# M269 Revision 2019 Exam 2017J

Phil Molyneux

25 May 2019

#### M269 Revision 2019

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Agenda

Adobe Connect

M269 17J Exam

Units 1 & 2

Units 3, 4 & !

Inits 6 & 7

Part 2

Soln Part 2

Evam Reminder

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## M269 Fxam Revision

### Agenda & Aims

- 1. Welcome and introductions
- 2. Revision strategies
- 3. M269 Exam Part 1 has 15 questions 65%
- 4. M269 Exam Part 2 has 2 questions 35%
- 5. M269 Exam 3 hours, Part 1 80 mins, Part 2 90 mins
- 6. M269 2017J exam (June 2018)
- 7. Topics and discussion for each question
- 8. Exam techniques
- These slides and notes are at http://www.pmolyneux. co.uk/OU/M269/M269ExamRevision/

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Introductions M269 Exam 2017J

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## M269 Exam Revision

Introductions & Revision strategies

- Introductions
- What other exams are you doing this year ?
- Each give one exam tip to the group

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Introductions

Introductions

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### M269 Exam

### Presentation 2016J

- Not examined this presentation:
- ► Unit 4, Section 2 String search
- Unit 7, Section 2 Logic Revisited
- Unit 7, Section 4 Beyond the Limits

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Introductions
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M269 17J Exam

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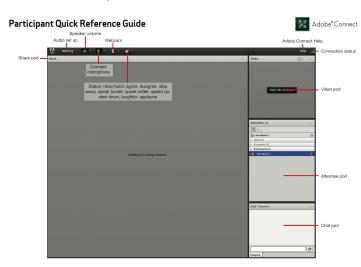
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### Interface — Student Quick Reference



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Adobe Connect

### Student View

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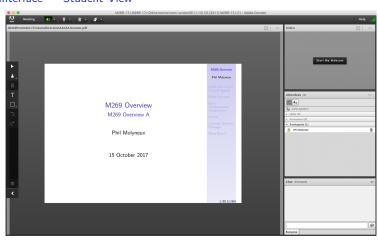
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### Interface — Student View



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### Settings

- Everybody: Audio Settings Meeting Audio Setup Wizard...
- ► Audio Menu bar Audio Microphone rights for Participants ✓
- Do not *Enable single speaker mode*
- ▶ Drawing Tools Share pod menu bar Draw (1 slide/screen)
- ► Share pod menu bar Menu icon Enable Participants to draw ✓ gray
- ► Meeting Preferences Whiteboard Enable Participants to draw
- Cancel hand tool
- Do not enable green pointer...
- Meeting Preferences Attendees Pod Disable Raise Hand notification
- Cursor Meeting Preferences General tab Host Cursors

  Show to all attendees ✓ (default Off)
- Meeting Preferences Screen Share Cursor Show Application Cursor
- ► Webcam Menu bar Webcam Enable Webcam for Participants ✓
- ► Recording Meeting Record Meeting... ✓

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#### Access

- Tutor Access
- TutorHome M269 Website Tutorials
- Cluster Tutorials M269 Online tutorial room
- Tutor Groups M269 Online tutor group room
- Module-wide Tutorials M269 Online module-wide room
- Attendance

TutorHome Students View your tutorial timetables

- ► Beamer Slide Scaling 440% (422 x 563 mm)
- ► Clear Everyone's Status

Attendee Pod Menu Clear Everyone's Status

Grant Access

Meeting Manage Access & Entry Invite Participants... and send link via email

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### Keystroke Shortcuts

- Keyboard shortcuts in Adobe Connect
- ► Toggle Mic # + M (Mac), Ctrl + M (Win) (On/Disconnect)
- ► Toggle Raise-Hand status # + E
- ► Close dialog box (Mac), Esc (Win)
- ► End meeting #+\\

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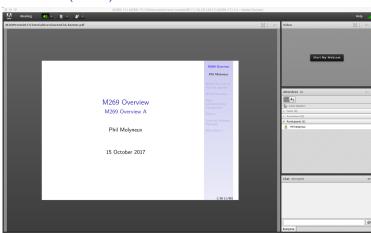
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Student View (default)



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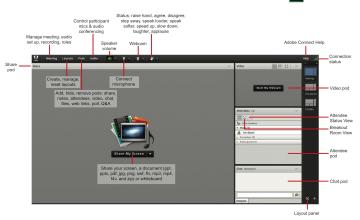
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Student & Tutor Views

#### **Tutor View**

#### Host Quick Reference Guide





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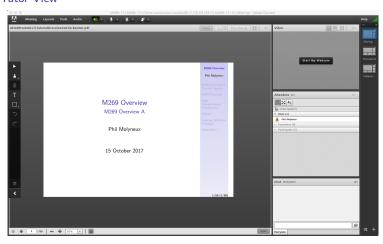
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### **Tutor View**



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Sharing Screen & Applications

- Share My Screen Application tab Terminal for Terminal
- Share menu Change View Zoom in for mismatch of screen size/resolution (Participants)
- Leave the application on the original display
- Beware blued hatched rectangles from other (hidden) windows or contextual menus
- Presenter screen pointer affects viewer display beware of moving the pointer away from the application
- First time: System Preferences Security & Privacy Privacy
  Accessibility

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Sharing Screen & Applications

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### **Ending a Meeting**

Notes for the tutor only

► Student: Meeting Exit Adobe Connect

Tutor:

► Recording Meeting Stop Recording ✓

► Remove Participants Meeting End Meeting... ✓

Dialog box allows for message with default message:

The host has ended this meeting. Thank you for attending.

▶ Recording availability In course Web site for joining the room, click on the eye icon in the list of recordings under your recording — edit description and name

Meeting Information Meeting Manage Meeting Information
 — can access a range of information in Web page.

► Attendance Report see course Web site for joining room

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Vhite Slide

M269 Algorithms, Data Structures and Computability

- Presentation 2017J Exam
- ▶ Date Thursday, 7 June 2018 Time 10:00–13:00
- ► There are **TWO** parts to this examination. You should attempt all questions in **both** parts
- ► Part 1 carries 65 marks 80 minutes
- ▶ Part 2 carries 35 marks 90 minutes
- Note see the original exam paper for exact wording and formatting — these slides and notes may change some wording and formatting
- ▶ **Note** The 2015J exam and before had Part 1 with 60 marks (100 minutes), Part 2 with 40 marks (70 minutes)

- Answer every question in this part.
- ► The marks for each question are given below the question number.
- Answers to questions in this Part should be written on this paper in the spaces provided, or in the case of multiple-choice questions you should tick the appropriate box(es).
- If you tick more boxes than indicated for a multiple choice question, you will receive no marks for your answer to that question.
- ▶ Use the provided answer books for any rough working.

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M269 17J Exam Exam Qs Part 1

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xam Reminders

- Unit 1 Introduction
- Computation, computable, tractable
- Introducing Python
- What are the three most important concepts in programming?
  - 1.
  - 2
  - 3.

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17/153 (17/164)

- Unit 1 Introduction
- Computation, computable, tractable
- ► Introducing Python
- What are the three most important concepts in programming?
  - 1. Abstraction
  - 2.
  - 3.

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# M269 Specimen Exam

Unit 1 Topics, Q1, Q2

- Unit 1 Introduction
- Computation, computable, tractable
- Introducing Python
- What are the three most important concepts in programming?
  - 1. Abstraction
  - 2. Abstraction
  - 3.

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xam Reminder

Unit 1 Topics, Q1, Q2

- Unit 1 Introduction
- Computation, computable, tractable
- Introducing Python
- What are the three most important concepts in programming?
  - 1. Abstraction
  - 2. Abstraction
  - 3. Abstraction
- ► Quote from Paul Hudak (1952–2015)

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A. An Abstract Data Type is the definition of a data structure in terms of the pre- and postconditions on the data structure.

- B. A more complex algorithm will always take more time to execute than a less complex one.
- C. Abstraction as modelling involves two layers the interface and the implementation.
- D. A problem is computable if it is possible to build an algorithm which solves any instance of the problem in a finite number of steps.

▶ Go to Soln 1

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Exam Remind

- A. An Abstract Data Type is the definition of a data structure in terms of the pre- and postconditions on the data structure. **No** *ADT* defined by operations that may be performed on it and the pre- and postconditions on the operations
- B. A more complex algorithm will always take more time to execute than a less complex one. **No** *The less complex one could have a bigger problem*
- C. Abstraction as modelling involves two layers the interface and the implementation. No Models represent reality in sufficient detail
- D. A problem is computable if it is possible to build an algorithm which solves any instance of the problem in a finite number of steps. **Yes**

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- ► The general idea of abstraction as modelling can be shown with the following diagram.
- The picture in the top is of a Ford Anglia in the real world, and the picture in the bottom is of a Matchbox model of a Ford Anglia.



Complete the diagram by adding an appropriate label in the space indicated by A and one in the space indicated by B.



