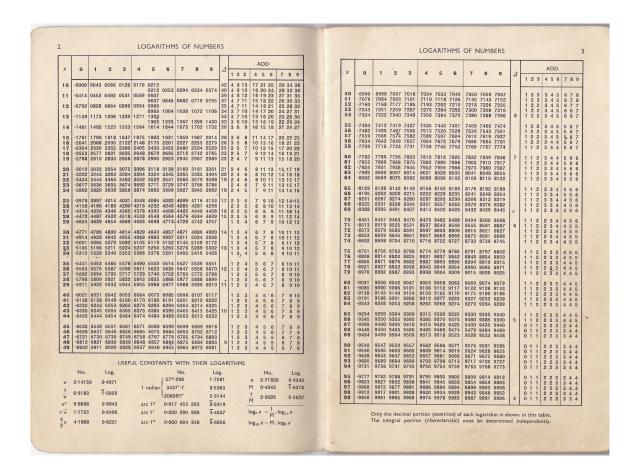
- We had computers before 1950 they were *humans* with pencil, paper and some further aids:
- **Slide rule** invented by William Oughtred in the 1620s major calculating tool until pocket calculators in 1970s
- Log tables in use from early 1600s method of logarithms propounded by John Napier
- Logarithm from Greek logos ratio, and arithmos number

# 8.1 Log Tables

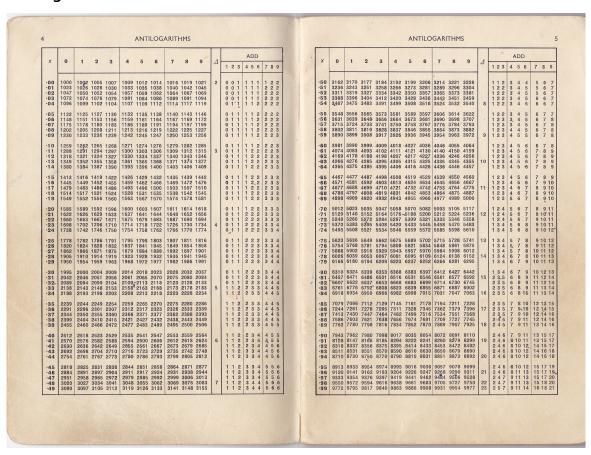
**Knott's Four-Figure Mathematical Tables** 



**Logarithms of Numbers** 

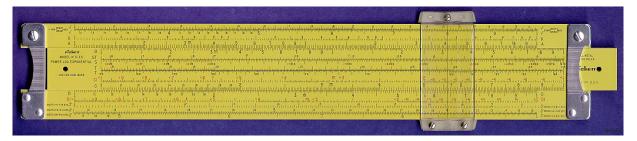


## **Antilogarithms**



# 8.2 Slide Rules

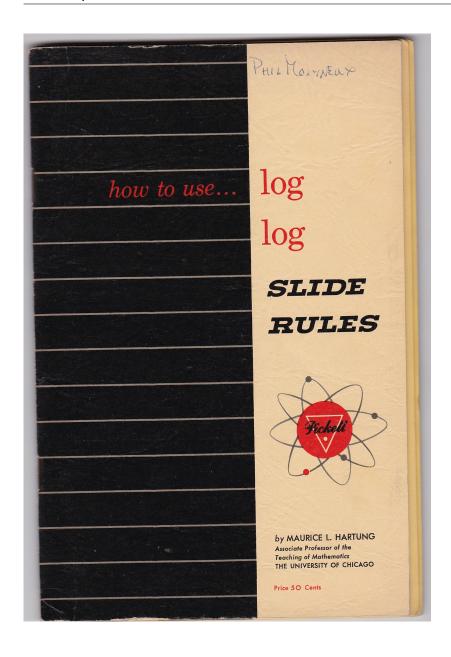
# Pickett N 3-ES from 1967





- See Oughtred Society
- UKSRC
- Rod Lovett's Slide Rules
- Slide Rule Museum

Pickett log log Slide Rules Manual 1953



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# 8.3 Calculators

HP HP-21 Calculator from 1975 £69



Casio fx-85GT PLUS Calculator from 2013 £10



# **Calculator links**

• HP Calculator Museum http://www.hpmuseum.org

- HP Calculator Emulators http://nonpareil.brouhaha.com
- HP Calculator Emulators for OS X http://www.bartosiak.org/nonpareil/
- Vintage Calculators Web Museum http://www.vintagecalculators.com



