M269 End of Module M269 Tutorial 07

Phil Molyneux

4 May 2025

M269 End of Module

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Tutorial Agenda

Adobe Connect

M269 22J TMA03 Topics

Bags

Abstract Data Types

Computability, Complexity

Commentary 2

Computability

Commentary 3

Complexity

Future Work

M269 End of Module Tutorial

Agenda

- Welcome & Introductions
- Topics from TMA03
- Abstract Data Types Bags
- Abstract Data Types Graphs
- Complexity
- Computability

M269 End of Module

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Future Work

- Name Phil Molyneux
- Background Physics and Maths, Operational Research, Computer Science
 - Undergraduate: Physics and Maths (Sussex)
 - Postgraduate: Physics (Sussex), Operational Research (Brunel), Computer Science (University College, London)
- First programming languages Fortran, BASIC, Pascal
- Favourite Software
 - ► Haskell pure functional programming language
 - ► Text editors TextMate, Sublime Text previously Emacs
 - ► Word processing and presentation slides in LATEX
 - ► Mac OS X
- Learning style I read the manual before using the software (really)

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M269 Tutorial

Introductions — You

- ► Name?
- Position in M269? Which part of which Units and/or Reader have you read?
- Particular topics you want to look at?
- Learning Syle?

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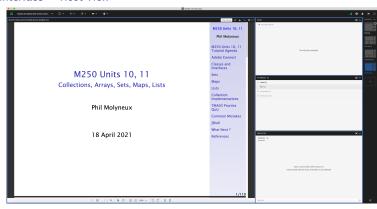
Commentary 3

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uture Work

Interface — Host View



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Adobe Connect Interface

Settings

Sharing Screen &

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M269 22I TMA03 **Topics**

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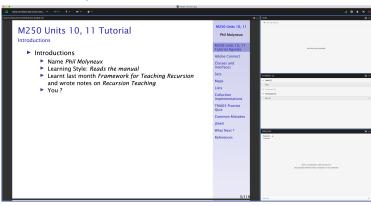
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Interface — Participant View



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Settings

- Everybody Menu bar Meeting Speaker & Microphone Setup
- Menu bar Microphone Allow Participants to Use Microphone
- Check Participants see the entire slide Workaround
 - Disable Draw Share pod Menu bar Draw icon
 - Fit Width Share pod Bottom bar Fit Width icon
- Meeting Preferences General Host Cursor Show to all attendees
- Menu bar Video Enable Webcam for Participants
- Do not Enable single speaker mode
- Cancel hand tool
- Do not enable green pointer
- Recording Meeting Record Session
- Documents Upload PDF with drag and drop to share pod
- Delete Meeting Manage Meeting Information Uploaded Content and check filename click on delete

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Access

Tutor Access

TutorHome M269 Website Tutorials

Cluster Tutorials M269 Online tutorial room

Tutor Groups M269 Online tutor group room

Module-wide Tutorials M269 Online module-wide room

Attendance

TutorHome Students View your tutorial timetables

- Beamer Slide Scaling 440% (422 x 563 mm)
- Clear Everyone's Status

Attendee Pod Menu Clear Everyone's Status

► Grant Access and send link via email

Meeting Manage Access & Entry Invite Participants...

Presenter Only Area

Meeting Enable/Disable Presenter Only Area

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Adobe Connect

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Invite Attendees

Invite Attend Layouts Chat Pods

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References

Keystroke Shortcuts

- Keyboard shortcuts in Adobe Connect
- ► Toggle Mic ∰+M (Mac), Ctrl +M (Win) (On/Disconnect)
- ► Toggle Raise-Hand status 🗯 + 🖪
- ► Close dialog box 🔊 (Mac), Esc (Win)
- ► End meeting #+\

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Adobe Connect Interface

Sharing Screen & Applications

- Share My Screen Application tab Terminal for Terminal
- Share menu Change View Zoom in for mismatch of screen size/resolution (Participants)
- ► (Presenter) Change to 75% and back to 100% (solves participants with smaller screen image overlap)
- Leave the application on the original display
- Beware blued hatched rectangles from other (hidden) windows or contextual menus
- Presenter screen pointer affects viewer display beware of moving the pointer away from the application
- First time: System Preferences Security & Privacy Privacy Accessibility

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Ending a Meeting

- Notes for the tutor only
- Student: Meeting Exit Adobe Connect
- ► Tutor:
- ► Recording Meeting Stop Recording ✓
- Remove Participants Meeting End Meeting...
 - Dialog box allows for message with default message:
 - The host has ended this meeting. Thank you for attending.
- Recording availability In course Web site for joining the room, click on the eye icon in the list of recordings under your recording — edit description and name
- Meeting Information Meeting Manage Meeting Information can access a range of information in Web page.
- Delete File Upload Meeting Manage Meeting Information
 Uploaded Content tab select file(s) and click Delete
- Attendance Report see course Web site for joining room

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Invite Attendees

Provide Meeting URL Menu Meeting Manage Access & Entry Invite Participants...

► Allow Access without Dialog Menu Meeting

Manage Meeting Information provides new browser window with Meeting Information Tab bar Edit Information

- ► Check Anyone who has the URL for the meeting can enter the room
- ► Default Only registered users and accepted guests may enter the room
- Reverts to default next session but URL is fixed
- Guests have blue icon top, registered participants have yellow icon top — same icon if URL is open
- ► See Start, attend, and manage Adobe Connect meetings and sessions

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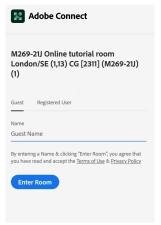
Computability

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Entering a Room as a Guest (1)

- Click on the link sent in email from the Host
- Get the following on a Web page
- As Guest enter your name and click on Enter Room



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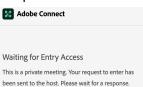
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Entering a Room as a Guest (2)

See the Waiting for Entry Access for Host to give permission



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Entering a Room as a Guest (3)

Host sees the following dialog in Adobe Connect and grants access





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Complexity **Future Work**

Layouts

- Creating new layouts example Sharing layout
- Menu Layouts Create New Layout... Create a New Layout dialog

 Create a new blank layout and name it PMolyMain
- New layout has no Pods but does have Layouts Bar open (see Layouts menu)
- Pods
- Menu Pods Share Add New Share and resize/position initial name is Share n— rename PMolyShare
- Rename Pod Menu Pods Manage Pods... Manage Pods

 Select Rename Or Double-click & rename
- Add Video pod and resize/reposition
- Add Attendance pod and resize/reposition
- Add Chat pod rename it PMolyChat and resize/reposition

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Layouts

- Dimensions of Sharing layout (on 27-inch iMac)
 - Width of Video, Attendees, Chat column 14 cm
 - ► Height of Video pod 9 cm
 - ► Height of Attendees pod 12 cm
 - Height of Chat pod 8 cm
- Duplicating Layouts does not give new instances of the Pods and is probably not a good idea (apart from local use to avoid delay in reloading Pods)
- Auxiliary Layouts name PMolyAuxOn
 - Create new Share pod
 - Use existing Chat pod
 - Use same Video and Attendance pods

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Chat Pods

- Format Chat text
- Chat Pod menu icon My Chat Color
- Choices: Red, Orange, Green, Brown, Purple, Pink, Blue, Black
- Note: Color reverts to Black if you switch layouts
- Chat Pod menu icon Show Timestamps

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Future Work

Graphics Conversion

PDF to PNG/JPG

- Conversion of the screen snaps for the installation of Anaconda on 1 May 2020
- Using GraphicConverter 11
- File Convert & Modify Conversion Convert
- Select files to convert and destination folder
- ► Click on Start selected Function or 🖁 + 🔎

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Adobe Connect Recordings

Exporting Recordings

- Menu bar Meeting Preferences Video
- Aspect ratio Standard (4:3) (not Wide screen (16:9) default)
- Video quality Full HD (1080p not High default 480p)
- ► Recording Menu bar Meeting Record Session ✓
- Export Recording
- Menu bar Meeting Manage Meeting Information
- New window Recordings check Tutorial Access Type button
- check Public check Allow viewers to download
- Download Recording
- New window Recordings check Tutorial Actions Download File

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M269 21J TMA03

Topics

- ▶ PT1 Qs 1 2
- PT2 Qs 3 7
- Q1 Abstract Data Type Bag
- Q2 ADT Graphs
- Q3 Complexity, graphs
- Q4 Complexity, problem reduction
- Q5 Computability, Turing machine problem

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References

Definitions

- Bag unordered collection that may contain duplicate items
- ► Also known as Multiset
- Multiplicity of an element is the number of instances of an element
- ▶ A Bag or Multiset may be defined as a two-tuple (A, m) where A is the underlying set from which elements are drawn, and a function $m: A \rightarrow \mathbb{N}$
- Note that some definitions exclude 0 from the range of m so it would be denoted $m: A \to \mathbb{N}_{\geq 1}$

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Example

- ▶ 120 has prime factorization $120 = 2^3 \times 3^1 \times 5^1$
- Gives bag {2, 2, 2, 3, 5}
- ▶ The {} is an abuse of the usual set notation
- Representations:
- Sorted list [2, 2, 2, 3, 5]
- Unsorted list [2, 3, 2, 5, 2]
- ► Sorted list of pairs [(2, 3), (3, 1), (5, 1)]

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Operations

Create an empty bag

Queries

- ► isEmptyBag
- sizeBag total number of elements
- elemOccurs number of an element
- elemMember is there at least one copy of an element

Construction

- insertElem insert one copy of an element
- insertMany insert a number of copies
- deleteElem delete a single copy of an element
- deleteMany delete a number of copies
- deleteAll deleteAll all copies

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References

M269 2018J TMA02 Bag Operations

- Bag() creates a new empty bag
- add(self, elem) adds one copy of elem to the bag self
- count(self,elem) number of elem in the bag self
- size(self) total number of copies in self
- clear(self,elem) removes all copies of elem
- ordered(self) returns contents of bag self as a list of pairs (count, elem) in decreasing order of count

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Implementations

- Python Counter is a subclass of dict which is Python's version of bags or multisets
- Data.MultiSet is Haskell's implementation of multisets or bags — it is based on Data.Map
- Multiset describes the ADT multiset or bag in several programming languages

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Topics Bags

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Bags: Implementations
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Creation

- A mapping object or dictionary maps hashable values to arbitrary objects
- A dictionary is a mutable object
- Creation

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Creation

Creation methods

Python dict

```
Python3>>> aD = {'to': 2, 'be': 2
                  'or': 1, 'not': 1}
Python3>>> bD = dict(to=2, be=2, orA=1, notA=1)
Python3>>> cD = dict(zip(['to','be','or','not']
                        .[2.2.1.1])
Python3>>> dD = dict([('to',2),('be',2)
                     ,('or',1),('not',1)])
Python3>>> eD = dict({'to': 2, 'be': 2
                     . 'or': 1. 'not': 1})
Python3>>> aD == cD == dD == eD
True
```

- Keywords in keyword arguments must not clash with built-in keywords
- Implicit line joining means we can split expressions in parentheses, square brackets or curly braces over more than one physical line without using backslashes.