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### Soln 2

- ► A (Model) ignores detail of
- ▶ **B** (Actual car) represented by





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Unit 1 Introduction

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#### Q 4 Soln 4

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### Q Part 2

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# M269 Specimen Exam

Unit 2 Topics, Q3, Q4

- Unit 2 From Problems to Programs
- Abstract Data Types
- ▶ Pre and Post Conditions
- Logic for loops

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22/153 (25/164)

# Example Algorithm Design

### Searching

- Given an ordered list (xs) and a value (val), return
  - Position of val in xs or
  - Some indication if val is not present
- Simple strategy: check each value in the list in turn
- Better strategy: use the ordered property of the list to reduce the range of the list to be searched each turn
  - ► Set a range of the list
  - If val equals the mid point of the list, return the mid point
  - Otherwise half the range to search
  - If the range becomes negative, report not present (return some distinguished value)

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# Example Algorithm Design

Binary Search Iterative

```
def binarySearchIter(xs, val):
      10 = 0
      hi = len(xs) - 1
3
      while lo <= hi:
5
        mid = (lo + hi) // 2
6
        guess = xs[mid]
9
        if val == guess:
10
          return mid
        elif val < guess:
11
12
          hi = mid - 1
13
        else:
14
          lo = mid + 1
      return None
16
```

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### Binary Search Recursive

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```
def binarySearchRec(xs, val, lo=0, hi=-1):
      if (hi == -1):
        hi = len(xs) - 1
3
      mid = (lo + hi) // 2
5
      if hi < lo:
7
        return None
      else:
9
        guess = xs[mid]
10
        if val == guess:
11
12
          return mid
        elif val < guess:
13
14
          return binarySearchRec(xs, val, lo, mid-1)
        else:
15
          return binarySearchRec(xs, val, mid+1, hi)
```

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Example Algorithm Design

- Searching

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Binary Search Recursive — Solution

```
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101]
binarySearchRec(xs, 67)
xs = Highlight the mid value and search range
binarySearchRec(xs,25,??,??)
xs = Highlight the mid value and search range
binarySearchRec(xs,25,??,??)
xs = Highlight the mid value and search range
binarySearchRec(xs,25,??,??)
xs = Highlight the mid value and search range
Return value: ??
```

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XS = Highlight the mid value and search range
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binarySearchRec(xs,25,??,??)
XS = Highlight the mid value and search range
Return value: ??
```

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Binary Search Recursive — Solution

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xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101]
binarySearchRec(xs, 67)
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101]
binarySearchRec(xs,67,8,14) by line 15
xs = Highlight the mid value and search range
binarySearchRec(xs,25,??,??)
xs = Highlight the mid value and search range
binarySearchRec(xs,25,??,??)
xs = Highlight the mid value and search range
Return value: ??
```

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Binary Search Recursive — Solution

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xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs, 67) xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,14) by line 15 xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,25,??,??) xs = Highlight the mid value and search range binarySearchRec(xs,25,??,??) xs = Highlight the mid value and search range Return value: ??
```

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Binary Search Recursive — Solution

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xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs, 67) 
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xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,10) by line 13 
xs = Highlight the mid value and search range binarySearchRec(xs,25,??,??) 
xs = Highlight the mid value and search range 
Return value: ??
```

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Binary Search Recursive — Solution

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xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs, 67) xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,14) by line 15 xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,10) by line 13 xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,25,??,??) xs = Highlight the mid value and search range Return value: ??
```

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Binary Search Recursive — Solution

```
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs, 67) 
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,14) by line 15 
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,10) by line 13 
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,8) by line 13 
xs = Highlight the mid value and search range 
Return value: ??
```

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Binary Search Recursive — Solution

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## Divide and Conquer

Binary Search Recursive — Solution

```
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs, 67)
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,14) by line 15
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,10) by line 13
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] binarySearchRec(xs,67,8,8) by line 13
xs = [12,16,17,24,41,49,51,62,67,69,75,80,89,97,101] Return value: 8 by line 11
```

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## Example Algorithm Design

Binary Search Iterative — Miller & Ranum

```
def binarySearchIterMR(alist, item):
      first = 0
2
      last = len(alist)-1
3
      found = False
      while first <= last and not found:
6
        midpoint = (first + last)//2
        if alist[midpoint] == item:
          found = True
9
10
        else:
          if item < alist[midpoint]:</pre>
11
12
             last = midpoint - 1
          else:
13
14
             first = midpoint+1
      return found
16
```

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```
def binarySearchRecMR(alist, item):
      if len(alist) == 0:
        return False
      else:
        midpoint = len(alist)//2
        if alist[midpoint] == item:
          return True
        else:
          if item<alist[midpoint]:</pre>
9
            return binarySearchRecMR(alist[:midpoint],item)
10
11
          else:
            return binarySearchRecMR(alist[midpoint+1:],item) 13
12
```

```
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```

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```
[2,16,17,25,31,39,41,52,67,69,77,83,89,91,99]
```

- For each pass of the algorithm, draw a box around the items in the partition to be searched during that pass, continuing for as many passes as you think are needed.
- ▶ We have done the first pass for you showing that the search starts with the whole list. Draw your boxes below for each pass needed; you may not need to use all the lines below. (The question had 8 rows)

```
(Pass 1) [2,16,17,25,31,39,41,52,67,69,77,83,89,91,99]
(Pass 2) [2,16,17,25,31,39,41,52,67,69,77,83,89,91,99]
(Pass 3) [2,16,17,25,31,39,41,52,67,69,77,83,89,91,99]
```

▶ Go to Soln 3

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#### Soln 3

▶ The complete binary search:

```
(Pass 1) [ 2,16,17,25,31,39,41,52,67,69,77,83,89,91,99 ]
(Pass 2) [ 2,16,17,25,31,39,41 ,52,67,69,77,83,89,91,99 ]
(Pass 3) [2,16,17,25,31,39,41 ,52,67,69,77,83,89,91,99 ]
(Pass 4) [2,16,17,25,31,39,41 ,52,67,69,77,83,89,91,99 ]
```

→ Go to Q 3

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```
while not (x \ge 2 \text{ or } y \le 2) \text{ or } (x \le 2 \text{ and } y \ge 2):
```

► Complete the following truth table, where:

P represents x < 2Q represents y > 2

Р	Q	$\neg P$	$\neg Q$	$\neg P \lor \neg Q$	$\neg(\neg P \lor \neg Q)$	$P \wedge Q$	$\neg(\neg P \vee \neg Q) \vee (P \wedge Q)$
F	F						
F	T						
T	F						
Т	Т						

Q 4 continued on next slide

▶ Go to Soln 4

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- ▶ Use the results from your truth table to choose which one of the following expressions could be used as the simplest equivalent to the above guard. (Tick **one** box.)
- A. **not** (x < 2 and y > 2)
- B. (x >= 2 or y <= 2)
- C. (x < 2 and y > 2)
- D. (x >= 2 and y <= 2)
- E. (x < 2 and y <= 2)

▶ Go to Soln 4

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Soln Part 2

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#### Soln 4

P	Q	$\neg P$	$\neg Q$	$\neg P \lor \neg Q$	$\neg(\neg P \lor \neg Q)$	$P \wedge Q$	$\neg(\neg P \lor \neg Q) \lor (P \land Q)$
F	F	Т	T	Т	F	F	F
F	Т	Т	F	Т	F	F	F
Т	F	F	T	T	F	F	F
Т	Т	F	F	F	Т	T	Т

- ► The equivalent expression is C.
- ► Soln 4 continued on next slide

▶ Go to Q 4

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- A. not (x < 2 and y > 2)
  - $\rightarrow$  not P and not Q
- B. (x >= 2 or y <= 2)
  - $\rightarrow$  not P or not Q
  - C.  $(x < 2 \text{ and } y > 2) \rightarrow P \text{ and } Q$
- D. (x >= 2 and y <= 2)
  - $\rightarrow$  not P and not Q

  - E. (x < 2 and y <= 2)
    - $\rightarrow P$  and not Q
  - ▶ not (not P or not Q) or (P and Q)
    - $\rightarrow$  (P and Q) or (P and Q)
    - $\rightarrow$  (P and Q)

Soln 4

## M269 Specimen Exam

Unit 3 Topics, Q5, Q6

- Unit 3 Sorting
- Elementary methods: Bubble sort, Selection sort, Insertion sort
- Recursion base case(s) and recursive case(s) on smaller data
- Quicksort, Merge sort
- Sorting with data structures: Tree sort, Heap sort
- See sorting notes for abstract sorting algorithm

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Unit 3 Sorting

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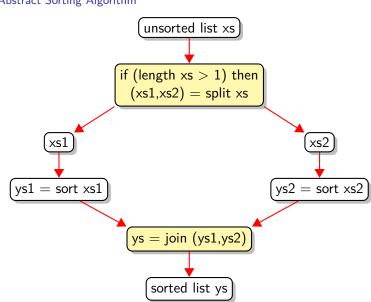
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Exam Reminders 35/153 (46/164)

## Unit 3 Sorting

Abstract Sorting Algorithm



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Units 1 & 2

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36/153 (47/164)

### Unit 3 Sorting

Sorting Algorithms

Using the Abstract sorting algorithm, describe the split and *join* for:

- Insertion sort.
- Selection sort
- Merge sort
- Quicksort
- Bubble sort (the odd one out)

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Unit 3 Sorting

37/153 (48/164)

## M269 Specimen Exam

Unit 4 Topics, Q7, Q8

- Unit 4 Searching
- String searching: Quick search Sunday algorithm, Knuth-Morris-Pratt algorithm
- ► Hashing and hash tables
- Search trees: Binary Search Trees
- ► Search trees: Height balanced trees: AVL trees

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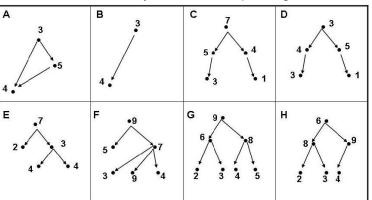
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Soln Part 2

Exam Reminders 38/153 (49/164)

Q 5 (4 marks)

Consider the diagrams in A–H, where nodes are represented by black dots and edges by arrows. The numbers are the keys for the corresponding nodes.