### 8.4 Example Calculation

- Evaluate 89.7 × 597
- Knott's Tables
- $\log_{10} 89.7 = 1.9528$  and  $\log_{10} 597 = 2.7760$
- Shows mantissa (decimal) & characteristic (integral)
- Add 4.7288, take *antilog* to get  $5346 + 10 = 5.356 \times 10^4$
- HP-21 Calculator set display to 4 decimal places
- 89.7 log = 1.9528 and 597 log = 2.7760
- + displays 4.7288
- 10 ENTER,  $x \neq y$  and  $y^x$  displays 53550.9000
- Casio fx-85GT PLUS
- log 89.7 ) = 1.952792443 + log 597 ) = 2.775974331 =
- 4.728766774 Ans + 10<sup>x</sup> gives 53550.9



# 9 Logic and Truth Tables

# 9.1 Boolean Expressions and Truth Tables

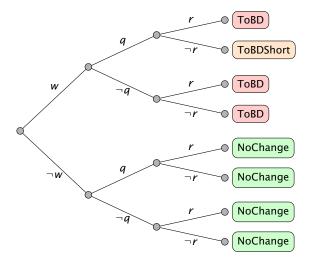
#### **Traffic Lights Example**

- Consider traffic light at the intersection of roads AC and BD with the following rules for the AC controller
- Vehicles should not wait on red on BD for too long.
- If there is a long queue on AC then BD is only given a green for a short interval.
- If both queues are long the usual flow times are used.
- We use the following propositions:
  - w Vehicles have been waiting on red on BD for too long
  - q Queue on AC is too long
  - r Queue on BD is too long
- Given the following events:
  - ToBD Change flow to BD

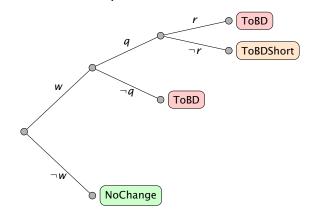
- ToBDShort Change flow to BD for short time
- NoChange No Change to lights
- Express above as truth table, outcome tree, boolean expression
- Traffic Lights outcome table

W	q	r	Event
Т	Т	Т	ToBD
Т	Τ	F	ToBDShort
Т	F	Τ	ToBD
Т	F	F	ToBD
F	Τ	Τ	NoChange
F	Т	F	NoChange
F	F	Τ	NoChange
F	F	F	NoChange

• Traffic lights outcome tree



• Traffic lights outcome tree simplified



- Traffic Lights code 01
- See M269TutorialProgPythonADT01.py

```
def trafficLights01(w,q,r) :
    """
    Input 3 Booleans
    Return Event string
    """
    if w :
```

```
if q:
10
          if r:
            evnt = "ToBD"
11
12
          else:
            evnt = "ToBDShort"
13
        else
14
          evnt = "ToBD"
15
      else:
16
        evnt = "NoChange"
17
18
      return evnt
```

### • Traffic Lights test code 01

```
trafficLights01Evnts = [((w,q,r), trafficLights01(w,q,r))]
22
                                             for w in [True,False]
23
24
                                             for q in [True, False]
                                             for r in [True,False]]
25
27
     assert trafficLights01Evnts \
       == [((True, True, True), 'ToBD')
28
              ((True, False), 'ToBDShort')
,((True, False, True), 'ToBD')
,((True, False, False), 'ToBD')
29
30
31
              ,((False, True, True), 'NoChange')
32
              ,((False, True, False), 'NoChange')
,((False, False, True), 'NoChange')
33
34
              ,((False, False, False), 'NoChange')]
35
```

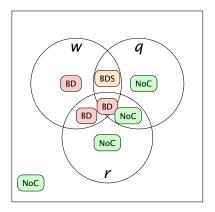
• Traffic Lights code 02 compound Boolean conditions

```
def trafficLights02(w,q,r) :
37
38
      Input 3 Booleans
39
      Return Event string
40
41
      if ((w and q and r) or (w and not q)) :
42
        evnt = "ToBD"
43
44
      elif (w and q and not r):
        evnt = "ToBDShort"
45
46
      else:
        evnt = "NoChange"
47
      return evnt
48
```