▶ Queries in the M269 Companion

```
Pvthon3>>> aD = {'to': 2. 'be': 2
                , 'or': 1, 'not': 1}
Pvthon3>>> len(aD)
Python3>>> key = 'be'
Pvthon3>>> kev in aD
True
Python3>>> aD[key]
Python3>>> aD.keys()
dict_keys(['to', 'or', 'not', 'be'])
Python3>>> list(aD.keys())
['to', 'or', 'not', 'be']
Pvthon3>>> aD.items()
dict_items([('to', 2), ('or', 1)
           , ('not', 1), ('be', 2)])
Python3>>> list(aD.items())
[('to', 2), ('or', 1), ('not', 1), ('be', 2)]
Python3>>> ('to',2) in aD.items()
True
```

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Dictionaries

Activity 1 Total Exercise

 Write a function, totalValue, that takes a dictionary, xD, and returns the total of all the values of the key:value pairs (Assumes value is a numeric type)

► Go to Answer

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Dictionaries

Answer 1 Total Exercise

Answer 1 Total Exercise

```
def totalValue(xD) :
    """
    totalValue takes a dictionary, xD,
    and returns the total of all the values
    of the key:value pairs
    Assumes value is a numeric type
    """

tVal = sum([v for (k,v) in xD.items()])
    return tVal

# Alternative
# tVal = sum(xD.values())
```

Answer 1 continued on next slide

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Dictionaries

Answer 1 Total Exercise (contd)

Answer 1 Total Exercise — sample use

```
Python3>>> aD
{'not': 1, 'be': 2, 'to': 2, 'or': 1}
Python3>>> totalValue(aD)
6
```

► Go to Activity

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Modifiers

▶ Modifiers in the M269 Companion

```
Python3>>> aD = {'to': 2, 'be': 2
... , 'or': 1, 'not': 1}
Python3>>> aD
{'not': 1, 'be': 2, 'to': 2, 'or': 1}
Python3>>> aD['dobe'] = 2
Python3>>> aD
{'not': 1, 'be': 2, 'dobe': 2, 'to': 2, 'or': 1}
Python3>>> aD
{'do': 1, 'be': 2, 'dobe': 2, 'to': 2, 'or': 1}
Python3>>> aD
{'do': 1, 'to': 2, 'or': 1
, 'not': 1, 'be': 2, 'dobe': 2}
Python3>>> aD['be'] = 3
Python3>>> aD
{'do': 1, 'to': 2, 'or': 1
, 'not': 1, 'be': 3, 'dobe': 2}
```

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Modifiers (2)

▶ Modifiers in the *M269 Companion*

```
Python3>>> aD
{'do': 1, 'to': 2, 'or': 1
, 'not': 1, 'be': 3, 'dobe': 2}
Python3>>> aD['do'] = aD['do'] + 1
Python3>>> aD
{'do': 2, 'to': 2, 'or': 1
, 'not': 1, 'be': 3, 'dobe': 2}
Python3>>> aD
{'do': 2, 'to': 2, 'or': 1
, 'not': 1, 'be': 3, 'dobe': 2}
Python3>>> aD
{'do': 2, 'to': 2, 'or': 1
, 'not': 1, 'be': 3}
```

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Errors

It is an error to access a non-existant key

```
Python3>>> aD
{'do': 2, 'to': 2, 'or': 1
, 'not': 1, 'be': 3}
Python3>>> aD['dobe']
Traceback (most recent call last):
  File '<stdin>', line 1, in <module>
KeyError: 'dobe'
Python3>>> del aD['dobe']
Traceback (most recent call last):
  File '<stdin>', line 1, in <module>
KeyError: 'dobe'
Python3>>> aD['dobe'] = aD['dobe'] + 1
Traceback (most recent call last):
  File '<stdin>', line 1, in <module>
KeyError: 'dobe'
Python3>>> aD['dobe'] = aD['dobe'] + 1
Traceback (most recent call last):
  File '<stdin>', line 1, in <module>
KeyError: 'dobe'
```

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Errors (2)

If a key occurs more than once, the last value for that key becomes the corresponding value in the new dictionary. Not an error but could catch you out

```
Python3>>> fD = {'one' : 2, 'one': 3}
Python3>>> fD
{'one': 3}
```

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Dictionaries

Activity 2 Add One Exercise

Write a function, addOneToKey, that takes a key, key, a dictionary, xD, and adds 1 to the value of the key

► Go to Answer

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Answer 2 Add One Exercise

```
def addOneToKey(key, xD) :
  addOneToKey takes a key and a dictionary
  and adds one to the value of the kev
  Invariant for xD:
    if key in xD:
      xD[key] > 0
  if key in xD:
    xD[key] = xD[key] + 1
  else :
    xD[key] = 1
  return xD
```

Answer 2 continued on next slide

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Dictionaries

Answer 2 Add One Exercise (contd)

- Answer 2 Add One Exercise
- Note that add0neToKey has a side effect on the input dictionary

```
Pvthon3>>> aD
{'do': 2, 'to': 2, 'or': 1, 'not': 1, 'be': 3}
Python3>>> addOneToKey('do', aD)
{'do': 3, 'to': 2, 'or': 1, 'not': 1, 'be': 3}
Pvthon3>>> aD
{'do': 3, 'to': 2, 'or': 1, 'not': 1, 'be': 3}
Python3>>> addOneToKey('abba',aD)
{'do': 3, 'abba': 1, 'to': 2, 'or': 1
  'not': 1, 'be': 3}
Python3>>> aD
{'do': 3, 'abba': 1, 'to': 2, 'or': 1
. 'not': 1, 'be': 3}
```

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Dictionaries

Activity 3 Delete One Exercise

Write a function, delOneFromKey, that takes a key, key, a dictionary, xD, and subtracts 1 from the value of the key if the key is in xD

► Go to Answer

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► Answer 3 Delete One Exercise

```
def delOneFromKey(key, xD) :
  delOneFromKey takes a key and a dictionary
  and deletes one from the value of the key
  Invariant for xD:
    if key in xD:
      xD\Gamma kev1 > 0
  if not key in xD:
    pass
  elif xD[key] == 1:
    del xD[key]
  else :
    xD[key] = xD[key] - 1
  return xD
```

Answer 3 continued on next slide

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► Go to Activity

► Answer 3 Delete One Exercise — examples

```
Pvthon3>>> aD
{'do': 3, 'abba': 1, 'to': 2, 'or': 1
. 'not': 1. 'be': 3}
Pvthon3>>> delOneFromKev('do'.aD)
{'do': 2, 'abba': 1, 'to': 2, 'or': 1
 'not': 1, 'be': 3}
Pvthon3>>> aD
{'do': 2, 'abba': 1, 'to': 2, 'or': 1
 'not': 1. 'be': 3}
Python3>>> delOneFromKey('abba',aD)
{'do': 2, 'to': 2, 'or': 1, 'not': 1, 'be': 3}
Pvthon3>>> aD
{'do': 2, 'to': 2, 'or': 1, 'not': 1, 'be': 3}
Python3>>> delOneFromKey('bbc',aD)
{'do': 2, 'to': 2, 'or': 1, 'not': 1, 'be': 3}
Python3>>> aD
{'do': 2. 'to': 2. 'or': 1. 'not': 1. 'be': 3}
```

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► Go to Activity

M269 End of

- Counter objects are part of the collections library for container datatypes
- A Counter is a subclass of the mapping type dict and has a dictionary interface with some differences and extensions
- Creation:

```
1#!/usr/bin/env python3
3 from collections import Counter
```

```
Computability
                                                                           Commentary 3
AnPython3>>> ct1 = Counter()
AnPvthon3>>> ct1
                              # new empty Counter
                                                                           Complexity
Counter()
AnPython3>>> ct2 = Counter('lambda_calculus')
                                                                           References
AnPython3>>> ct2
                            # new Counter from iterable
Counter({'1': 3, 'a': 3, 'c': 2, 'u': 2, 'm': 1, 'b': 1, 'd': 1, '_': 1, 's': 1})
```

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Python Counter

Creation

```
AnPython3>>> ct3 = Counter(a=10, b=0, c=-2, d=4)
AnPython3>>> ct3  # Counter from keyword args
Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})
AnPython3>>> ct4  = {'a': 10, 'd': 4, 'b': 0, 'c': -2}
AnPython3>>> ct4  # not a Counter
{'a': 10, 'd': 4, 'b': 0, 'c': -2}
AnPython3>>> type(ct4)
<class 'dict'>
AnPython3>>> ct5 = Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})
AnPython3>>> ct5  # Counter from a mapping
Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})
```

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Python Counter

Access, and Operations

```
AnPython3>>> ct5 = Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})
AnPython3>>> ct5
Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})
AnPython3>>> ct5['e']
0
AnPython3>>> ct6 = +ct5  # remove zero and negative elements
AnPython3>>> ct6
Counter({'a': 10, 'd': 4})
AnPython3>>> ct7
AnPython3>>> ct7
Counter({'c': 2})
```

- Accessing missing elements is not an error (unlike a dictionary)
- Unary addition and subtraction are shortcuts for adding an empty counter or subtracting from an empty counter.

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```
AnPython3>>> ct5 = Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})

AnPython3>>> ct5

Counter({'a': 10, 'd': 4, 'b': 0, 'c': -2})

AnPython3>>> ct8 = ct5.items()

AnPython3>>> ct8

dict_items([('a', 10), ('d', 4), ('b', 0), ('c', -2)])

AnPython3>>> ct9 = ct5.keys()

AnPython3>>> ct0

dict_keys(['a', 'd', 'b', 'c'])

AnPython3>>> ct10 = ct5.values()

AnPython3>>> ct10

dict_values([10, 4, 0, -2])
```

- items() Return a new view of the dictionary's items ((key, value) pairs).
- keys() Return a new view of the dictionary's keys.
- values() Return a new view of the dictionary's values.
- See Dictionary view objects
- They provide a dynamic view on the dictionary's entries
- When he dictionary changes, the view changes as well

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```
3 from collections import Counter
5 """
6 Implementation of Bag ADT for M269 Prsntn 2021J TMA03 Q1
7 111111
9class Bag :
    def __init__(self) :
11
      """Create a new empty bag."""
12
      self.items = Counter()
13
      self.count = 0
14
    def size(self) -> int:
16
      """Return the total number of copies of all items in the bag."""
17
      return self.count
18
```

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```
def add(self. item: object) -> None :
20
      """Add one copy of item to the bag.
21
         Multiple copies are allowed."""
22
      self.items[item] = self.items[item] + 1
23
      self.count = self.count + 1
24
26
   def discard(self, item: object) -> None :
          Remove at most one copy of item from the bag.
27
          No effect if item is not in the bag.
28
29
      if self.items[item] > 0 :
30
        self.items[item] = self.items[item] - 1
31
        self.count = self.count - 1
32
```

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```
def contains(self, item: object) -> bool:
""" Return True if there is at least
one copy of item in the bag.
"""

# Add your own code here to replace the following statement
pass
```

► Hint what can a Counter do that in a dict would generate an error?