Q 5 continued on next slide

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Q 7 Soln 7

Q 8

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Q 9 Soln 9

Q 10 Soln 10

Units 6 & 7

Q Part 2

Soln Part 2

Exam Reminders .... 39/153 (50/164)

▶ Go to Soln 5

Q 5

- ▶ On each line, write one or more letters, or write *None*.
- (a) Which of **A**, **B**, **C** and **D**, if any, are **not** a tree?
- (b) Which of **E**, **F**, **G** and **H**, if any, are binary trees?
- (c) Which of **C**, **D**, **G** and **H**, if any, are complete binary trees?
- (d) Which of **C**, **D**, **G** and **H**, if any, are not a heap?



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Exam Reminders .... 41/153 (52/164)

(a) Which of A, B, C and D, if any, are not a tree?A is not a tree since 4 has two parents

(b) Which of E, F, G and H, if any, are binary trees?
 E, G and H — F is not a binary tree since 7 has three sub-trees — note E has duplicate nodes

(c) Which of **C**, **D**, **G** and **H**, if any, are complete binary trees?

 ${f G}$  and  ${f H}$  —  ${f E}$  is not a complete binary tree since the last level is not filled from left to right

(d) Which of C, D, G and H, if any, are not a heap?
 C (since not a complete binary tree), D (since misses both properties), H (since does not have ordering property)

```
def variance(aList):
        n = len(aList)
        total = 0
3
        for item in aList:
            total = total + item
        mean = total / n
6
        ssdev = 0
        for item in aList:
8
9
            deviation = item - mean
            ssdev = ssdev + (deviation * deviation)
10
        var = ssdev / n
11
12
        return var
```

Q 6 continued on next slide

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42/153 (53/164)

You may assume that a step (i.e. the basic unit of computation) is the assignment statement.

(Tick **one** box for T(n) and **one** box for Big-O complexity.)

A. 
$$T(n) = 2n + 5$$
 i.  $O(n)$ 

B. 
$$T(n) = 3n + 5$$
 ii.  $O(2n)$ 

C. 
$$T(n) = 3n + 6$$
 iii.  $O(3n)$ 

D. 
$$T(n) = n^2 + 5$$
 iv.  $O(n^2)$ 

E. 
$$T(n) = 3n^2 + 6$$
 v.  $O(3n^2)$ 

Explain how you arrived at T(n) and the associated Big-O

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Soln Part 2

Exam Reminders (54/164)



#### Soln 6

- Options B and i
- There are two loops (not nested) with 3 assignments which contribute 3n to T(n)
- ▶ The remainder of the code has 5 assignments
- ▶ Hence T(n) = 3n + 5
- ▶ and complexity is O(n) from the leading term



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/ Part 2

Soln Part 2

... 44/153 (55/164)

A. Hash tables store unique (i.e. non-duplicate) keys in an arbitrary order and are therefore an implementation of the Set ADT.

- B. A hash function maps a value to a key in the table.
- C. The higher the load factor on a hash table, the higher the risk of collisions.
- Linear Probing is a chaining technique designed to resolve collisions.

▶ Go to Soln 7

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Soln Part 2

Exam Reminders

45/153 (56/164)

Q 7 (contd)

(b) Calculate the load factor for the hash table below. Show your working.

	Alice			Nisha	Bob				Ali		
0	1	2	3	4	5	6	7	8	9	10	11

▶ Go to Soln 7

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46/153 (57/164)

- A. Hash tables store unique (i.e. non-duplicate) keys in an arbitrary order and are therefore an implementation of the Set ADT. No the order is not arbitrary, it is a result of the hash function and any collision resolution
- B. A hash function maps a value to a key in the table. No
   a hash function maps values to integer indices of a table, but that position may be occupied.
- C. The higher the load factor on a hash table, the higher the risk of collisions. Yes — a high load factor means a high proportion of the hash table is occupied
- D. Linear Probing is a chaining technique designed to resolve collisions. No — Linear probing and chaining are different techniques
- (b) The load factor is 4/12 or 0.3333

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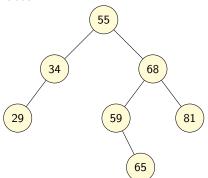
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Soln Part 2

xam Reminders ... 47/153 (58/164)

Q 8 (4 marks)

In the following binary search tree, label each node with its balance factor.



Would this tree need to be rebalanced to be a valid AVL tree? Explain your answer.

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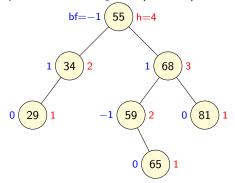
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Q 8

48/153 (59/164)

#### Soln 8

▶ Binary tree with balance factors and heights — note: here empty trees have height 0 (not -1)



► The tree would not need rebalancing to be an AVL tree — the tree is a binary search tree and every node has balance factor in the range {-1,0,+1}

→ Go to Q 8

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Exam Reminders

49/153 (60/164)

## M269 Specimen Exam

Unit 5 Topics, Q9, Q10

- Unit 5 Optimisation
- Graphs searching: DFS, BFS
- Distance: Dijkstra's algorithm
- Greedy algorithms: Minimum spanning trees, Prim's algorithm
- Dynamic programming: Knapsack problem, Edit distance
- See Graphs Tutorial Notes

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Exam Reminders 50/153 (61/164)

► The nodes represent the reservoirs, water treatment centres and consumers (homes, factories, etc.).

► The directed edges represent the water pipes, showing the flow of water, from the reservoirs to the consumers, via the treatment centres.

► The edge weights indicate the maximum flow (in cubic metres per second) of the pipes.

► Complete the following statements, and include in the justification any assumptions you make.

► For a typical water distribution network, the graph is (choose from CYCLIC/ACYCLIC) because:

▶ and it is (*choose from SPARSE/DENSE*) because:

▶ Go to Soln 9

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Exam Reminders 51/153 (62/164)

#### Soln 9

- The network is acyclic since water does not return to the sources (in this network) — no mention is made of waste water and sewerage collection and recycling.
- A *sparse* network since most nodes are only connected to one other node.



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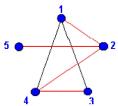
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Soln Part 2

Exam Reminders 52/153 (63/164)

Q 10 (4 marks)

Consider the following undirected graph:



Complete the table below to show **one** order in which the vertices of the above graph could be visited in a **Breadth** First Search (BFS) starting at vertex 3:

Vertices visited	3					
------------------	---	--	--	--	--	--

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Q 10

53/153 (64/164)

Soln 10

Possible answers:

Vertices visited	3	1	4	2	5
Vertices visited	3	4	1	2	5

▶ Go to Q 10

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54/153 (65/164)

# M269 Specimen Exam

Q11 Topics

- ► Unit 6
- Sets
- Propositional Logic
- ► Truth tables
- Valid arguments
- ► Infinite sets

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Propositional Logic

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Ex 55/153 (66/164)

Q 11 (4 marks)

- ▶ In propositional logic, what does it mean to say that a well-formed formula is *contingent*?
- ▶ Is the well-formed formula  $(P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$  contingent? Explain.

→ Go to Soln 11

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#### Soln 11

➤ A WFF is contingent if it is true in some interpretations and false in others — a tautology is true in every interpretation, a contradiction is false in every interpretation.

$$ightharpoonup (P o Q) o (\neg Q o \neg P)$$
 is a tautology

$$\equiv \neg (\neg P \lor Q) \lor (\neg \neg Q \lor \neg P)$$
 by rewriting  $\rightarrow$ 

$$\equiv \neg (\neg P \lor Q) \lor (\neg P \lor Q)$$
 by negation and commutativity

#### ■ True by negation

P	Q	$(P \rightarrow Q)$	$(\neg Q \rightarrow \neg P)$	$(P  o Q)  o (\neg Q  o \neg P)$
T	Т	Т	Т	Т
Т	F	F	F	Т
F	Т	Т	Т	Т
F	F	Т	T	Т

▶ Go to Q 11

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Ex 57/153 (68/164)

# M269 Specimen Exam

Q12 Topics

- ► Unit 6
- Predicate Logic
- ► Translation to/from English
- Interpretations

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Ex-58/153 (69/164)

Q 12 (6 marks)

- Consider the following particular interpretation \( \mathcal{I} \) for predicate logic allowing facts to be expressed about people and the computer games they own and play.
- The domain of individuals is  $\mathcal{D} = \{ \text{Jane, John, Saira,} \}$ Gran Turismo, Kessen, Pacman, The Sims, Pop Idol $\}$ .
- The constants jane, john, saira, gran\_turismo, kessen, pacman, the\_sims and pop\_idol are assigned to the corresponding individuals.
- Q 12 continued on next slide

▶ Go to Soln 12

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Ex 59/153 (70/164)

- Two predicate symbols are assigned binary relations as follows:
- $\mathcal{I}(owns) = \{(Jane, Gran Turismo), (Jane, Kessen), (John, Pacman), (John, The Sims), (John, Pop Idol), (Saira, Pop Idol), (Saira, Kessen)\}$
- ► I(has\_played) = {(Jane, Gran Turismo), (Jane, Pop Idol), (Jane, Kessen), (John, The Sims), (John, Pop Idol), (Saira, Gran Turismo), (Saira, The Sims)}
- Q 12 continued on next slide

→ Go to Soln 12

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Q 11

Predicate Logic

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Ex 60/153 (71/164)

(a) Consider the sentence in English: Jane owns all the games she has played.