- What objectives do we have for our code?
- Traffic Lights test code 02

```
trafficLights02Evnts = [((w,q,r), trafficLights02(w,q,r))
for w in [True,False]
for q in [True,False]
for r in [True,False]]

assert trafficLights02Evnts == trafficLights01Evnts
```



• Traffic Lights Venn diagram

• OK using a fill colour would look better but didn't have the time to hack the package



## 9.2 Conditional Expressions and Validity

- Validity of Boolean expressions
- Complete every outcome returns an event (or error message, raises an exception)
- Consistent we do not want two nested if statements or expressions resulting in different events
- We check this by ensuring that the events form a disjoint partition of the set of outcomes see the Venn diagram
- We would quite like the programming language processor to warn us otherwise not always possible



## 9.3 Boolean Expressions Exercise

#### Rail Ticket Exercise

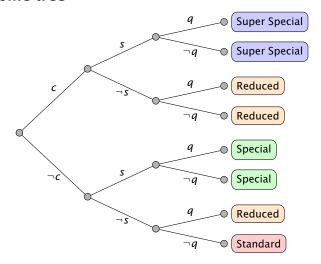
- Rail ticket discounts for:
  - c Rail card
  - q Off-peak time
  - s Special offer
- 4 fares: Standard, Reduced, Special, Super Special
- Rules:
  - 1. Reduced fare if rail card or at off-peak time
  - 2. Without rail card no reduction for both special offer and off-peak.
  - 3. Rail card always has reduced fare but cannot get off-peak discount as well.
  - 4. Rail card gets super special discount for journey with special offer
- Draw up truth table, outcome tree, Venn diagram and conditional statement (or expression) for this
- Rail ticket outcome table

С	9	S	Event
Т	Т	Т	Super Special
Τ	Τ	F	Reduced
Τ	F	Τ	Super Special
Τ	F	F	Reduced
F	Τ	Τ	Special
F	Т	F	Reduced
F	F	Τ	Special
F	F	F	Standard

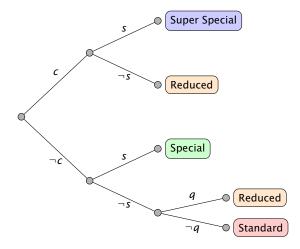
- Rail ticket outcome table
- Note that it may be more convenient to change columns

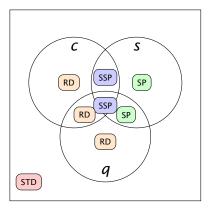
С	S	q	Event		
Т	Т	Т	Super Special		
Т	Т	F	Super Special		
Τ	F	Т	Reduced		
Т	F	F	Reduced		
F	Τ	Т	Special		
F	Т	F	Special		
F	F	Т	Reduced		
F	F	F	Standard		

- Real fares are a little more complex see brfares.com
- Rail Ticket outcome tree



• Rail Ticket outcome tree simplified





- Rail Ticket Venn diagram
- Rail Ticket code 01

```
def railTicket01(c,s,q) :
61
62
      Input 3 Booleans
63
64
      Return Event string
65
66
      if c:
        if s:
67
          evnt = "SSP"
68
        else:
69
          evnt = "RD"
70
71
      else:
        if s:
72
          evnt = "SP"
73
74
        else:
          if q:
75
76
            evnt = "RD"
77
          else:
            evnt = "STD"
78
      return evnt
79
```

#### • Rail Ticket test code 01

```
railTicket01Evnts = [((c,s,q), railTicket01(c,s,q))
83
                                            for c in [True,False]
84
                                            for s in [True,False]
                                            for q in [True,False]]
86
    assert railTicket01Evnts \
== [((True, True, True), 'SSP')
88
89
              ,((True, True, False), 'SSP')
90
              ,((True, False, True), 'RD')
91
              ,((True, False, False), 'RD'
,((False, True, True), 'SP')
                                             'RD')
92
93
              ,((False, True, False), 'SP'),((False, False, True), 'RD')
94
95
              ,((False, False, False), 'STD')]
96
```