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```
def multiplicity(self, item: object) -> int :
    """Return the number of copies of item in the bag.
Return zero if the item doesn't occur in the bag.
"""
# Add your own code here to replace the following statement
pass
```

Hint remember that Counter is a subclass of dict

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```
def ordered(self):
    """Return the items ordered by decreasing multiplicity.
Return a list of (count, item) pairs.
"""
# You will be asked to add your own code here later
pass
```

- ► **Hint** Modify the list comprehension below to only include items with count > 0
- What functions are available to sort a list?

```
AnPython3>>> ct8 = ct5.items()
AnPython3>>> ct8
dict_items([('a', 10), ('d', 4), ('b', 0), ('c', -2)])
AnPython3>>> ct11 = [(ct,itm) for (itm,ct) in ct8]
AnPython3>>> ct11
[(10, 'a'), (4, 'd'), (0, 'b'), (-2, 'c')]
```

- See Sorting HOW TO
- ▶ What is the difference between sorted() and list.sort()?

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Abstract Data Types

Overview

- ► **Abstract data type** is a type with associated operations, but whose representation is hidden (or not accessible)
- Common examples in most programming languages are Integer and Characters and other built in types such as tuples and lists
- Abstract data types are modeled on Algebraic structures
 - A set of values
 - Collection of operations on the values
 - Axioms for the operations may be specified as equations or pre and post conditions
- ► Health Warning different languages provide different ways of doing data abstraction with similar names that may mean subtly different things

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Abstract Data Types

Overview (2)

Abstract Data Types and Object-Oriented Programming

Example: Shape with Circles, Squares, ... and operations draw, moveTo, ...

▶ ADT approach centres on the data type — that tells you what shapes exist

For each operation on shapes, you describe what they do for different shapes.

► **OO** you declare that to be a shape, you have to have some operations (draw, moveTo)

For each kind of shape you provide an implementation of the operations

OO easier to answer What is a circle? and add new shapes

► ADT easier to answer *How do you draw a shape?* and add new operations

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Future Work

- Abstract data type article contrasts ADT and OO as algebra compared to co-algebra
- What does coalgebra mean in the context of programming? is a fairly technical but accessible article.
- ► What does the *forall* keyword in Haskell do? is an accessible article on *Existential Quantification*
- ► Bart Jacobs Coalgebra
- nLab Coalgebra
- Beware the distinction between concepts and features in programming languages — see OOP Disaster
- ▶ Not for this session this slide is here just in case

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```
data Shape
      = Circle Point Radius
       | Square Point Size
3
   draw :: Shape -> Pict
   draw (Circle p r) = drawCircle p r
   draw (Square p s) = drawRectangle p s s
   moveTo :: Point -> Shape -> Shape
   moveTo p2 (Circle p1 r) = Circle p2 r
10
   moveTo p2 (Square p1 s) = Square p2 s
11
   shapes :: [Shape]
13
    shapes = [Circle (0,0) 1, Square (1,1) 2]
14
16
    shapes01 :: [Shape]
   shapes01 = map (moveTo (2,2)) shapes
17
```

Example based on Lennart Augustsson email of 23 June 2005 on Haskell list

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Abstract Data Types

Overview (5) — Shapes OO Style

```
class IsShape shape where
      draw :: shape -> Pict
2
      moveTo :: Point -> shape -> shape
3
   data Shape = forall a . (IsShape a) => Shape a
   data Circle = Circle Point Radius
    instance IsShape Circle where
      draw (Circle p r) = drawCircle p r
9
      moveTo p2 (Circle p1 r) = Circle p2 r
10
   data Square = Square Point Size
12
    instance IsShape Square where
13
      draw (Square p s) = drawRectangle p s s
14
      moveTo p2 (Square p1 s) = Square p2 s
15
   shapes :: [Shape]
17
    shapes = [Shape (Circle (0,0) 10), Shape (Square (1,1) 2)]
18
   shapes01 :: [Shape]
20
    shapes01 = map (moveShapeTo (2,2)) shapes
21
               where
22
               moveShapeTo p (Shape s) = Shape (moveTo p s)
23
```

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Abstract Data Types

Overview (6)

- Haskell Type Classes are similar to Java/OOP Interfaces
- ► See OOP vs type classes
- ► See Java's Interface and Haskell's type class: differences and similarities?
- See Difference between OOP interfaces and FP type classes
- See What exactly makes the Haskell type system so revered (vs say, Java)?
- ► **Health Warning** Much of OO programming is using the *OO syntax* to create ADTs

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Computability

1 Computability

- Description of Turing Machine
- Turing Machine examples
- Computability, Decidability and Algorithms
- Non-computability Halting Problem
- Reductions and non-computability
- Lambda Calculus (optional)
- Note that the Computability notes are here mainly for reference since the Complexity notes refer to them
- This session is mainly on the Complexity topics

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Computability

Ideas of Computation

- The idea of an algorithm and what is effectively computable
- Church-Turing thesis Every function that would naturally be regarded as computable can be computed by a deterministic Turing Machine. (Unit 7 Section 4)
- See Phil Wadler on computability theory performed as part of the Bright Club at The Strand in Edinburgh, Tuesday 28 April 2015

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Computability

Models of Computation

- In automata theory, a problem is the question of deciding whether a given string is a member of some particular language
- If Σ is an alphabet, and L is a language over Σ , that is $L \subseteq \Sigma^*$, where Σ^* is the set of all strings over the alphabet Σ then we have a more formal definition of decision problem
- Given a string $w \in \Sigma^*$, decide whether $w \in L$
- Example: Testing for a prime number can be expressed as the language L_p consisting of all binary strings whose value as a binary number is a prime number (only divisible by 1 or itself)
- See Hopcroft (2007, section 1.5.4)

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- ▶ Binary alphabet $\Sigma = \{0, 1\}$
- ▶ Lower case letters $\Sigma = \{a, b, ..., z\}$
- ► A **String** is a finite sequence of symbols from some alphabet
- ▶ 01101 is a string from the Binary alphabet $\Sigma = \{0, 1\}$
- ▶ The **Empty string**, ϵ , contains no symbols
- ▶ **Powers**: Σ^k is the set of strings of length k with symbols from Σ
- ▶ The set of all strings over an alphabet Σ is denoted Σ^*
- ▶ **Question** Does $\Sigma^0 = \emptyset$? (\emptyset is the empty set)

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Automata Theory

Languages

- An **Language**, *L*, is a subset of Σ^*
- The set of binary numerals whose value is a prime {10,11,101,111,1011,...}
- ► The set of binary numerals whose value is a square {100, 1001, 10000, 11001,...}

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Computability

Church-Turing Thesis & Quantum Computing

- Church-Turing thesis Every function that would naturally be regarded as computable can be computed by a deterministic Turing Machine.
- physical Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) by a Universal Turing Machine.
- strong Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) with polynomial slowdown by a Universal Turing Machine.
- Shor's algorithm (1994) quantum algorithm for factoring integers — an NP problem that is not known to be P — also not known to be NP-complete and we have no proof that it is not in P
- ▶ Reference: Section 4 of Unit 6 & 7 Reader

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Turing Machine

- Finite control which can be in any of a finite number of states
- ► **Tape** divided into cells, each of which can hold one of a finite number of symbols
- Initially, the input, which is a finite-length string of symbols in the input alphabet, is placed on the tape
- All other tape cells (extending unbounded left and right) hold a special symbol called blank
- A tape head which initially is over the leftmost input symbol
- A move of the Turing Machine depends on the state and the tape symbol scanned
- ► A move can change state, write a symbol in the current cell, move left, right or stay
- References: Hopcroft (2007, page 326), Unit 6 & 7 Reader (section 5.3)

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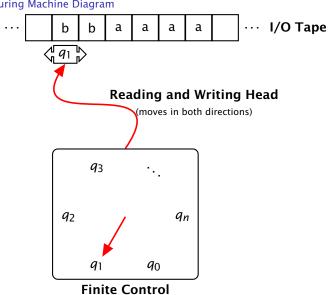
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Turing Machine Diagram

Turing Machine Diagram



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- Q finite set of states of the finite control
- \triangleright Σ finite set of *input symbols* (M269 S)
- ightharpoonup Γ complete set of tape symbols $\Sigma \subset \Gamma$
- \triangleright δ Transition function (M269 instructions, I) $\delta :: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$ $\delta(a,X) \mapsto (p,Y,D)$
- $\delta(q, X)$ takes a state, q and a tape symbol, X and returns (p, Y, D) where p is a state, Y is a tape symbol to overwrite the current cell, D is a direction, Left, Right or Stav
- ightharpoonup q_0 start state $q_0 \in Q$
- B blank symbol $B \in \Gamma$ and $B \notin \Sigma$
- F set of final or accepting states $F \subseteq Q$

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Turing Machine Simulators

- Morphett's Turing machine simulator the examples below are adapted from here
- Ugarte's Turing machine simulator
- XKCD A Bunch of Rocks XKCD Explanation
 Image below (will need expanding to be readable)
- ► The term *state* is used in two different ways:

The value of the Finite Control

The overall configuration of *Finite Control* and current contents of the tape

See Turing Machine: State

will lead to some confusion

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Examples
The Successor Function

The Binary Palindrome Function Binary Addition

Example
Computability,
Decidability and

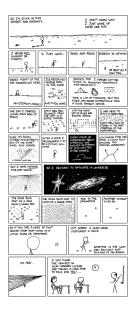
Algorithms Lambda Calculus

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XKCD A Bunch of Rocks



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The Binary Palindrome
Function

Binary Addition Example Computability,

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Meta-Exercise

For each of the Turing Machine Examples below, identify

 $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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The Successor Function

Input binary representation of numeral n

Output binary representation of n+1

Example 1010 → 1011 and 1011 → 1100

▶ Initial cell: leftmost symbol of *n*

Strategy

Stage A make the rightmost cell the current cell

Stage B Add 1 to the current cell.

If the current cell is 0 then replace it with 1 and go to stage C

If the current cell is 1 replace it with 0 and go to stage B and move Left

If the current cell is blank, replace it by 1 and go to stage C

Stage C Finish up by making the leftmost cell current

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The Successor Function (2)

- Represent the Turing Machine program as a list of quintuples (q, X, p, Y, D)
- Stage A

 $(q_0, 0, q_0, 0, R)$

 $(q_0, 1, q_0, 1, R)$

 (q_0, B, q_1, B, L)

Stage B

 $(q_1, 0, q_2, 1, S)$

 $(q_1, 1, q_1, 0, L)$

 $(q_1,B,q_2,1,S)$

Stage C

 $(q_2, 0, q_2, 0, L)$

 $(q_2, 1, q_2, 1, L)$

 (q_2, B, q_h, B, R)

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The Successor Function (2a)

- **Exercise** Translate the quintuples (q, X, p, Y, D) into English and check they are the same as the specification
- ► Stage A make the rightmost cell the current cell $(q_0, 0, q_0, 0, R)$

If state q_0 and read symbol 0 then stay in state q_0 write 0, move R $(q_0, 1, q_0, 1, R)$

If state q_0 and read symbol 1 then stay in state q_0 write 1, move R (q_0, B, q_1, B, L)

If state q_0 and read symbol B then state q_1 write B, move L

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The Successor Function (2b)

- Exercise Translate the quintuples (q, X, p, Y, D) into English
- Stage B Add 1 to the current cell.