Which **one** of these well-formed formulae is a translation of the sentence into predicate logic?

- A.  $\forall X.(owns(jane, X) \rightarrow has\_played(jane, X))$
- B.  $\forall X.(has\_played(jane, X) \rightarrow owns(jane, X))$
- C.  $\forall X.(has\_played(jane, X) \land owns(jane, X))$
- Q 12 continued on next slide

▶ Go to Soln 12

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Propositional Logic

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Predicate Logic

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Ex 61/153 (72/164)

► This formula is (*choose from TRUE/FALSE*), under the interpretation given on the previous page.

Explain why in the box below.

You need to consider any relevant values for the variables, and show, using the domain and interpretation on the previous page, whether they make the formula TRUE or FALSE.

In your explanation, make sure that you use formal notation.

For example, instead of stating John doesn't own Kessen you need to write (John, Kessen)  $\notin \mathcal{I}(owns)$ 

▶ Go to Soln 12

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E×62/153 (73/164)

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#### Soln 12

- (a) Jane owns all the games she has played means
  If Jane has played X then Jane owns X
  so the answer is
  - B.  $\forall X.(has\_played(jane, X) \rightarrow owns(jane, X))$
  - ▶ A.  $\forall X.(owns(jane, X) \rightarrow has\_played(jane, X))$  means Jane has played all the games she owns
  - ▶ B.  $\forall X.(has\_played(jane, X) \land owns(jane, X))$  means Jane owns all games and has played all of them
  - Soln 12 continued on next slide



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Soln 12

Jane has played

#### True

because Jane has played Gran Turismo but Saira does not own it

▶ (Saira, Gran Turismo)  $\notin \mathcal{I}(owns)$  $\land$  (Jane, Gran Turismo)  $\in \mathcal{I}(has\_played)$ 

Ex 64/153 (75/164)

# M269 Specimen Exam

Q13 Topics

- ► Unit 6
- ► SQL queries

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Ex 65/153 (76/164)

### M269 2017J Exam

Q 13 (6 marks)

► A database contains the following tables:

#### oilfield

name	production	
Warga	3	
Lolli	5	
Tolstoi	0.5	
Dakhun	2	
Sugar	3	

Q 13 continued on next slide

#### operator

•		
field		
Warga		
Lolli		
Tolstoi		
Dakhun		
Sugar		

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Soln Part 2

Ex 66/153 (77/164)

Q 13 (contd)

(a) For the following SQL query, give the table returned by the query.

```
SELECT name, company
FROM oilfield CROSS JOIN operator
WHERE name = field;
```

- ▶ Write the question that the above query is answering.
- Q 13 continued on next slide

► Go to Soln 13

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# M269 2017J Exam

Q 13 (contd)

(b) Write an SQL query that answers the question What is the name and the operating company of each oil field operated by Bratape?

Your query should return the following table.

company	field
Bratape	Lolli
Bratape	Sugar

▶ Go to Soln 13

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Ex 68/153 (79/164)

## M269 2017J Exam

Soln 13

```
SELECT name, company
FROM oilfield CROSS JOIN operator
WHERE name = field;
```

► Table returned by the query

Warga	Amarco
Lolli	Bratape
Tolstoi	Rosbif
Dakhun	Taqar
Sugar	Bratape

► SQL for What is the name and the operating company of each oil field operated by Bratape?

```
SELECT company, field
FROM operator
WHERE company = 'Bratape'
```



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Ex 69/153 (80/164)

# M269 Specimen Exam

Q14 topics

- ▶ Unit 7
- Proofs
- Natural deduction

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Ex-70/153 (81/164)

### Logic

#### Logicians, Logics, Notations

- ▶ A plethora of logics, proof systems, and different notations can be puzzling.
- Martin Davis, Logician When I was a student, even the topologists regarded mathematical logicians as living in outer space. Today the connections between logic and computers are a matter of engineering practice at every level of computer organization
- Various logics, proof systems, were developed well before programming languages and with different motivations,

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Ex71/153 (82/164)

### Logic

#### Logic and Programming Languages

- Turing machines, Von Neumann architecture and procedural languages Fortran, C, Java, Perl, Python, JavaScript
- Resolution theorem proving and logic programming Prolog
- Logic and database query languages SQL (Structured Query Language) and QBE (Query-By-Example) are syntactic sugar for first order logic
- ► Lambda calculus and functional programming with Miranda, Haskell, ML, Scala

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► There are two ways to model what counts as a logically good argument:

- ▶ the **semantic** view
- ▶ the **syntactic** view
- ► The notion of a valid argument in propositional logic is rooted in the semantic view.
- ▶ It is based on the semantic idea of interpretations: assignments of truth values to the propositional variables in the sentences under discussion.
- ► A *valid argument* is defined as one that preserves truth from the premises to the conclusions
- ► The syntactic view focuses on the syntactic form of arguments.
- Arguments which are correct according to this view are called *justified arguments*.

# Logical Arguments

Proof Systems, Soundness, Completeness

- Semantic validity and syntactic justification are different ways of modelling the same intuitive property: whether an argument is logically good.
- ► A proof system is *sound* if any statement we can prove (justify) is also valid (true)
- A proof system is *adequate* if any valid (true) statement has a proof (justification)
- ► A proof system that is sound and adequate is said to be complete
- Propositional and predicate logic are complete arguments that are valid are also justifiable and vice versa
- Unit 7 section 2.4 describes another logic where there are valid arguments that are not justifiable (provable)

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 $P_1$ 

Logic

Ex 75/153 (86/164)

Unit 6 defines valid arguments with the notation

- ▶ The argument is *valid* if and only if the value of *C* is True in each interpretation for which the value of each premise  $P_i$  is True for 1 < i < n
- ▶ In some texts you see the notation  $\{P_1, \ldots, P_n\} \models C$
- ► The expression denotes a *semantic sequent* or *semantic* entailment
- The ⊨ symbol is called the double turnstile and is often read as entails or models
- In LaTeX ⊨ and ⊨ are produced from \vDash and \models — see also the turnstile package
- ▶ In Unicode  $\models$  is called *TRUE* and is U+22A8, HTML **&**#8872:

# Logical Arguments

Valid arguments — Tautology

- ▶ The argument  $\{\} \models C$  is valid if and only if C is True in all interpretations
- ▶ That is, if and only if *C* is a tautology
- Beware different notations that mean the same thing
  - ▶ Alternate symbol for empty set:  $\emptyset \models C$
  - ▶ Null symbol for empty set:  $\models C$
  - ► Original M269 notation with null axiom above the line:

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Ex-77/153 (88/164)

▶ Definition 7.1 An argument  $\{P_1, P_2, ..., P_n\} \vdash C$  is a justified argument if and only if either the argument is an instance of an axiom or it can be derived by means of an inference rule from one or more other justified arguments.

Axioms

$$\Gamma \cup \{A\} \vdash A \text{ (axiom schema)}$$

- ► This can be read as: any formula **A** can be derived from the assumption (premise) of {**A**} itself
- The ⊢ symbol is called the turnstile and is often read as proves, denoting syntactic entailment
- In LaTeX ⊢ is produced from \vdash
- In Unicode ⊢ is called RIGHT TACK and is U+22A2, HTML ⊢

### Logic

#### Justified Arguments

- Section 2.3 of Unit 7 (not the Unit 6, 7 Reader) gives the inference rules for →, ∧, and ∨ — only dealing with positive propositional logic so not making use of negation — see List of logic systems
- Usually (Classical logic) have a functionally complete set of logical connectives — that is, every binary Boolean function can be expressed in terms the functions in the set

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Ex 78/153 (89/164)

Inference Rules — Notation

Inference rule notation:

```
\frac{Argument_1 \dots Argument_n}{Argument} \, (\textit{label})
```

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Ex-79/153 (90/164)

Inference Rules — Conjunction

$$\qquad \qquad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \land B} \ (\land \text{-introduction})$$

$$\qquad \qquad \frac{\Gamma \vdash A \land B}{\Gamma \vdash A} \ (\land \text{-elimination left})$$

$$\qquad \qquad \frac{\Gamma \vdash A \land B}{\Gamma \vdash B} \ (\land \text{-elimination right})$$

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E×80/153 (91/164)

Inference Rules — Implication

$$\qquad \qquad \frac{\Gamma \cup \{A\} \vdash B}{\Gamma \vdash A \rightarrow B} \ (\rightarrow \text{-introduction})$$

The above should be read as: If there is a proof (justification, inference) for B under the set of premises, Γ, augmented with A, then we have a proof (justification. inference) of A → B, under the unaugmented set of premises, Γ.