#### • Rail Ticket code 02 compound Boolean expressions

```
98
     def railTicket02(c,s,q) :
99
100
        Input 3 Booleans
        Return Event string
101
102
        if (c and s) :
    evnt = "SSP"
103
104
105
        elif ((c and not s) or (not c and not s and q)) :
          evnt = "RD"
106
        elif (not c and s) :
  evnt = "SP"
107
108
        else:
109
          evnt = "STD"
110
        return evnt
111
```

#### • Rail Ticket test code 02

```
railTicket02Evnts = [((c,s,q), railTicket02(c,s,q))

for c in [True,False]

for s in [True,False]

for q in [True,False]]

assert railTicket02Evnts == railTicket01Evnts
```

ToC

## 9.4 Propositional Calculus

- Unit 2 section 3.2 A taste of formal logic introduces Propositional calculus
- A language for calculating about Booleans truth values
- Gives operators (connectives) conjunction (∧) AND, disjunction (∨) OR, negation (¬)
   NOT, implication (⇒) IF
- There are 16 possible functions  $(\mathbb{B}, \mathbb{B}) \to \mathbb{B}$  see below defined by their truth tables
- Discussion Did you find the truth table for implication weird or surprising?
- Implication has a negative definition we accept its truth unless we have contrary evidence
- $T \Rightarrow T == T$  and  $T \Rightarrow F == F$
- Hence 4 possibilities for truth table

p	9	$b \Leftrightarrow d$	4	$b \Leftrightarrow d$	$b \wedge d$
Т	Т	Т	Т	Т	Т
Т	F	F	F	F	F
F	Τ	Τ	Τ	F	F
F	F	Т	F	Т	F

- (⇒) must have the entry shown the others are taken
- Do not think of p causing q
- Functionally complete set of connectives is one which can be used to express all
  possible connectives
- $p \Rightarrow q \equiv \neg p \lor q$  so we could just use  $\{\neg, \land, \lor\}$
- **Boolean programming** we have to have a functionally complete set but choose more to make the programming easier
- Expressiveness is an issue in programming language design
- NAND  $p \overline{\wedge} q$ ,  $p \uparrow q$ , Sheffer stroke
- NOR  $p \overline{\lor} q$ ,  $p \downarrow q$ , Pierce's arrow
- See truth tables below both {↑}, {↓} are functionally complete
- Exercise verify

$$- \neg p \equiv p \uparrow p$$

$$- p \land q \equiv \neg (p \uparrow q) = (p \uparrow q) \uparrow (p \uparrow q)$$

$$- p \lor q \equiv (p \uparrow p) \uparrow (q \uparrow q)$$

$$- \neg p \equiv p \downarrow p$$

$$- p \land q \equiv (p \downarrow p) \downarrow (q \downarrow q)$$

$$- p \lor q \equiv \neg (p \downarrow q) = (p \downarrow q) \downarrow (p \downarrow q)$$

• Not a novelty — the Apollo Guidance Computer was implemented in NOR gates alone.



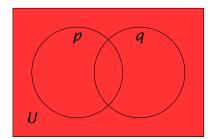
## 9.5 Truth Function

- The following appendix notes illustrate the 16 binary functions of two Boolean variables
- See Truth function
- See Functional completeness
- See Sheffer stroke
- See Logical NOR

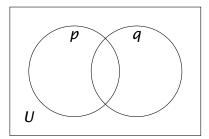
### **Table of Binary Truth Functions**

p	q	Т	$b \wedge d$	$b \Rightarrow d$	d	$b \Leftrightarrow d$	Ь	$b \Leftrightarrow d$	$b \vee d$
Т	Т	Т	Т	Т	Т	Т	Т	Т	Т
Т	F	Т	Т	Т	Т	F	F	F	F
F	Τ	Т	Т	F	F	Т	Т	F	F
F	F	Т	F	Т	F	Т	F	Т	F
				6		6		, <b>d</b>	9
p	9		b∧d	<b>b</b> # <b>d</b>	<b>d</b> Γ	<b>b</b> ⇔ <b>d</b>	<b>b</b> [	<b>b</b>	<i>p</i> ∨ <i>q</i>
<b>р</b> Т	<b>9</b> Т	 F	<i>b</i>	#	<i>d</i>	4	<b>b</b> [ F	<b>\$</b>	<
				<b>p</b>	Γ	<b>4</b>	Γ	<b>\$</b>	<b>a</b>  <
Т	Т	F	F	# <b>a</b> F	г <sup>-</sup>	<b>\$ C F</b>	Г <b>F</b>	<b>\$ a</b> F	< <b>a</b> F