```
(q_1, 0, q_2, 1, S)
```

If state q_1 and read symbol 0 then state q_2 write 1, stay

 $(q_1, 1, q_1, 0, L)$

If state q_1 and read symbol 1 then state q_1 write 0, move L

 $(q_1, B, q_2, 1, S)$

If state q_1 and read symbol B then state q_2 write 1, stay

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- ► **Exercise** Translate the quintuples (*q*, *X*, *p*, *Y*, *D*) into English
- ► **Stage C** Finish up by making the leftmost cell current $(q_2, 0, q_2, 0, L)$

If state q_2 and read symbol 0 then state q_2 write 0, move L $(q_2, 1, q_2, 1, L)$

If state q_2 and read symbol 1 then state q_2 write 0, move L (q_2, B, q_h, B, R)

If state q_2 and read symbol B then state q_h write B, move R HALT

Notice that the Turing Machine feels like a series of if ... then or case statements inside a while loop M269 End of Module

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The Successor Function (2d) — Meta-Exercise

▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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- ▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_1, q_2, q_h\}$
- $ightharpoonup q_0$ finding the rightmost symbol
- $ightharpoonup q_1$ add 1 to current cell
- q₂ move to leftmost cell
- $ightharpoonup q_h$ finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B\}$
- $\delta :: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$
 - $\delta(q,X)\mapsto (p,Y,D)$
 - δ is represented as $\{(q,X,p,Y,D)\}$ equivalent to $\{((q,X),(p,Y,D))\}$ set of pairs
- $ightharpoonup q_0$ start with leftmost symbol under head, state moving to rightmost symbol
- ▶ B is _ a visible space
- ► $F = \{q_h\}$

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The Successor Function (3)

```
Sample Evaluation 11 → 100
```

```
► Representation \cdots BX_1X_2 \cdots X_{i-1} qX_iX_{i+1} \cdots X_nB \cdots
```

 $q_0 11$

 $1q_01$

11*q*₀*B*

 $1q_{1}1$

 $q_1 10$

*q*₁ *B*00

*q*₂100

q₂B100

q_h100

Exercise evaluate 1011 → 1100

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Instantaneous Description

- ▶ Representation $\cdots BX_1 X_2 \cdots X_{i-1} qX_i X_{i+1} \cdots X_n B \cdots$
- q is the state of the TM
- ightharpoonup The head is scanning the symbol X_i
- Leading or trailing blanks *B* are (usually) not shown unless the head is scanning them
- ightharpoonup denotes one move of the TM M
- $ightharpoonup \vdash_{M}^{*}$ denotes zero or more moves
- ► will be used if the TM *M* is understood
- If (q, X_i, p, Y, L) denotes a TM move then

$$X_1 \cdot \cdot \cdot X_{i-1} q X_i \cdot \cdot \cdot X_n \vdash_M X_1 \cdot \cdot \cdot X_{i-2} p X_{i-1} Y \cdot \cdot \cdot X_n$$

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The Binary Palindrome Function

- ► **Input** binary string s
- Output YES if palindrome, NO otherwise
- Example 1010 → NO and 1001 → YES
- ► Initial cell: leftmost symbol of s
- Strategy
- Stage A read the leftmost symbol
- If blank then accept it and go to stage D otherwise erase it
- Stage B find the rightmost symbol
- If the current cell matches leftmost recently read then erase it and go to stage C
- Otherwise reject it and go to stage E
- ► **Stage C** return to the leftmost symbol and stage A
- **Stage D** print YES and halt
- Stage E erase the remaining string and print NO

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- c

- Represent the Turing Machine program as a list of quintuples (q, X, p, Y, D)
- ► Stage A read the leftmost symbol

$$(q_0, 0, q_{1_o}, B, R)$$

$$(q_0, 1, q_{1_i}, B, R)$$

$$(q_0, B, q_5, B, S)$$

► Stage B find rightmost symbol

$$(q_{1_o}, B, q_{2_o}, B, L)$$

$$(q_{1_o}, *, q_{1_o}, *, R)$$
 * is a wild card, matches anything

$$(q_{1_i}, B, q_{2_i}, B, L)$$

$$(q_{1i}, *, q_{1i}, *, R)$$

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► Stage B check

$$(q_{2_o}, 0, q_3, B, L)$$

$$(q_{2_o}, B, q_5, B, S)$$

$$(q_{2_o}, *, q_6, *, S)$$

$$(q_{2_i}, 1, q_3, B, L)$$

$$(q_{2_i}, B, q_5, B, S)$$

 $(q_{2_i}, *, q_6, *, S)$

► Stage C return to the leftmost symbol and stage A

$$(q_3, B, q_5, B, S)$$

$$(q_3, *, q_4, *, L)$$

$$(q_4, B, q_0, B, R)$$

$$(q_4, *, q_4, *, L)$$

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► Stage D accept and print YES

$$(q_5, *, q_{5_a}, Y, R)$$

 $(q_{5_a}, *, q_{5_b}, E, R)$
 $(q_{5_b}, *, q_7, S, S)$

► Stage E erase the remaining string and print NO

$$(q_6, B, q_{6a}, N, R)$$

 $(q_6, *, q_6, B, L)$
 $(q_{6a}, *, q_7, O, S)$

Finish

$$(q_7,B,q_h,B,R)$$

$$(q_7, *, q_7, *, L)$$

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The Binary Palindrome Function (3a) — Meta-Exercise

▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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Future Work

- ▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_{1_0}, q_{1_i}, q_{2_0}, q_{2_i}, q_3, q_4, q_5, q_{5_a}, q_{5_b}, q_6, q_{6_a}, q_7, q_h\}$
- $ightharpoonup q_0$ read leftmost symbol
- $ightharpoonup q_{1_o}, q_{1_i}$ find rightmost symbol looking for 0 or 1
- $ightharpoonup q_{2_o}, q_{2_i}$ check, confirm or reject
- $ightharpoonup q_3, q_4$ check finish or move to start
- $ightharpoonup q_5, q_6, q_7$ print YES or NO and finish
- $ightharpoonup q_h$ finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B, Y, E, S, N, O\}$
- $\delta :: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$ $\delta(q, X) \mapsto (p, Y, D)$ $\delta \text{ is represented as } \{(q, X, p, Y, D)\}$
- equivalent to $\{((q, X), (p, Y, D))\}\$ set of pairs
- Start with leftmost symbol under head, state q_0
- ► B is _ a visible space
- ► $F = \{q_h\}$

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The Binary Palindrome Function (4)

Sample Evaluation 101 → YES

$$q_0101 \vdash Bq_{1_i}01 \vdash B0q_{1_i}1 \vdash B01q_{1_i}B \vdash B0q_{2_i}1$$

$$\vdash Bq_30B \vdash q_4B0B$$

$$\vdash Bq_00B \vdash BBq_{1_0}B$$

$$\vdash Bq_{2a}BB$$

$$\vdash Bq_5BB \vdash Yq_5{}_aB \vdash YEq_5{}_bB \vdash YEq_7S$$

$$\vdash Yq_7ES \vdash Bq_7YES \vdash q_7BYES \vdash q_hYES$$

Exercise Evaluate 110 → NO

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Binary Addition Example

- Input two binary numerals separated by a single space n1 n2
- Output binary numeral which is the sum of the inputs
- Example $110110 + 101011 \rightarrow 1100001$
- Initial cell: leftmost symbol of n1 n2
- **Insight** look at the arithmetic algorithm

	1	1	0	1	1	0
ш	1	0	1	0	1	1
1	1	0	0	0	0	1

Discussion how can we overwrite the first number with the result and remember how far we have gone?

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Binary Addition Example — Arithmetic Reinvented

J J	1	1 0	0	1 0	1	0
]]	1 1	1 0	0 1	1 0	1	y
]]	1 1	1 0	1	0	X	У
]]	1 1	1 0	1 1	X	x	У
1	0 1	0	X	x	X	У
1	0 1	X	X	X	X	У
1	y	X	X	X	X	У
1	1	0	0	0	0	1

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Binary Addition Example (2)

- Input two binary numerals separated by a single space n1 n2
- Output binary numeral which is the sum of the inputs
- Example 110110 + 101011 → 1100001
- Initial cell: leftmost symbol of n1 n2
- Strategy
- Stage A find the rightmost symbol If the symbol is 0 erase and go to stage Bx If the symbol is 1 erase go to stage By If the symbol is blank go to stage F dealing with each digit in n2

if no further digits in n2 go to final stage

- Stage Bx Move left to a blank go to stage Cx
- **Stage By** Move left to a blank go to stage Cy moving to n1

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Binary Addition Example (3)

- ► Stage Cx Move left to find first 0, 1 or B

 Turn 0 or B to X, turn 1 to Y and go to stage A

 adding 0 to a digit finalises the result (no carry one)
- Stage Cy Move left to find first 0, 1 or B Turn 0 or B to 1 and go to stage D Turn 1 to 0, move left and go to stage Cy dealing with the carry one in school arithmetic
- Stage D move right to X, Y or B and go to stage E
- Stage E replace 0 by X, 1 by Y, move right and go to Stage A

finalising the value of a digit resulting from a carry

Stage F move left and replace X by 0, Y by 1 and at B halt M269 End of Module

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Binary Addition Example (4)

- ► Represent the Turing Machine program as a list of quintuples (q, X, p, Y, D)
- Stage A find the rightmost symbol

$$(q_0, B, q_1, B, R)$$

$$(q_0, *, q_0, *, R)$$
 * is a wild card, matches anything

$$(q_1, B, q_2, B, L)$$

$$(q_1, *, q_1, *, R)$$

$$(q_2, 0, q_{3_x}, B, L)$$

$$(q_2, 1, q_{3_V}, B, L)$$

$$(q_2, B, q_7, B, L)$$

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► Stage Bx move left to blank

$$(q_{3_x}, B, q_{4_x}, B, L)$$

 $(q_{3_x}, *, q_{3_x}, *, L)$

► Stage By move left to blank

$$(q_{3_y}, B, q_{4_y}, B, L)$$

 $(q_{3_y}, *, q_{3_y}, *, L)$

► Stage Cx move left to 0, 1, or blank

$$(q_{4_x}, 0, q_0, x, R)$$

 $(q_{4_x}, 1, q_0, y, R)$
 (q_{4_x}, B, q_0, x, R)

 $(q_{4_X}, *, q_{4_X}, *, L)$

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$$(q_{4_y}, 0, q_5, 1, S)$$

 $(q_{4_y}, 1, q_{4_y}, 0, L)$
 $(q_{4_y}, B, q_5, 1, S)$
 $(q_{4_y}, *, q_{4_y}, *, L)$

Stage D move right to x, y or B

$$(q_5, x, q_6, x, L)$$

 (q_5, y, q_6, y, L)
 (q_5, B, q_6, B, L)
 $(q_5, *, q_5, *, R)$

Stage E replace 0 by x, 1 by y

$$(q_6, 0, q_0, x, R)$$

 $(a_6, 1, a_0, v, R)$

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Binary Addition Example (7)

Stage F replace x by 0, y by 1

$$(q_7, x, q_7, 0, L)$$

 $(q_7, y, q_7, 1, L)$

$$(q_7, B, q_h, B, R)$$

$$(a_7, *, a_7, *, L)$$

Exercise Evaluate 11 + 10 → 101

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The Binary Addition Function (7a) — Meta-Exercise

▶ Identify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$

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- ldentify $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$
- $Q = \{q_0, q_1, q_2, q_{3x}, q_{3y}, q_{4x}, q_{4y}, q_5, q_6, q_7, q_h\}$
- $ightharpoonup q_0, q_1, q_2$ find rightmost symbol of second number
- $ightharpoonup q_{3x}, q_{3y}$ move left to inter-number blank
- $ightharpoonup q_{4x}, q_{4y}$ move left to 0, 1 or blank
- \triangleright a_5 move right to x, y or B
- \triangleright q_6 replace 0 by x, 1 by y and move right
- \triangleright a_7 replace x by 0, y by 1 and move left
- \triangleright a_h finish
- $\Sigma = \{0, 1\}$
- $\Gamma = \Sigma \cup \{B, x, v\}$
- $\delta :: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$ $\delta(a,X) \mapsto (p,Y,D)$
- δ is represented as $\{(q, X, p, Y, D)\}$ equivalent to $\{((q, X), (p, Y, D))\}\$ set of pairs
- ▶ Start with leftmost symbol under head, state q_0
- ► B is _ a visible space
- $F = \{a_h\}$

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Binary Addition Example (8a)

- Exercise Evaluate 11 + 10 → 101
- Stage A find the rightmost symbol BBq₀11B10B Note space symbols B at start and end
- $\vdash BB1q_01B10B$
- $\vdash BB11q_0B10B$
- BB11Ba₁10B
- BB11B1a₁0B
- BB11B10a₁B
- BB11B1a20B
- $\vdash BB11Bq_{3x}1BB$
- Stage Bx move left to blank
- $\vdash B11q_{3}B1BB$
- Stage Cx move left to 0, 1, or blank
- $\vdash BB1q_{4_x}1B1BB$
- $BB1 Ya_0 B1 BB$

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Binary Addition Example (8b)

- Exercise Evaluate 11 + 10 → 101 (contd)
- Stage A find the rightmost symbol
- BB1 BYBa₁ 1 BB
- BB1 YB1 a₁ BB
- BB1 YBa2 1 BB
- BB1 Yq_{3 v} BBBB
- Stage Cy move left to 0, 1, or blank
- $BB1 q_{4}$ YBBBB
- BBq₄, 1 YBBBB
- Bq_{4} , BOYBBBB
- Bas 10 YBBBB
- **Stage D** move right to x, y or B
- Bas OYBBBB
- BOas YBBBB
- ⊢ Ba₆0YBBBB
- Stage E replace 0 by x, 1 by y
- B1 Xa₀ YBBBB

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Binary Addition Example (8c)

- Exercise Evaluate 11 + 10 → 101 (contd)
- Stage A find the rightmost symbol
- B1 XYa∩ BBBB
- \vdash B1 XYBa₁ BBB
- B1 XYa2 BBBB
- \vdash B1 Xa₇ YBBBB
- Stage F replace x by 0, y by 1
- $\vdash B1a_7X1BBBB$
- ⊢ Bq₇101BBBB
- ⊢ Bq7B101BBBB
- Bah 101 BBBB
- This is mimicking what you learnt to do on paper as a child! Real *step-by-step* instructions
- See Morphett's Turing machine simulator for more examples (takes too long by hand!)

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Universal Turing Machine

- Universal Turing Machine, U, is a Turing Machine that can simulate any arbitrary Turing machine, M
- Achieves this by encoding the transition function of M in some standard way
- The input to U is the encoding for M followed by the data for M
- See Turing machine examples

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Decidability

- ▶ Decidable there is a TM that will halt with yes/no for a decision problem — that is, given a string w over the alphabet of P the TM with halt and return yes.no the string is in the language P (same as recursive in Recursion theory — old use of the word)
- ➤ **Semi-decidable** there is a TM will halt with yes if some string is in *P* but may loop forever on some inputs (same as *recursively enumerable*) *Halting Problem*
- ► **Highly-undecidable** no outcome for any input *Totality, Equivalence Problems*

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Undecidable Problems

► Halting problem — the problem of deciding, given a program and an input, whether the program will eventually halt with that input, or will run forever — term first used by Martin Davis 1952

- ► Entscheidungsproblem the problem of deciding whether a given statement is provable from the axioms using the rules of logic shown to be undecidable by Turing (1936) by reduction from the *Halting problem* to it
- ► Type inference and type checking in the second-order lambda calculus (important for functional programmers, Haskell, GHC implementation)
- ▶ Undecidable problem see link to list

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Halting Problem — Sketch Proof (1)

- ► Halting problem is there a program that can determine if any arbitrary program will halt or continue forever?
- Assume we have such a program (Turing Machine) h(f,x) that takes a program f and input x and determines if it halts or not