The unaugmented set of premises,  $\Gamma$  may have contained  $\boldsymbol{A}$  already so we cannot assume

$$(\mathbf{\Gamma} \cup \{\mathbf{A}\}) - \{\mathbf{A}\}$$
 is equal to  $\mathbf{\Gamma}$ 

$$\qquad \qquad \frac{\Gamma \vdash A \quad \Gamma \vdash A \to B}{\Gamma \vdash B} \ (\rightarrow \text{-elimination})$$

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Ex 81/153 (92/164)

Inference Rules — Disjunction

$$\qquad \qquad \frac{\Gamma \vdash A}{\Gamma \vdash A \lor B} \text{ ($\vee$-introduction left)}$$

$$\qquad \qquad \frac{\Gamma \vdash B}{\Gamma \vdash A \lor B} \text{ ($\vee$-introduction right)}$$

Disjunction elimination

$$\frac{\Gamma \vdash A \lor B \quad \Gamma \cup \{A\} \vdash C \quad \Gamma \cup \{B\} \vdash C}{\Gamma \vdash C} \text{ ($\lor$-elimination)}$$

The above should be read: if a set of premises  $\Gamma$  justifies the conclusion  $A \vee B$  and  $\Gamma$  augmented with each of A or B separately justifies C, then  $\Gamma$  justifies C

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Ex 82/153 (93/164)

► The syntax of proofs is recursive:

A proof is either an axiom, or the result of applying a rule of inference to one, two or three proofs.

We can therefore represent a proof by a tree diagram in which each node have one, two or three children

▶ For example, the proof of  $\{P \land (P \rightarrow Q)\} \vdash Q$  in Question 4 (in the Logic tutorial notes) can be represented by the following diagram:

$$\frac{\{P \land (P \rightarrow Q)\} \vdash P \land (P \rightarrow Q)}{\{P \land (P \rightarrow Q)\} \vdash P} (\land \text{-E left}) \quad \frac{\{P \land (P \rightarrow Q)\} \vdash P \land (P \rightarrow Q)}{\{P \land (P \rightarrow Q)\} \vdash P \rightarrow Q} (\land \text{-E right})_{\text{logic}} \quad \text{Soliton}_{\text{logic}} \quad \text{Soliton}_{\text{logic}}$$

Ex 83/153 (94/164)

Self-Assessment activity 7.4

▶ Let 
$$\Gamma = \{P \rightarrow R, Q \rightarrow R, P \lor Q\}$$

$$\qquad \qquad \frac{\Gamma \vdash P \lor Q \quad \Gamma \cup \{P\} \vdash R \quad \Gamma \cup \{Q\} \vdash R}{\Gamma \vdash R} \text{ ($\lor$-elimination)}$$

$$\qquad \qquad \frac{\Gamma \cup \{P\} \vdash P \quad \Gamma \cup \{P\} \vdash P \rightarrow R}{\Gamma \cup \{P\} \vdash R} \ (\rightarrow \text{-elimination})$$

$$\qquad \qquad \frac{\Gamma \cup \{Q\} \vdash Q \quad \Gamma \cup \{Q\} \vdash Q \rightarrow R}{\Gamma \cup \{Q\} \vdash R} \ (\rightarrow \text{-elimination})$$

Complete tree layout

$$\begin{array}{c|c} & \Gamma \cup \{P\} & \Gamma \cup \{P\} & \Gamma \cup \{Q\} & \Gamma \cup \{Q\} \\ \hline \\ \bullet & \frac{\vdash P & \vdash P \to R}{\Gamma \cup \{P\} \vdash R} \xrightarrow{(\to -E)} & \frac{\vdash Q & \vdash Q \to R}{\Gamma \cup \{Q\} \vdash R} \xrightarrow{(\lor -E)} \\ \hline \\ \hline \\ \hline \end{array}$$

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Self-assessment activity 7.4 — Linear Layout

- $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \vdash P \lor Q$  $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \cup \{P\} \vdash P$
- $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \cup \{P\} \vdash P \rightarrow R$
- $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \cup \{Q\} \vdash Q$
- 5.  $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \cup \{Q\} \vdash Q \rightarrow R$
- 6.  $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \cup \{P\} \vdash R$
- $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \cup \{Q\} \vdash R$
- 8.  $\{P \rightarrow R, Q \rightarrow R, P \lor Q\} \vdash R$

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[Axiom]

[Axiom]

[Axiom]

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 $[2, 3, \rightarrow -E]$ 

 $[4, 5, \rightarrow -E]$ 

 $[1, 6, 7, \vee -E]$ 

Logic

Ex 85/153 (96/164)

- ► Consider the following decision problems:
- 1. The Equivalence Problem
- 2. Is a given list not empty?
- 3. The Halting Problem
- 4. Is a given binary tree balanced?
- On each line, write one or more of the above problem numbers, or write None.
- ▶ Which problems, if any, are decidable?
- Which problems, if any, are tractable?
- ▶ Which problems, if any, are NP-hard?

Go to Soln 14

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Soln 14

- Decidable: 2. (Empty list), 4. (Balanced binary tree)
- ► Tractable: 2. (Empty list), 4. (Balanced binary tree)
- NP-hard: 3. (Halting problem)

See StackOverflow: Proof that the halting problem is NP-hard?

▶ Go to Q 14

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E×87/153 (98/164)

# M269 Specimen Exam

Q15 Topics

- ▶ Unit 7
- Computability and ideas of computation
- Complexity
- P and NP
- NP-complete

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Computability

Computability

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<sup>Q</sup> 88/153 (99/164)

#### Ideas of Computation

- The idea of an algorithm and what is effectively computable
- Church-Turing thesis Every function that would naturally be regarded as computable can be computed by a deterministic Turing Machine. (Unit 7 Section 4)
- See Phil Wadler on computability theory performed as part of the Bright Club at The Strand in Edinburgh, Tuesday 28 April 2015

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#### Computability

Non-Computabili Halting Problem

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89/153 (100/164)

#### Reducing one problem to another

- ▶ To reduce problem  $P_1$  to  $P_2$ , invent a construction that converts instances of  $P_1$  to  $P_2$  that have the same answer. That is:
  - ▶ any string in the language  $P_1$  is converted to some string in the language  $P_2$
  - any string over the alphabet of  $P_1$  that is not in the language of  $P_1$  is converted to a string that is not in the language  $P_2$
- ightharpoonup With this construction we can solve  $P_1$ 
  - Siven an instance of  $P_1$ , that is, given a string w that may be in the language  $P_1$ , apply the construction algorithm to produce a string x
  - ► Test whether x is in  $P_2$  and give the same answer for w in  $P_1$

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#### Direction of Reduction

- The direction of reduction is important
- If we can reduce  $P_1$  to  $P_2$  then (in some sense)  $P_2$  is at least as hard as  $P_1$  (since a solution to  $P_2$  will give us a solution to  $P_1$ )
- $\blacktriangleright$  So, if  $P_2$  is decidable then  $P_1$  is decidable
- To show a problem is undecidable we have to reduce from an known undecidable problem to it
- $\forall x (\mathsf{dp}_{P_1}(x) = \mathsf{dp}_{P_2}(\mathsf{reduce}(x)))$
- $\triangleright$  Since, if  $P_1$  is undecidable then  $P_2$  is undecidable

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#### Models of Computation

- In automata theory, a problem is the question of deciding whether a given string is a member of some particular language
- ▶ If  $\Sigma$  is an alphabet, and L is a language over  $\Sigma$ , that is  $L \subseteq \Sigma^*$ , where  $\Sigma^*$  is the set of all strings over the alphabet  $\Sigma$  then we have a more formal definition of decision problem
- ▶ Given a string  $w \in \Sigma^*$ , decide whether  $w \in L$
- Example: Testing for a prime number can be expressed as the language  $L_p$  consisting of all binary strings whose value as a binary number is a prime number (only divisible by 1 or itself)

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- physical Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) by a Universal Turing Machine.
- strong Church-Turing thesis Any finite physical system can be simulated (to any degree of approximation) with polynomial slowdown by a Universal Turing Machine.
- ➤ Shor's algorithm (1994) quantum algorithm for factoring integers an NP problem that is not known to be P also not known to be NP-complete and we have no proof that it is not in P

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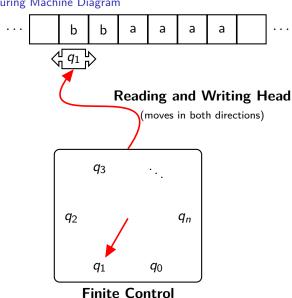
Q 15 Soln 15

Q94/153 (105/164)

- Finite control which can be in any of a finite number of states
- ► Tape divided into cells, each of which can hold one of a finite number of symbols
- Initially, the input, which is a finite-length string of symbols in the input alphabet, is placed on the tape
- All other tape cells (extending infinitely left and right) hold a special symbol called blank
- ► A **tape head** which initially is over the leftmost input symbol
- ► A **move** of the Turing Machine depends on the state and the tape symbol scanned
- A move can change state, write a symbol in the current cell, move left, right or stay