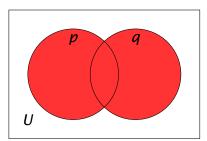
• Tautology True, ⊤, *Top* 



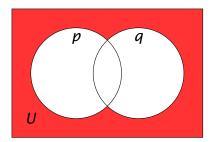
• Contradiction False, ⊥, Bottom



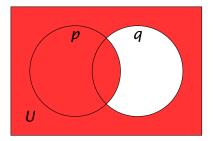
• Disjunction OR,  $p \vee q$ 



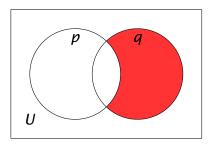
• **Joint Denial NOR**,  $p \overline{\lor} q$ ,  $p \downarrow q$ , *Pierce's arrow* 



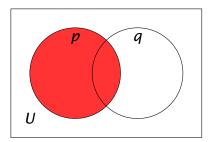
• Converse Implication  $p \in q$ 



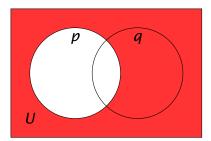
• Converse Nonimplication  $p \notin q$ 



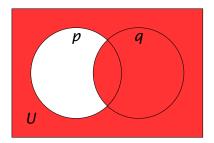
• Proposition *p* 



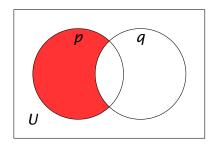
• Negation of *p* 



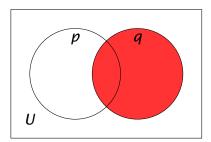
• Material Implication  $p \Rightarrow q$ 



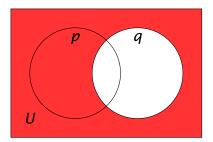
• Material Nonimplication  $p \Rightarrow q$ 



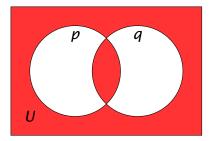
• Proposition q q

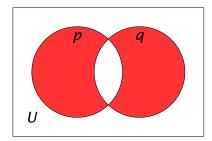


• Negation of  $q \neg q$ 

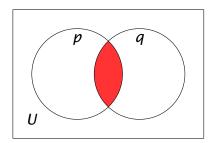


• **Biconditional** If and only if, IFF,  $p \Leftrightarrow q$ 

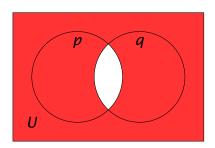




• Conjunction AND,  $p \wedge q$ 



• Alternative denial NAND,  $p \pm q$ ,  $p \uparrow q$ , Sheffer stroke



ToC

# 10 Web Sites & References

### 10.1 Web Sites

• Logic

- WFF, WFF'N Proof online

#### Computability

- Computability
- Computable function
- Decidability (logic)
- Turing Machines
- Universal Turing Machine
- Turing machine simulator
- Lambda Calculus
- Von Neumann Architecture
- Turing Machine XKCD 205 Candy Button Paper
- Turing Machine XKCD 505 A Bunch of Rocks
- RIP John Conway Why can Conway's Game of Life be classified as a universal machine?
- Phil Wadler Bright Club on Computability
- Bridges: Theory of Computation: Halting Problem
- Bridges: Theory of Computation: Other Non-computable Problems

### Complexity

- Complexity class
- NP complexity
- NP complete
- Reduction (complexity)
- P versus NP problem
- Graph of NP-Complete Problems

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**Note on References** — the list of references is mainly to remind me where I obtained some of the material and is not required reading.

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