```
h(f,x)
= if f(x) runs forever
return True
else
return False
```

We shall prove this cannot exist by contradiction

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- Now invent two further programs:
- q(f) that takes a program f and runs h with the input to f being a copy of f
- r(f) that runs q(f) and halts if q(f) returns True, otherwise it loops

```
q(f)
= h(f,f)

r(f)
= if q(f)
    return
    else
        while True: continue
```

- What happens if we run r(r)?
- If it loops, q(r) returns True and it does not loop contradiction.
- Scooping theLoop Snooper: A proof that the Halting Problem is undecidable Geoffrey K Pullum (21 May 2024)

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Why undecidable problems must exist

- A problem is really membership of a string in some language
- The number of different languages over any alphabet of more than one symbol is uncountable
- Programs are finite strings over a finite alphabet (ASCII or Unicode) and hence countable.
- There must be an infinity (big) of problems more than programs.
- Computational problem defined by a function
- ► Computational problem is computable if there is a Turing machine that will calculate the function.

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- ► The idea of an *algorithm* dates back 3000 years to Euclid, Babylonians...
- ► In the 1930s the idea was made more formal: which functions are computable?
- ▶ A function is a set of pairs $f = \{(x, f(x)) : x \in X \land f(x) \in Y\}$ with the function property
- Function property: $(a, b) \in f \land (a, c) \in f \Rightarrow b == c$
- Function property: Same input implies same output
- Note that maths notation is deeply inconsistent here see Function and History of the function concept
- What do we mean by computing a function an algorithm?

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Functions

Relation and Rule

- ► The idea of function as a set of pairs (Binary relation) with the function property (each element of the domain has at most one element in the co-domain) is fairly recent see History of the function concept
- School maths presents us with function as rule to get from the input to the output
- Example: the square function: square $x = x \times x$
- ► But lots of rules (or algorithms) can implement the same function
- ▶ square1 $x = x^2$

x times

ightharpoonup square2 $x = x + \cdots + x$ if x is integer

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Computability and Terminology (2)

- ▶ In the 1930s three definitions:
- λ-Calculus, simple semantics for computation Alonzo Church
- ► General recursive functions Kurt Gödel
- ► Universal (Turing) machine Alan Turing
- ► Terminology:
 - ► Recursive, recursively enumerable Church, Kleene
 - Computable, computably enumerable Gödel, Turing
 - Decidable, semi-decidable, highly undecidable
 - In the 1930s, computers were human
 - Unfortunate choice of terminology
- Turing and Church showed that the above three were equivalent
- Church-Turing thesis function is intuitively computable if and only if Turing machine computable

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Reducing one problem to another

- ► To reduce problem P₁ to P₂, invent a construction that converts instances of P₁ to P₂ that have the same answer. That is:
 - any string in the language P_1 is converted to some string in the language P_2
 - ▶ any string over the alphabet of P_1 that is not in the language of P_1 is converted to a string that is not in the language P_2
- With this construction we can solve P₁
 - ▶ Given an instance of P_1 , that is, given a string w that may be in the language P_1 , apply the construction algorithm to produce a string x
 - Test whether x is in P₂ and give the same answer for w in P₁

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Problem Reduction

- ▶ Problem Reduction Ordinary Example
- Want to phone Alice but don't have her number
- You know that Bill has her number
- ► So *reduce* the problem of finding Alice's number to the problem of getting hold of Bill

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Direction of Reduction

- ▶ The direction of reduction is important
- ▶ If we can reduce P_1 to P_2 then (in some sense) P_2 is at least as hard as P_1 (since a solution to P_2 will give us a solution to P_1)
- ▶ So, if P_2 is decidable then P_1 is decidable
- ► To show a problem is undecidable we have to reduce from an known undecidable problem to it
- $\forall x(dp_{P_1}(x) = dp_{P_2}(reduce(x)))$
- ▶ Since, if P_1 is undecidable then P_2 is undecidable

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Reductions

input fA2

output

A1

- \triangleright A reduction of problem P_1 to problem P_2
 - \blacktriangleright transforms inputs to P_1 into inputs to P_2
 - runs algorithm A2 (which solves P₂) and
 - ▶ interprets the outputs from A2 as answers to P₁
- More formally: A problem P_1 is *reducible* to a problem P_2 if there is a function f that takes any input x to P_1 and transforms it to an input f(x) of P_2 such that the solution of P_2 on f(x) is the solution of P_1 on x

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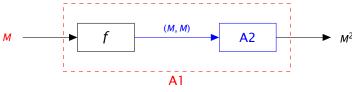
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Future Work

Example: Squaring a Matrix



- ightharpoonup Given an algorithm (A2) for matrix multiplication (P_2)
 - ▶ Input: pair of matrices, (M_1, M_2)
 - ightharpoonup Output: matrix result of multiplying M_1 and M_2
- \triangleright P_1 is the problem of squaring a matrix
 - ► Input: matrix M
 - ► Output: matrix *M*²
- Algorithm A1 has

$$f(M) = (M, M)$$

uses A2 to calculate $M \times M = M^2$

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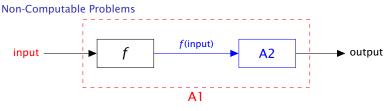
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- ▶ If P₂ is computable (A2 exists) then P₁ is computable (f being simple or polynomial)
- Equivalently If P_1 is non-computable then P_2 is non-computable
- **Exercise:** show $B \rightarrow A \equiv \neg A \rightarrow \neg B$

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Contrapositive

- Proof by Contrapositive
- ▶ $B \rightarrow A \equiv \neg B \lor A$ by truth table or equivalences

$$\equiv \neg(\neg A) \lor \neg B$$
 commutativity and negation laws

$$\equiv \neg A \rightarrow \neg B$$
 equivalences

Common error: switching the order round

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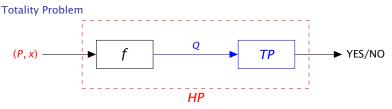
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- **▶** Totality Problem
 - ► Input: program Q
 - Output: YES if Q terminates for all inputs else NO
- Assume we have algorithm TP to solve the Totality Problem
- Now reduce the Halting Problem to the Totality Problem

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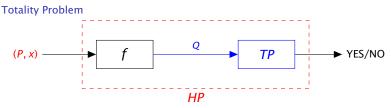
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▶ Define *f* to transform inputs to HP to TP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
    return Q
```