# Turing Machine Diagram

Turing Machine Diagram



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Computability

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- Q finite set of states of the finite control
- $\triangleright$   $\Sigma$  finite set of input symbols (M269 S)
- Γ complete set of *tape symbols* Σ ⊂ Γ
- $\triangleright$   $\delta$  Transition function (M269 instructions, I)  $\delta :: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$  $\delta(q,X) \mapsto (p,Y,D)$
- $\triangleright$   $\delta(q, X)$  takes a state, q and a tape symbol, X and returns (p, Y, D) where p is a state, Y is a tape symbol to overwrite the current cell, D is a direction, Left, Right or Stay
- $ightharpoonup q_0$  start state  $q_0 \in Q$
- ▶ B blank symbol  $B \in \Gamma$  and  $B \notin \Sigma$
- ightharpoonup F set of final or accepting states  $F \subseteq Q$

#### Decidability

- ▶ Decidable there is a TM that will halt with yes/no for a decision problem that is, given a string w over the alphabet of P the TM with halt and return yes.no the string is in the language P (same as recursive in Recursion theory old use of the word)
- ▶ Semi-decidable there is a TM will halt with yes if some string is in P but may loop forever on some inputs (same as recursively enumerable) — Halting Problem
- ► **Highly-undecidable** no outcome for any input *Totality, Equivalence Problems*

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- ▶ Halting problem the problem of deciding, given a program and an input, whether the program will eventually halt with that input, or will run forever term first used by Martin Davis 1952
- ▶ Entscheidungsproblem the problem of deciding whether a given statement is provable from the axioms using the rules of logic shown to be undecidable by Turing (1936) by reduction from the *Halting problem* to it
- ► Type inference and type checking in the second-order lambda calculus (important for functional programmers, Haskell, GHC implementation)
- ► Undecidable problem see link to list

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## Computability

Why undecidable problems must exist

- ► A *problem* is really membership of a string in some language
- ► The number of different languages over any alphabet of more than one symbol is uncountable
- Programs are finite strings over a finite alphabet (ASCII or Unicode) and hence countable.
- ► There must be an infinity (big) of problems more than programs.
- Computational problem defined by a function
- ► Computational problem is computable if there is a Turing machine that will calculate the function.

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## Computability and Terminology (1)

- ► The idea of an *algorithm* dates back 3000 years to Euclid, Babylonians. . .
- ▶ In the 1930s the idea was made more formal: which functions are computable?
- ▶ A function a set of pairs  $f = \{(x, f(x)) : x \in X \land f(x) \in Y\}$  with the function property
- ▶ Function property:  $(a, b) \in f \land (a, c) \in f \Rightarrow b == c$
- ► Function property: Same input implies same output
- ► Note that maths notation is deeply inconsistent here see Function and History of the function concept
- ► What do we mean by computing a function an algorithm ?

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## Computability

Computability and Terminology (2)

- In the 1930s three definitions:
- λ-Calculus, simple semantics for computation Alonzo Church
- ► General recursive functions Kurt Gödel
- ► Universal (Turing) machine Alan Turing
- ► Terminology:
  - ► Recursive, recursively enumerable Church, Kleene
  - Computable, computably enumerable Gödel, Turing
  - ► Decidable, semi-decidable, highly undecidable
  - ▶ In the 1930s, *computers* were *human*
  - ► Unfortunate choice of terminology
- ► Turing and Church showed that the above three were equivalent
- Church-Turing thesis function is intuitively computable if and only if Turing machine computable

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- ► Halting problem is there a program that can determine if any arbitrary program will halt or continue forever ?
- Assume we have such a program (Turing Machine) h(f,x) that takes a program f and input x and determines if it halts or not

```
h(f,x)
= if f(x) runs forever
    return True
    else
       return False
```

► We shall prove this cannot exist by contradiction

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- Now invent two further programs:
- q(f) that takes a program f and runs h with the input to f being a copy of f
- r(f) that runs q(f) and halts if q(f) returns True, otherwise it loops

```
q(f)
  = h(f,f)

r(f)
  = if q(f)
    return
  else
    while True: continue
```

- ▶ What happens if we run r(r) ?
- ► If it loops, q(r) returns True and it does not loop contradiction.

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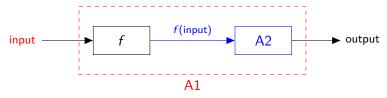
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### Reductions



- ▶ A reduction of problem  $P_1$  to problem  $P_2$ 
  - ightharpoonup transforms inputs to  $P_1$  into inputs to  $P_2$
  - runs algorithm A2 (which solves  $P_2$ ) and
  - ightharpoonup interprets the outputs from A2 as answers to  $P_1$
- More formally: A problem  $P_1$  is *reducible* to a problem  $P_2$  if there is a function f that takes any input x to  $P_1$  and transforms it to an input f(x) of  $P_2$  such that the solution of  $P_2$  on f(x) is the solution of  $P_1$  on x

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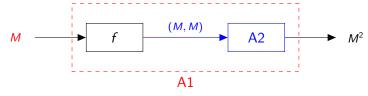
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### Example: Squaring a Matrix



- ightharpoonup Given an algorithm (A2) for matrix multiplication ( $P_2$ )
  - ▶ Input: pair of matrices,  $(M_1, M_2)$
  - lacktriangle Output: matrix result of multiplying  $M_1$  and  $M_2$
- $ightharpoonup P_1$  is the problem of squaring a matrix
  - ► Input: matrix *M*
  - Output: matrix M<sup>2</sup>
- ▶ Algorithm *A*1 has

$$f(M) = (M, M)$$

uses A2 to calculate  $M \times M = M^2$ 

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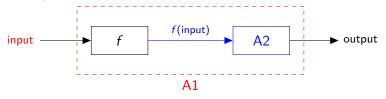
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### Non-Computable Problems



- If  $P_2$  is computable (A2 exists) then  $P_1$  is computable (f being simple or polynomial)
- Equivalently If  $P_1$  is non-computable then  $P_2$  is non-computable
- **Exercise:** show  $B \rightarrow A \equiv \neg A \rightarrow \neg B$

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### Contrapositive

- ► Proof by Contrapositive
- $lackbox{ } B 
  ightarrow A \equiv 
  eg B ee A$  by truth table or equivalences

$$\equiv \neg(\neg A) \lor \neg B$$
 commutativity and negation laws

 $\equiv \neg A \rightarrow \neg B$  equivalences

► Common error: switching the order round

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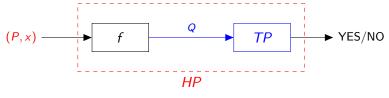
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**Totality Problem** 



- Totality Problem
  - ▶ Input: program *Q*
  - Output: YES if Q terminates for all inputs else NO
- ► Assume we have algorithm *TP* to solve the Totality Problem
- Now reduce the Halting Problem to the Totality Problem

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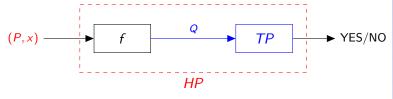
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### **Totality Problem**



Define f to transform inputs to HP to TP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
        P(x)
    return Q
```

- ► Run *TP* on *Q* 
  - ▶ If *TP* returns YES then *P* halts on *x*
  - ▶ If *TP* returns NO then *P* does not halt on *x*
- ▶ We have *solved* the Halting Problem contradiction

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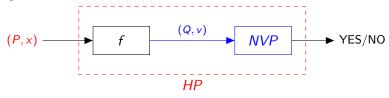
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Negative Value Problem



- Negative Value Problem
  - ▶ Input: program Q which has no input and variable v used in Q
  - Output: YES if v ever gets assigned a negative value else NO
- ► Assume we have algorithm *NVP* to solve the Negative Value Problem
- Now reduce the Halting Problem to the Negative Value Problem

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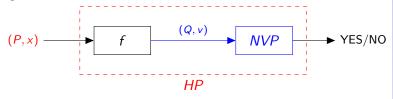
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Negative Value Problem



Define f to transform inputs to HP to NVP pseudo-Python

```
def f(P,x) :
    def Q(y):
        # ignore y
    P(x)
        v = -1
    return (Q,var(v))
```

- Run NVP on (Q, var(v)) var(v) gets the variable name
  - ▶ If *NVP* returns YES then *P* halts on *x*
  - ▶ If *NVP* returns NO then *P* does not halt on *x*
- ▶ We have solved the Halting Problem contradiction

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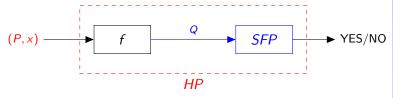
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Squaring Function Problem



- Squaring Function Problem
  - ▶ Input: program Q which takes an integer, y
  - Output: YES if Q always returns the square of y else NO
- ► Assume we have algorithm *SFP* to solve the Squaring Function Problem
- ► Now reduce the Halting Problem to the Squaring Function Problem

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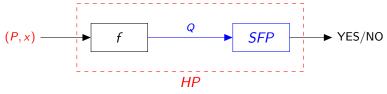
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### Squaring Function Problem



▶ Define *f* to transform inputs to HP to SFP pseudo-Python

```
def f(P,x) :
    def Q(y):
        P(x)
        return y * y
    return Q
```

- ► Run *SFP* on *Q* 
  - ▶ If SFP returns YES then P halts on x
  - ▶ If SFP returns NO then P does not halt on x
- ▶ We have *solved* the Halting Problem contradiction

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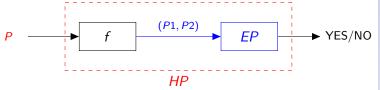
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## Equivalence Problem



- Equivalence Problem
  - ▶ Input: two programs *P*1 and *P*2
  - Output: YES if P1 and P2 solve the ame problem (same output for same input) else NO
- Assume we have algorithm *EP* to solve the Equivalence Problem
- Now reduce the Totality Problem to the Equivalence Problem

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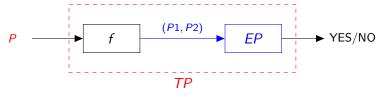
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## Equivalence Problem



Define f to transform inputs to TP to EP pseudo-Python