- ▶ Run TP on Q
 - If TP returns YES then P halts on x
 - If TP returns NO then P does not halt on x
- ▶ We have *solved* the Halting Problem contradiction

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Negative Value Problem $(P, x) \longrightarrow f \qquad NVP \qquad PYES/NO$ HP

- Negative Value Problem
 - Input: program Q which has no input and variable v used in Q
 - Output: YES if v ever gets assigned a negative value else NO
- Assume we have algorithm NVP to solve the Negative Value Problem
- Now reduce the Halting Problem to the Negative Value Problem

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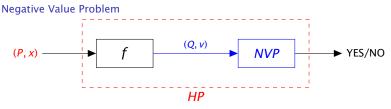
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Define f to transform inputs to HP to NVP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
        v = -1
    return (Q,var(v))
```

- Run NVP on (Q, var(v)) var(v) gets the variable name
 - If NVP returns YES then P halts on x
 - If NVP returns NO then P does not halt on x
- ▶ We have *solved* the Halting Problem contradiction

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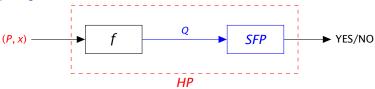
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Deference

Squaring Function Problem



- Squaring Function Problem
 - Input: program Q which takes an integer, y
 - Output: YES if Q always returns the square of y else NO
- Assume we have algorithm SFP to solve the Squaring Function Problem
- Now reduce the Halting Problem to the Squaring Function Problem

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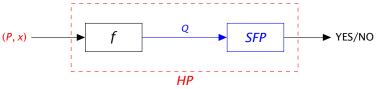
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Squaring Function Problem



▶ Define *f* to transform inputs to HP to SFP pseudo-Python

```
def f(P,x) :
    def Q(y):
        P(x)
        return y * y
    return Q
```

- ► Run SFP on Q
 - If SFP returns YES then P halts on x
 - If SFP returns NO then P does not halt on x
- ▶ We have *solved* the Halting Problem contradiction

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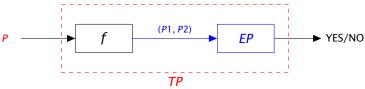
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Equivalence Problem



- **Equivalence Problem**
 - ▶ Input: two programs P1 and P2
 - Output: YES if P1 and P2 solve the same problem (same output for same input) else NO
- Assume we have algorithm EP to solve the Equivalence Problem
- Now reduce the Totality Problem to the Equivalence Problem

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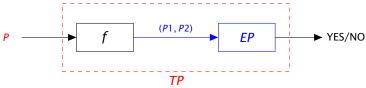
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Equivalence Problem



ightharpoonup Define f to transform inputs to TP to EP pseudo-Python

```
def f(P) :
    def P1(x):
        P(x)
        return "Same_string"
    def P2(x)
        return "Same_string"
    return (P1,P2)
```

- ▶ Run *EP* on (*P*1, *P*2)
 - If EP returns YES then P halts on all inputs
 - If EP returns NO then P does not halt on all inputs
- ▶ We have *solved* the Totality Problem contradiction

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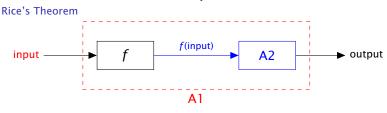
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- ▶ Rice's Theorem all non-trivial, semantic properties of programs are undecidable. H G Rice 1951 PhD Thesis
- ► Equivalently: For any non-trivial property of partial functions, no general and effective method can decide whether an algorithm computes a partial function with that property.
- A property of partial functions is called trivial if it holds for all partial computable functions or for none.

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Rice's Theorem

- Rice's Theorem and computability theory
- Let S be a set of languages that is nontrivial, meaning
 - there exists a Turing machine that recognizes a language in S
 - there exists a Turing machine that recognizes a language not in S
- Then, it is undecidable to determine whether the language recognized by an arbitrary Turing machine lies in S.
- This has implications for compilers and virus checkers
- Note that Rice's theorem does not say anything about those properties of machines or programs that are not also properties of functions and languages.
- For example, whether a machine runs for more than 100 steps on some input is a decidable property, even though it is non-trivial.

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Motivation

- ► Lambda Calculus is a formal system in mathematical logic for expressing computation based on function abstraction and application using variable binding and substitution
- Lambda calculus is Turing complete it can simulate any Turing machine
- Introduced by Alonzo Church in 1930s
- Basis of functional programming languages Lisp, Scheme, ISWIM, ML, SASL, KRC, Miranda, Haskell, Scala, F#...
- Note this is not part of M269 but may help understand ideas of computability

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Future Work

School maths introduces functions as

$$f(x) = 3x^2 + 4x + 5$$

- Substitution: $f(2) = 3 \times 2^2 + 4 \times 2 + 5 = 25$
- Generalise: $f(x) = ax^2 + bx + c$
- What is wrong with the following:
- $f(a) = a \times a^2 + b \times a + c$
- ▶ The ideas of free and bound variables and substitution

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Future Work

- Some choices may involve more work than others but the Church-Rosser theorem ensures that if the evaluation terminates then all choices get to the same answer
- The second edition of a famous book on Functional programming Bird (1998, Ex 1.2.2, page 6)
 Introduction to Functional Programming using Haskell had the following exercise:
- How many ways can you evaluate (3 + 7)² List the evaluations and assumptions

order in which we evaluate subterms

- ► The first edition Bird and Wadler (1988, Ex 1.2.1, page 6) *Introduction to Functional Programming* had the exercise:
- ► How many ways can you evaluate $((3+7)^2)^2$

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Future Work

- ► How many ways can you evaluate $(3 + 7)^2$ List the evaluations and assumptions
- Answer 3 ways
- Reducible expressions (redexes)

```
x^2 \rightarrow x \times x where x is a term a + b where a and b are numbers x \times y where x and y are numbers
```

```
[sqr (3+7),((3+7)*(3+7)),((3+7)*10),(10*10),100]
[sqr (3+7),((3+7)*(3+7)),(10*(3+7)),(10*10),100]
[sqr (3+7),sqr 10,(10*10),100]
```

- ► The assumed redexes do not include distributive laws $(a + b) \times (x + y) \rightarrow a \times x + a \times y + b \times x + b \times y$
- ► This would increase the number of different evaluations

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Future Work

- ► How many ways can you evaluate $((3+7)^2)^2$
- Answer 547 wavs

```
1[sqr sqr (3+7), (sqr (3+7)*sqr (3+7)), (sqr (3+7)*((3+7)*((3+7))), (sqr (3+7)*((3+7)*10))]
2[sqr sqr (3+7), (sqr (3+7)*sqr (3+7)), (sqr(3+7)*((3+7)*(3+7))), (sqr (3+7)*((3+7)*10))
```

```
546 [sqr sqr (3+7), sqr sqr 10, sqr (10*10), ((10*10)*(10*10)), (100*(10*10)),
547 [sar sar (3+7).sar sar 10.sar (10*10).sar 100.(100*100).10000]
```

Enumerating all 547 ways may have taken some concentration

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Complexity (100 * 100), 100

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Future Work

Expressions

Evaluation Strategies (d)

- The actual Evaluation strategy used by a particular programming language implementation may have optimisations which make an evaluation which looks costly to be somewhat cheaper
- For example, the Haskell implementation GHC optimises the evaluation of common subexpressions so that (3+7) will be evaluated only once