```
def f(P) :
    def P1(x):
        P(x)
        return "Same_string"
    def P2(x)
        return "Same_string"
    return "Same_string"
    return (P1,P2)
```

- ► Run *EP* on (*P*1, *P*2)
  - ▶ If *EP* returns YES then *P* halts on all inputs
  - ► If *EP* returns NO then *P* does not halt on all inouts
- ▶ We have solved the Totality Problem contradiction

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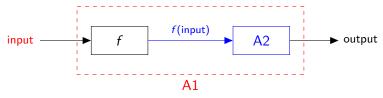
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### Rice's Theorem



- ▶ Rice's Theorem all non-trivial, semantic properties of programs are undecidable. H G Rice 1951 PhD Thesis
- Equivalently: For any non-trivial property of partial functions, no general and effective method can decide whether an algorithm computes a partial function with that property.
- A property of partial functions is called trivial if it holds for all partial computable functions or for none.

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- ► Rice's Theorem and computability theory
- Let S be a set of languages that is nontrivial, meaning
  - there exists a Turing machine that recognizes a language in S
  - there exists a Turing machine that recognizes a language not in S
- Then, it is undecidable to determine whether the language recognized by an arbitrary Turing machine lies in S.
- ► This has implications for compilers and virus checkers
- Note that Rice's theorem does not say anything about those properties of machines or programs that are not also properties of functions and languages.
- ► For example, whether a machine runs for more than 100 steps on some input is a decidable property, even though it is non-trivial.

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A. If a programming language, let's call it PL, is Turing complete, then any computational problem can be solved with a program written in PL.

- B. The Equivalence Problem is not computable.
- C. Problems in the class NP are defined as problems for which it is not known whether they're tractable.
- D. There are non-computable computational problems because: There are more decision problems with the natural numbers as their domain (DPN) than Turing Machines that solve instances of DPN.
- E. The Totality Problem is definitely in the class P.

▶ Go to Soln 15

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Part 2

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- A. False PL, Turing complete programming language can compute anything that is computable but there are some computational problems that are not computable
- B. **True** Equivalence Problem is not computable see Computability notes
- C. **False** The class P is a subset of NP we just do not know whether it is a proper subset or equal
- D. **True** Programs are finite strings over a finite alphabet (ASCII or Unicode) hence countable — however the number of different languages over any alphabet of more than one symbol is uncountable — a problem is really membership of a string in some language
- E. **False** Totality Problem is not computable see Computability notes — so not in the class P



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NP, the set of all decision problems whose solutions can be verified (certificate) in polynomial time

 Equivalently, NP, the set of all decision problems that can be solved in polynomial time on a non-deterministic Turing machine

- ► A decision problem, dp is NP-complete if
  - 1. dp is in NP and
  - Every problem in NP is reducible to dp in polynomial time
- NP-hard a problem satisfying the second condition, whether or not it satisfies the first condition. Class of problems which are at least as hard as the hardest problems in NP. NP-hard problems do not have to be in NP and may not be decision problems

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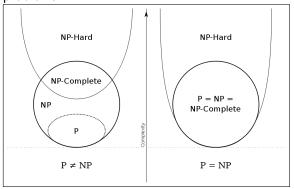
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## Complexity

P and NP — Diagram

Euler diagram for P, NP, NP-complete and NP-hard set of problems



Source: Wikipedia NP-complete entry

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Complexity

NP-Completeness and Boolean Satisfiability

Part 2

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## Complexity

NP-complete problems

- ► Boolean satisfiability (SAT) Cook-Levin theorem
- Conjunctive Normal Form 3SAT
- ► Hamiltonian path problem
- ► Travelling salesman problem
- ▶ NP-complete see list of problems

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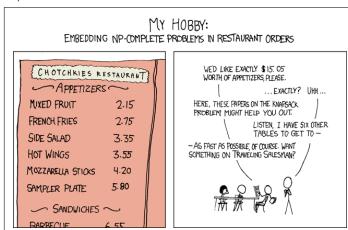
NP-Completeness and Boolean Satisfiability

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## Complexity

### Knapsack Problem



Source & Explanation: XKCD 287

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NP-Completeness and Boolean Satisfiability

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# NP-Completeness and Boolean Satisfiability

Points on Notes

- ► The Boolean satisfiability problem (SAT) was the first decision problem shown to be NP-Complete
- ▶ This section gives a sketch of an explanation
- ► **Health Warning** different texts have different notations and there will be some inconsistency in these notes
- ▶ **Health warning** these notes use some formal notation to make the ideas more precise computation requires precise notation and is about manipulating strings according to precise rules.

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NP-Completeness and Boolean Satisfiability

Q Part 2

124/153 (135/164)

- Notation:
- $\triangleright$   $\Sigma$  is a set of symbols the alphabet
- $\triangleright \Sigma^k$  is the set of all string of length k, which each symbol from  $\Sigma$
- ightharpoonup Example: if  $\Sigma = \{0, 1\}$ 
  - $\Sigma^1 = \{0, 1\}$
  - $\Sigma^2 = \{00, 01, 10, 11\}$
- $ightharpoonup \Sigma^0 = \{\epsilon\}$  where  $\epsilon$  is the empty string
- $\triangleright$   $\Sigma^*$  is the set of all possible strings over  $\Sigma$
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
- $\triangleright$  A Language, L, over  $\Sigma$  is a subset of  $\Sigma^*$
- $ightharpoonup L \subset \Sigma^*$

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NP-Completeness and Boolean Satisfiability

125/153 (136/164)

Language Accepted by a Turing Machine

- Language accepted by Turing Machine, M denoted by L(M)
- ▶ L(M) is the set of strings  $w \in \Sigma^*$  accepted by M
- ▶ For Final States  $F = \{Y, N\}$ , a string  $w \in \Sigma^*$  is accepted by  $M \Leftrightarrow$  (if and only if) M starting in  $q_0$  with w on the tape halts in state Y
- Calculating a function (function problem) can be turned into a decision problem by asking whether f(x) = y

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NP-Completeness and Boolean Satisfiability

Part 2

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### The NP-Complete Class

- ▶ If we do not know if  $P \neq NP$ , what can we say ?
- ► A language *L* is *NP-Complete* if:
  - $ightharpoonup L \in \mathsf{NP}$  and
  - ▶ for all other  $L' \in NP$  there is a polynomial time transformation (Karp reducible, reduction) from L' to L
- ▶ Problem  $P_1$  polynomially reduces (Karp reduces, transforms) to  $P_2$ , written  $P_1 \propto P_2$  or  $P_1 \leq_p P_2$ , iff  $\exists f : \mathsf{dp}_{P_1} \to \mathsf{dp}_{P_2}$  such that

  - f can be computed in polynomial time

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NP-Completeness and Boolean Satisfiability

Q Part 2

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The NP-Complete Class (2)

- $\blacktriangleright$  More formally,  $L_1 \subseteq \Sigma_1^*$  polynomially transforms to  $L_2 \subseteq \Sigma_2^*$ , written  $L_1 \propto L_2$  or  $L_1 \leq_p L_2$ , iff  $\exists f : \Sigma_1^* \to \Sigma_2^*$ such that
  - $\forall x \in \Sigma_1^* [x \in L_1 \Leftrightarrow f(x) \in L_2]$
  - There is a polynomial time TM that computes f
- ▶ Transitivity If  $L_1 \propto L_2$  and  $L_2 \propto L_3$  then  $L_1 \propto L_3$
- ▶ If L is NP-Hard and  $L \in P$  then P = NP
- ▶ If L is NP-Complete, then  $L \in P$  if and only if P = NP
- ▶ If  $L_0$  is NP-Complete and  $L \in \mathbb{NP}$  and  $L_0 \propto L$  then L is NP-Complete
- Hence if we find one NP-Complete problem, it may become easier to find more
- ► In 1971/1973 Cook-Levin showed that the Boolean satisfiability problem (SAT) is NP-Complete

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NP-Completeness and Boolean Satisfiability

128/153 (139/164)

The Boolean Satisfiability Problem

- A propositional logic formula or Boolean expression is built from variables, operators: AND (conjunction, ∧), OR (disjunction, ∨), NOT (negation, ¬)
- A formula is said to be *satisfiable* if it can be made True by some assignment to its variables.
- The Boolean Satisfiability Problem is, given a formula, check if it is satisfiable.
  - ► *Instance:* a finite set *U* of Boolean variables and a finite set *C* of clauses over *U*
  - ▶ Question: Is there a satisfying truth assignment for C?
- ► A *clause* is is a disjunction of variables or negations of variables
- Conjunctive normal form (CNF) is a conjunction of clauses
- Any Boolean expression can be transformed to CNF

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- ▶ Given a set of Boolean variable  $U = \{u_1, u_2, \dots, u_n\}$
- A literal from U is either any  $u_i$  or the negation of some  $u_i$  (written  $\overline{u_i}$ )
- ▶ A clause is denoted as a subset of literals from U  $\{u_2, \overline{u_4}, u_5\}$
- A clause is satisfied by an assignment to the variables if at least one of the literals evaluates to True (just like disjunction of the literals)
- ▶ Let C be a set of clauses over U C is satisfiable iff there is some assignment of truth values to the variables so that every clause is satisfied (just like CNF)
- $C = \{\{u_1, u_2, u_3\}, \{\overline{u_2}, \overline{u_3}\}, \{u_2, \overline{u_3}\}\}\$  is satisfiable
- $C = \{\{u_1, u_2\}, \{u_1, \overline{u_2}\}, \{\overline{u_1}\}\}\$  is not satisfiable

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NP-Completeness and Boolean Satisfiability

Part 2

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The Boolean Satisfiability Problem (3)

- Proof that SAT is NP-Complete looks at the structure of NDTMs and shows you can transform any NDTM to SAT in polynomial time (in fact logarithmic space suffices)
- SAT is in NP since you can check a solution in polynomial time
- ▶ To show that  $\forall L \in \mathsf{NP} : L \propto \mathsf{SAT}$  invent a polynomial time algorithm for each polynomial time NDTM, M, which takes as input a string x and produces a Boolean formula  $E_x$  which is satisfiable iff M accepts x
- See Cook-Levin theorem

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► There is a P time verification algorithm.

▶ There is a P time algorithm to solve it iff P = NP (?)

No one has yet found a P time algorithm to solve any NP-Complete problem

So what do we do ?

Improved exhaustive search — Dynamic Programming; Branch and Bound

▶ Heuristic methods — acceptable solutions in acceptable time — compromise on optimality

 Average time analysis — look for an algorithm with good average time — compromise on generality (see Big-O Algorithm Complexity Cheatsheet)

 Probabilistic or Randomized algorithms — compromise on correctness Phil Molyneux

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Part 2

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- Answer every question in this Part.
- ► The marks for each question are given below the question number.
- ► Marks for a part of a question are given after the question.
- Answers to questions in this Part must be written in the additional answer books, which you should also use for your rough working.

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- Consider an ADT for undirected graphs, named UGraph, that includes these operations:
- nodes, which returns a sequence of all nodes in the graph, in no particular order;
- has edge, which takes two nodes and returns true if there is an edge between those nodes;
- edges, which returns a sequence of node-node pairs (tuples), in no particular order. Each edge only appears once in the returned sequence, i.e. if the pair (node1, node2) is in the sequence, the pair (node2, node1) is not.
- How each node is represented is irrelevant.
- You can assume the graph is connected and has no edge between a node and itself.
- Q 16 continued on next slide

Q 16

(a) The following stand-alone Python function checks if an undirected graph is complete, i.e. if each node is connected to every other node.

It assumes the ADT is implemented as a Python class.