```
1[sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr (3+7)*((3+7)*(3+7))), (sqr (3+7)*(9+7)*10
2 [sqr sqr (3+7),(sqr (3+7)*sqr (3+7)),(sqr(3+7)*((3+7)*(3+7))), (sqr (3+7)*(3+7)*10)
```

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Optional Topic

- ► M269 Unit 6/7 Reader Logic and the Limits of Computation alludes to other formalisations with equal power to a Turing Machine (pages 81 and 87)
- The Reader mentions Alonzo Church and his 1930s formalism (page 87, but does not give any detail)
- The notes in this section are optional and for comparison with the Turing Machine material
- Turing machine: explicit memory, state and implicit loop and case/if statement
- Lambda Calculus: function definition and application, explicit rules for evaluation (and transformation) of expressions, explicit rules for substitution (for function application)
- Lambda calculus reduction workbench
- ► Lambda Calculus Calculator

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Lambda Terms

- A variable, x, is a lambda term
- If M is a lambda term and x is a variable, then (λx.M) is a lambda term — a lambda abstraction or function definition
- If M and N are lambda terms, the (M N) is lambda term
 an application
- Nothing else is a lambda term

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Lambda Terms — Notational Conveniences

- ▶ Outermost parentheses are omitted $(M \ N) \equiv M \ N$
- ▶ Application is left associative $((M \ N) \ P) \equiv M \ N \ P$
- The body of an abstraction extends as far right as possible, subject to scope limited by parentheses
- $\lambda x.M N \equiv \lambda x.(M N)$ and not $(\lambda x.M) N$
- $\lambda x. \lambda y. \lambda z. M = \lambda x y z. M$

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Future Work

Lambda Calculus Semantics

- What do we mean by evaluating an expression?
- To evaluate (λx.M)N
- Evaluate M with x replaced by N
- This rule is called β-reduction
- $(\lambda x.M) \underset{\beta}{\mathsf{N}} \to M[x := N]$
- ► M[x := N] is M with occurrences of x replaced by N
- ▶ This operation is called *substitution* see rules below

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Future Work

 β -Reduction Examples

- $(\lambda x.x)z \rightarrow z$
- $(\lambda x.y)z \rightarrow y$
- $(\lambda x.xy)z \rightarrow zy$ a function that applies its argument to v
- $(\lambda x.x y)(\lambda z.z) \rightarrow (\lambda z.z)y \rightarrow y$
- $(\lambda x.\lambda y.xy)z \rightarrow \lambda y.zy$

A curried function of two arguments — applies first argument to second

• currying replaces f(x, y) with (f x)y — nice notational convenience — gives partial application for free

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- $x[x := N] \equiv N$
- $V[x := N] \equiv v$
- $(P Q)[x := N] \equiv (P[x := N])(Q[x := N])$
- $(\lambda x.M)[x := N] = \lambda x.M$

In $(\lambda x.M)$, the x is a formal parameter and thus a local variable, different to any other

- $(\lambda y.M)[x := N] = \text{ what?}$
- ▶ Look back at the school maths example above a subtle point

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Future Work

Substitution (2)

- Renaming bound variables consistently is allowed
- $\lambda x.x \equiv \lambda y.y \equiv \lambda z.z$
- $\lambda y.\lambda x.y \equiv \lambda z.\lambda x.z$
- ightharpoonup This is called α -conversion
- $(\lambda x. \lambda y. x y) y \rightarrow (\lambda x. \lambda z. x z) y \rightarrow \lambda z. y z$

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Future Work

- \triangleright $BV(x) = \emptyset$
- $BV(\lambda x.M) = BV(M) \cup \{x\}$
- \triangleright $BV(MN) = BV(M) \cup BV(N)$
- $ightharpoonup FV(x) = \{x\}$
- $\vdash FV(\lambda x.M) = FV(M) \{x\}$
- $ightharpoonup FV(MN) = FV(M) \cup FV(N)$
- ▶ The above is a formalisation of school maths
- A Lambda term with no free variables is said to be closed — such terms are also called combinators — see Combinator and Combinatory logic (Hankin, 2004, page 10)
- \triangleright α -conversion

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Future Work

Substitution (4)

- \triangleright β -reduction final rule
- $(\lambda y.M)[x := N] = \lambda y.M \text{ if } x \notin FV(M)$
- $(\lambda y.M)[x := N] = \lambda y.M[x := N]$ if $x \in FV(M)$ and $y \notin FV(N)$
- ► $(\lambda y.M)[x := N] = \lambda z.M[y := z][x := N]$ if $x \in FV(M)$ and $y \in FV(N)$ z is chosen to be first variable $z \notin FV(NM)$
- This is why you cannot go f(a) when given
- $f(x) = ax^2 + bx + c$
- School maths but made formal

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Rules Summary — Conversion

- $ightharpoonup \alpha$ -conversion renaming bound variables
- $\blacktriangleright \lambda x.M \xrightarrow{\alpha} \lambda y.M[x := y] \text{ if } y \notin FV(M)$
- \triangleright β -conversion function application
- $(\lambda x.M) \underset{\beta}{\mathsf{N}} \to M[x := N]$
- η-conversion extensionality

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Rules Summary — Substitution

- 1. $x[x := N] \equiv N$
- $2. \ y[x := N] \equiv y$
- 3. $(PQ)[x := N] \equiv (P[x := N])(Q[x := N])$
- 4. $(\lambda x.M)[x := N] = \lambda x.M$
- 5. $(\lambda y.M)[x := N] = \lambda y.M$ if $x \notin FV(M)$
- 6. $(\lambda y.M)[x := N] = \lambda y.M[x := N]$ if $x \in FV(M)$ and $y \notin FV(N)$
- 7. $(\lambda y.M)[x := N] = \lambda z.M[y := z][x := N]$ if $x \in FV(M)$ and $y \in FV(N)$ z is chosen to be first variable $z \notin FV(NM)$

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Future Work

Lambda Calculus Encodings

- So what does this formalism get us?
- The Lambda Calculus is Turing complete
- We can encode any computation (if we are clever enough)
- Booleans and propositional logic
- **Pairs**
- Natural numbers and arithmetic
- Looping and recursion

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Lambda Calculus Encodings

Booleans and Propositional Logic

- ► True = $\lambda x.\lambda v.x$
- False = $\lambda x. \lambda v. v$
- ▶ IF a THEN b ELSE $c \equiv abc$
- ► IF True THEN b ELSE $c \rightarrow (\lambda x. \lambda y. x) b c$
- $\rightarrow (\lambda v.b) c \rightarrow b$
- ► IF False THEN b ELSE $c \rightarrow (\lambda x. \lambda y. y) b c$
- $\rightarrow (\lambda v. v) c \rightarrow c$

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Booleans and Propositional Logic (2)

- Not = $\lambda x.((x \text{ False})\text{True})$
- Not x = IF x THEN False ELSE True
- Exercise: evaluate Not True
- ► And = $\lambda x.\lambda y.((x y) \text{ False})$
- And x y = IF x THEN y ELSE False
- Exercise: evaluate And True False
- Or x y = IF x THEN True ELSE y
- Exercise: evaluate Or False True

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- Exercise: evaluate Not True
- \rightarrow (λx .((x False) True)) True
- ► → (True False) True
- Could go straight to False from here, but we shall fill in the detail
- $\rightarrow ((\lambda x.\lambda y.x)(\lambda x.\lambda y.y))(\lambda x.\lambda y.x)$
- $\rightarrow (\lambda y.(\lambda x.\lambda y.y))(\lambda x.\lambda y.x)$
- \rightarrow $(\lambda x.\lambda y.y) \equiv$ False
- Exercise: evaluate And True False
- ► \rightarrow (IF x THEN y ELSE False) True False
- ► →(IF True THEN False ELSE False) →False
- Exercise: evaluate Or False True
- ► \rightarrow (IF x THEN True ELSE y) False True
- ► → (IF False THEN True ELSE True) → True

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Future Work

Natural Numbers — Church Numerals

- Encoding of natural numbers
- \triangleright 0 = $\lambda f. \lambda v. v$
- $ightharpoonup 1 = \lambda f. \lambda y. f y$
- \triangleright 2 = $\lambda f.\lambda y.f(fy)$
- $ightharpoonup 3 = \lambda f. \lambda y. f(f(f y))$
- ► Successor Succ = $\lambda z.\lambda f.\lambda y.f(zfy)$
- Succ $0 = (\lambda z.\lambda f.\lambda y.f(zfy))(\lambda f.\lambda y.y)$
- $\rightarrow \lambda f.\lambda y.f((\lambda f.\lambda y.y)fy)$
- $\rightarrow \lambda f.\lambda y.f((\lambda y.y)y)$
- $\rightarrow \lambda f.\lambda y.f y = 1$

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Future Work

Natural Numbers — Operations

- ▶ isZero = $\lambda z.z(\lambda y. \text{ False})$ True
- Exercise: evaluate isZero 0
- If *M* and *N* are numerals (as λ expressions)
- Add $MN = \lambda x.\lambda y.(Mx)((Nx)y)$
- Mult $MN = \lambda x.(M(Nx))$
- ► Exercise: show 1 + 1 = 2

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Future Work

Pairs

- Encoding of a pair a, b
- $(a, b) = \lambda x$. IF x THEN a ELSE b
- FST = $\lambda f.f$ True
- ► SND = $\lambda f.f$ False
- Exercise: evaluate FST (a, b)
- Exercise: evaluate SND (a, b)

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Future Work

The Fixpoint Combinator

- $Y = \lambda f.(\lambda x. f(x x)) (\lambda x. f(x x))$
- $YF = \lambda f.(\lambda x. f(x x)) (\lambda x. f(x x)) F$
- $\rightarrow (\lambda x.F(xx))(\lambda x.F(xx))$
- $F((\lambda x.F(x x))(\lambda x.F(x x))) = F(Y F)$
- ► (Y F) is a fixed point of F
- ▶ We can use Y to achieve recursion for F

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Future Work

- Recursion implementation Factorial
- Fact = $\lambda f.\lambda n$. IF n = 0 THEN 1 ELSE n * (f(n-1))
- ► (*Y* Fact)1 = (Fact (*Y* Fact))1
- \rightarrow IF 1 = 0 THEN 1 ELSE 1 * ((Y Fact) 0)
- \rightarrow 1 * ((Y Fact) 0)
- ► → 1 * (Fact (*Y* Fact) 0)
- \rightarrow 1 * IF 0 = 0 THEN 1 ELSE 0 * ((Y Fact) (0 1))
- $\rightarrow 1 * 1 \rightarrow 1$
- Factorial n = (Y Fact) n
- Recursion implemented with a non-recursive function Y

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Future Work

Computability

Turing Machines, Lambda Calculus and Programming Languages

- Anything computable can be represented as TM or Lambda Calculus
- But programs would be slow, large and hard to read
- In practice use the ideas to create more expressive languages which include built-in primitives
- Also leads to ideas on data types
- Polymorphic data types
- Algebraic data types
- Also leads on to ideas on higher order functions functions that take functions as arguments or returns functions as results.

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Complexity

2 Complexity

- Complexity Classes P and NP
- Class NP
- NP-completeness
- NP-completeness and Boolean Satisfiability

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Future Work

- P, the set of all decision problems that can be solved in polynomial time on a deterministic Turing machine
- ▶ NP, the set of all decision problems whose solutions can be verified (certificate) in polynomial time
- Equivalently, NP, the set of all decision problems that can be solved in polynomial time on a non-deterministic Turing machine
- A decision problem, dp is NP-complete if
 - 1. dp is in NP and
 - 2. Every problem in NP is reducible to *dp* in polynomial time
- NP-hard a problem satisfying the second condition, whether or not it satisfies the first condition. Class of problems which are at least as hard as the hardest problems in NP. NP-hard problems do not have to be in NP and may not be decision problems

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P and NP Class NP

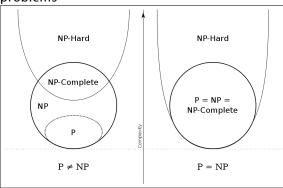
Class NP NP-completeness Boolean Satisfiability

Future Work

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P and NP — Diagram

Euler diagram for P, NP, NP-complete and NP-hard set of problems



Source: Wikipedia NP-complete entry

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Future Work

Class NP

Certificate and Verifier

- ➤ To formalise the definition of the class NP, we need to formalise the idea of checking a candidate solution
- Define a certificate for each problem input that would return Yes
- Describe the verifier algorithm
- Demonstrate the verifier algorithm has polynomial complexity
- ► The terms *certificate* and *verifier* have technical definitions in terms of languages and Turing Machines but can be thought of as *candidate solution* and *checker algorithm*

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Future Work

- Composite Numbers Given a number N decide if N is a composite (i.e. non-prime) number Certificate factorization of N
- Connectivity Given a graph G and two vertices s, t in G, decide if s is connected to t in G.
 Certificate path from s to t
- Linear Programming Given a list of m linear inequalities with rational coefficients over n variables u_1, \ldots, u_n (a linear inequality has the form $a_1 u_1 + a_2 u_2 \cdots + a_n u_n \le b$ for some coefficients $a_1, \ldots, a_n b$), decide if there is an assignment of rational numbers to the variables u_1, \ldots, u_n which satisfies all the inequalities Certificate is the assignment

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Example Decision Problems (2)

- The above are in P
- Composite Numbers, Connectivity and Linear programming are in P
- Composite Numbers follows from Integer factorization and the AKS primality test from 2004
- Connectivity follows from the breadth-first search algorithm
- Linear programming shown to be in P by the Ellipsoid method

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Integer Programming some or all variables are restricted to be integers

- ► Travelling Salesperson Given a set of nodes and distances between all pairs of nodes and a number k, decide if there is a closed circuit that visits every node exactly once and has total length at most k Certificate sequence of nodesin such a tour
- Subset sum Given a list of numbers and a number T, decide if there is a subset that adds up to T Certificate list of members of such a subset
- ► Independent set (graph theory) A subgraph of *G* with of at least *k* vertices which have no edges between them *Certificate* the list of *k* vertices
- ► Clique problem Given a graph and a number k, decide if there is a complete subgraph (clique) of size k Certificate list pf nodes. For explanation see Prove Clique is NP

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► The following two are not known to be **P** nor **NP-complete**

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► **Graph Isomorphism** Given two $n \times n$ adjacency matrices M_1 , M_2 , decide if M_1 and M_2 define the same graph (up to renaming of the vertices) Certificate the permutation $\pi : [n] \to [n]$ such that M_2 is equal to M_1 after reordering the indices of M_1 according to π Abstract Data Types

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► Integer factorization Given three numbers N, L, U decide if N has a prime factor p in the interval [L, U] Certificate is the factorization of N Source Arora and Barak (2009, page 49) Computational Complexity: A Modern Approach and contained links

Complexity

NP-complete problems

- ► Boolean satisfiability (SAT) Cook-Levin theorem
- Conjunctive Normal Form 3SAT
- Hamiltonian path problem
- ► Travelling salesman problem
- ► NP-complete see list of problems

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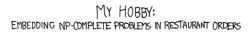
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Knapsack Problem







Source & Explanation: XKCD 287

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Points on Notes

- ► The Boolean satisfiability problem (SAT) was the first decision problem shown to be NP-Complete
- This section gives a sketch of an explanation
- ► **Health Warning** different texts have different notations and there will be some inconsistency in these notes
- ▶ **Health warning** these notes use some formal notation to make the ideas more precise computation requires precise notation and is about manipulating strings according to precise rules.

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- Notation:
 - Σ is a set of symbols the alphabet
 - $\triangleright \Sigma^k$ is the set of all string of length k, which each symbol from Σ
 - Example: if $\Sigma = \{0, 1\}$
 - $\Sigma^1 = \{0, 1\}$
 - $\Sigma^2 = \{00, 01, 10, 11\}$
 - $\Sigma^0 = {\epsilon}$ where ϵ is the empty string
 - $\triangleright \Sigma^*$ is the set of all possible strings over Σ
 - $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
 - A Language, L, over Σ is a subset of Σ^*
 - $L \subseteq \Sigma^*$

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Language Accepted by a Turing Machine

- Language accepted by Turing Machine, M denoted by L(M)
- ▶ L(M) is the set of strings $w \in \Sigma^*$ accepted by M
- ▶ For *Final States F* = {Y, N}, a string $w \in \Sigma^*$ is accepted by $M \Leftrightarrow$ (if and only if) M starting in q_0 with w on the tape halts in state Y
- Calculating a function (function problem) can be turned into a decision problem by asking whether f(x) = y

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The NP-Complete Class

- If we do not know if $P \neq NP$, what can we say?
- A language L is NP-Complete if:
 - $I \in NP$ and
 - for all other $L' \in NP$ there is a polynomial time transformation (Karp reducible, reduction) from L' to L
- ▶ Problem P_1 polynomially reduces (Karp reduces, transforms) to P_2 , written $P_1 \propto P_2$ or $P_1 \leq_p P_2$, iff $\exists f : \mathsf{dp}_{P_1} \to \mathsf{dp}_{P_2}$ such that
 - $\forall I \in \mathsf{dp}_{P_1}[I \in Y_{P_1} \Leftrightarrow f(I) \in Y_{P_2}]$
 - f can be computed in polynomial time

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The NP-Complete Class (2)

- More formally, $L_1 \subseteq \Sigma_1^*$ polynomially transforms to $L_2 \subseteq \Sigma_2^*$, written $L_1 \propto L_2$ or $L_1 \leq_p L_2$, iff $\exists f : \Sigma_1^* \to \Sigma_2^*$ such that

 - ightharpoonup There is a polynomial time TM that computes f
- ► Transitivity If $L_1 \propto L_2$ and $L_2 \propto L_3$ then $L_1 \propto L_3$
- ▶ If L is NP-Hard and $L \in P$ then P = NP
- ▶ If L is NP-Complete, then $L \in P$ if and only if P = NP
- ▶ If L_0 is NP-Complete and $L \in NP$ and $L_0 \propto L$ then L is NP-Complete
- Hence if we find one NP-Complete problem, it may become easier to find more
- ► In 1971/1973 Cook-Levin showed that the Boolean satisfiability problem (SAT) is NP-Complete

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The Boolean Satisfiability Problem

- A propositional logic formula or Boolean expression is built from variables, operators: AND (conjunction, ∧), OR (disjunction, ∨), NOT (negation, ¬)
- A formula is said to be *satisfiable* if it can be made True by some assignment to its variables.
- The Boolean Satisfiability Problem is, given a formula, check if it is satisfiable.
 - Instance: a finite set U of Boolean variables and a finite set C of clauses over U
 - Question: Is there a satisfying truth assignment for C?
- A clause is a disjunction of variables or negations of variables
- Conjunctive normal form (CNF) is a conjunction of clauses
- Any Boolean expression can be transformed to CNF

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- Given a set of Boolean variable $U = \{u_1, u_2, \dots, u_n\}$
- A literal from U is either any u_i or the negation of some u_i (written $\overline{u_i}$) usual logic notation $\neg u_i$
- A clause is denoted as a subset of literals from $U \{u_2, \overline{u_4}, u_5\}$ usual notation $u_2 \vee \neg u_4 \vee u_5$
- ► A clause is satisfied by an assignment to the variables if at least one of the literals evaluates to True (just like disjunction of the literals)
- ▶ Let C be a set of clauses over U C is satisfiable iff there is some assignment of truth values to the variables so that every clause is satisfied (just like CNF)
- ► $C = \{\{u_1, u_2, u_3\}, \{\overline{u_2}, \overline{u_3}\}, \{u_2, \overline{u_3}\}\}\$ is satisfiable usual notation $(u_1 \lor u_2 \lor u_3) \land (\neg u_2 \lor \neg u_3) \land (u_2 \lor \neg u_3)$
 - assign $(u_1, u_2, u_3) = (T, F, F), (T, T, F), (F, T, F)$
- ► $C = \{\{u_1, u_2\}, \{u_1, \overline{u_2}\}, \{\overline{u_1}\}\}\$ is not satisfiable usual notation $(u_1 \vee u_2) \wedge (u_1 \vee \neg u_2) \wedge (\neg u_1)$

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The Boolean Satisfiability Problem (3)

- Proof that SAT is NP-Complete looks at the structure of NDTMs and shows you can transform any NDTM to SAT in polynomial time (in fact logarithmic space suffices)
- SAT is in NP since you can check a solution in polynomial time
- ▶ To show that $\forall L \in NP : L \propto SAT$ invent a polynomial time algorithm for each polynomial time NDTM, M, which takes as input a string x and produces a Boolean formula E_x which is satisfiable iff M accepts x
- ► See Cook-Levin theorem

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NP-Completeness and Boolean Satisfiability

Coping with NP-Completeness

- What does it mean if a problem is NP-Complete?
 - There is a P time verification algorithm.
 - ► There is a P time algorithm to solve it iff P = NP (?)
 - No one has yet found a P time algorithm to solve any NP-Complete problem
 - So what do we do ?
- Improved exhaustive search Dynamic Programming;
 Branch and Bound
- ► Heuristic methods *acceptable* solutions in *acceptable* time compromise on optimality
- Average time analysis look for an algorithm with good average time — compromise on generality (see Big-O Algorithm Complexity Cheatsheet)
- Probabilistic or Randomized algorithms compromise on correctness

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What Next?

Programming, Debugging, Psychology

Although programming techniques have improved immensely since the early days, the process of finding and correcting errors in programming — known graphically if inelegantly as debugging — still remains a most difficult, confused and unsatisfactory operation. The chief impact of this state of affairs is psychological. Although we are happy to pay lip-service to the adage that to err is human, most of us like to make a small private reservation about our own performance on special occasions when we really try. It is somewhat deflating to be shown publicly and incontrovertibly by a machine that even when we do try, we in fact make just as many mistakes as other people. If your pride cannot recover from this blow, you will never make a programmer.

Christopher Strachey, Scientific American 1966 vol 215 (3) September pp112-124

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What Next?

To err is human?

- To err is human, to really foul things up requires a computer.
- Attributed to Paul R. Ehrlich in 101 Great Programming Quotes
- Attributed to Bill Vaughn in Quote Investigator
- Derived from Alexander Pope (1711, An Essay on Criticism)
- To Err is Humane; to Forgive, Divine
- This also contains

A little learning is a dangerous thing; Drink deep, or taste not the Pierian Spring

In programming, this means you have to read the fabulous manual (RTFM)

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Dates

- Sunday, 4 May 2026 online tutorial TMA03 topics
- Thursday, 22 May 2025 TMA03 due

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Logic

► WFF, WFF'N Proof online

Computability

- Computability
- Computable function
- Decidability (logic)
- ► Turing Machines
- Universal Turing Machine
- ► Turing machine simulator
- ► Lambda Calculus
- Von Neumann Architecture
- ► Turing Machine XKCD 205 Candy Button Paper
- ► Turing Machine XKCD 505 A Bunch of Rocks
- RIP John Conway Why can Conway's Game of Life be classified as a universal machine?
- Phil Wadler Bright Club on Computability
- ▶ Bridges: Theory of Computation: Halting Problem
- ► Bridges: Theory of Computation: Other Non-computable Problems

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- Complexity class
- ► NP complexity
- ► NP complete
- Reduction (complexity)
- P versus NP problem
- Graph of NP-Complete Problems

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