```
def is_complete(graph):
  nodes = graph.nodes()
  for node1 in nodes:
    for node2 in nodes:
      edge_exists = graph.has_edge(node1, node2)
      if node1 != node2 and not edge_exists:
        return False
  return True
```

Q 16 continued on next slide

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- ▶ Assume that graph.nodes has complexity O(n), where n is the number of nodes, and graph.has\_edge has complexity O(1).
- State and justify a bestcase scenario and a worst-case scenario for the above function, and their corresponding Big-O complexities.
- Assume the basic computational step is the assignment.
- ▶ State explicitly any other assumptions you make.

(7 marks)

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▶ Go to Soln 16

(b) In graph theory, the number of nodes in a graph is called the order of the graph.

The term *order* is unrelated to sorting.

 (i) Specify the problem of calculating the order of an undirected graph by completing the following template.
 Note that it is specified as an independent problem, not as a UGraph operation.

You may write the specification in English and/or formally with mathematical notation. (4 marks)

Name: order

Inputs

**Preconditions:** 

Outputs:

Postconditions:

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(ii) Give your initial insight for an algorithm that solves the problem.

Of the ADT operations given above you may only use edges. (4 marks)

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(c) A city council is planning the city's bus routes. It has decided which places will have a bus stop

(schools, cinemas, hospital, etc.).

Each bus route will start from the train station, visit a number of bus stops, and then return through the same streets to the station, visiting the same bus stops in reverse order. Each bus stop has to be served by at least one bus route. The council wants to minimize the total amount of time that all buses are on the road when following their routes.

State and justify which data structure(s) and algorithm(s) you would adopt or adapt to solve this problem.

State explicitly any assumptions you make. (5 marks)

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- ► The morning paper reports that P=NP has been proved through the discovery of a tractable algorithm for the SAT problem.
- What does this news mean for the company?
- Q 17 continued on next slide

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➤ Go to Soln 17

Write a brief memo with your advice on this matter to the board of the company, which doesn't include any computing experts.

The memo must have the following structure:

- 1. A suitable title.
- 2. A paragraph *setting the scene* and introducing the key question.
- 3. A paragraph in which you describe in layperson's terms what P=NP means.
- 4. A paragraph describing briefly how P=NP may impact on the company's main business objective (the cost-effective use of their trucks).
- 5. A conclusion on what you propose the company should do in face of this news, if anything.
- Q 17 continued on next slide

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Q 17 (contd)

➤ Some marks will be awarded for a clear coherent text that is appropriate for its audience, so avoid unexplained technical jargon and abrupt changes of topic, and make sure your sentences fit together to tell an overall *story*. As a guide, you should aim to write roughly two to five sentences per paragraph.

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► Part 2 solutions

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Soln 16

(a) Best case: First node in nodes has no edge to the second node in nodes (the first being itself) — hence returns False with only two calls in the inner loop — so O(n)

Worst case: The graph is complete and  $O(n^2)$  since both loops fully traversed

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(b) (i) Specification of order function

Name: order

**Inputs:** undirected graph, g **Preconditions:** g is connected

Outputs: Integer, n

**Postconditions:** n is the size of the set of nodes in g

▶ (ii) Use edges to give a sequence of edges; extract a list of the first and second nodes in each edge; remove duplicates in the list (making a set); the size of the result is the order of the graph (assumes connected graph)

Soln 16 continued on next slide

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Soln 16 (contd)

- (c) Data structures: graph with bus stops as nodes and weighted edges as distance between stops;
  - Algorithm(s): Some variant on Prim's algorithm for minimum spanning tree.

▶ Go to Q 16

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Soln 17

Follow the given structure:

► Title: given at the end

Setting the scene:

 P as the class of problems with solutions that are found in time which is a fixed polynomial of the input size O(n<sup>k</sup>)

- ▶ NP as the class of problems with solutions that can be checked in polynomial time
- Soln 17 continued on next slide

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▶ Go to Q 17

- Give examples of both:
- Pairing problem: given a group of students and knowledge of which are compatible, place them in compatible groups of 2 — Edmonds (1965) showed there is a polynomial time algorithm for this
- Partition into Triangles: make groups of three with each pair in the group compatible
- ► Find a large group of students who are compatible Clique problem
- ▶ Sit the students round a large table so that no incompatible students are next to each other (Hamiltonian Cycle)
- ▶ The first problem is in P, the others are in NP (we can check a solution) but it is not known if they are in P
- Soln 17 continued on next slide

Soln 17

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Soln Part 2

Soln 17

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- Define NP complete problems, dp: (a) In NP; (b) Every problem in NP is reducible to dp in polynomial time
- If P=NP then every NP problem would have a polynomial time solution — possibly via reduction to the SAT problem
- Nowever proving P=NP (a) may not actually give an algorithm in polynomial time for solving an NP complete problem (the newspaper says there is a tractable algorithm for SAT) (b) Even with a tractable algorithm for SAT, the  $O(n^k)$  may be very large.
- ▶ Give example of linear programming: standard simplex algorithm is exponential (worst case) while the ellipsoid algorithm is polynomial — however in practice simplex is used (because it is good enough) (see Wikipedia: LP)
- Soln 17 continued on next slide

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► Implications: Bad: Public key cryptography becomes impossible, banking transactions become tricky to carry out securely, the same applies to secure Web

transactions Conclusion: prepare for huge disruption — this is bigger

► Title: P=NP — a Disruptive Discovery

that the Internet or the Web

Soln 17 continued on next slide

Soln 17

- ightharpoonup StackExchange: What would be the impact of P=NP?
- ► Lance Fortnow: The Status of the P Versus NP Problem readable article in 2009 CACM
- ► The International SAT Competitions Web Page
- ► Lance Fortnow: The Golden Ticket: P, NP and the Search for the Impossible (2013,2017)
- ► Lance Fortnow, Steve Homer: A Short History of Computational Complexity
- Computational Complexity blog from Lance Fortnow and Bill Gasarch

▶ Go to Q 17

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Exam Reminders

- Read the Exam arrangements booklet
- ▶ Before the exam check the date, time and location (and how to get there)
- At the exam centre arrive early
- Bring photo ID with signature
- ▶ Use black or blue pens (not erasable and not pencil) see Cult Pens for choices — pencils for preparing diagrams (HB or blacker)
- Practice writing by hand
- ▶ In the exam Read the questions carefully before and after answering them
- Don't get stuck on a question move on, come back later
- But do make sure you have attempted all questions
- ... and finally Good Luck

Exam Reminders

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